

# On integrable quantum spin chains with open boundaries

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# Relations/Applications

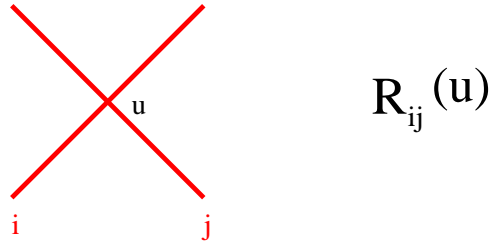
- High energy physics: HE QCD (Lipatov, Faddeev, Korchemsky...), super YMT (Minahan–Zarembo)
- String theory via CFT, and D–branes via BCFT (Polchinski, Schomerus...)
- Condensed matter, e.g. Kondo effect, quantum Hall effect,... (Affleck, Korepin, Saleur, Tsvetik, Wiegmann...)
- Mathematical aspects: quantum groups, braids, Lie and Hecke (TL) algebras, Virasoro algebras, ODEs,... (Drinfeld, Faddeev, Jimbo, Kulish, Sklyanin, Reshetikhin, Takhtajan...)

# Aims

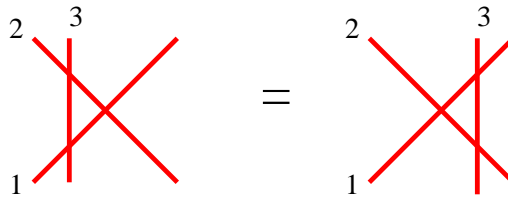
- Solving algebraically the YBE and RE ( $U_q(\widehat{gl}_n)$ ). (*Affine*)  
*Hecke* algebra realizations  $\rightarrow$  solutions of the RE.
- Solutions of the RE build the integrable open spin chain  $\rightarrow$  boundary non-local charges.
- **Ultimate goal**: Show the exact symmetry, remnant of  $U_q(gl_n)$  (*study spectrum degeneracies*).
- *Important*: Here starting point (affine) Hecke algebra. Usually intertwining relations used to derive solutions of the YBE (Jimbo, Kulish, Reshetikhin,...) and RE (Delius, Mackay, Nepomechie).

# The $R$ matrix

The  $R$  matrix acts on  $V^{\otimes 2}$ :



Satisfies the YBE (Baxter '72)



$$R_{12}(\lambda_1 - \lambda_2) R_{13}(\lambda_1) R_{23}(\lambda_2) = R_{23}(\lambda_2) R_{13}(\lambda_1) R_{12}(\lambda_1 - \lambda_2)$$

- Physical interpretation of  $R$  : scattering among excitations
- YBE factorization condition of multiparticle scattering

## $R$ matrices from the Hecke algebra

Let  $\check{R}_{12}(\lambda) = \mathcal{P}_{12} R_{12}(\lambda)$ ,  $\mathcal{P}(x \otimes y) = y \otimes x$ , then YBE:

$$\check{R}_{12}(\lambda_1 - \lambda_2) \check{R}_{23}(\lambda_1) \check{R}_{12}(\lambda_2) = \check{R}_{23}(\lambda_2) \check{R}_{12}(\lambda_1) \check{R}_{23}(\lambda_1 - \lambda_2).$$

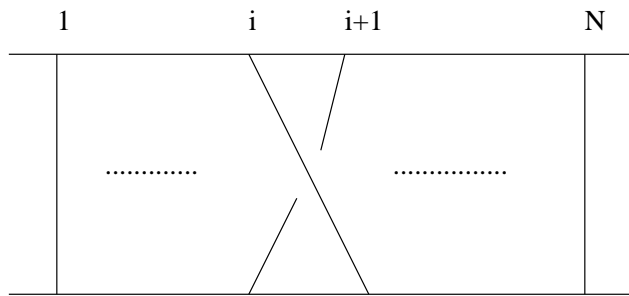
The Hecke algebra  $\mathcal{H}_N(q)$  with  $g_i$ ,  $i = 1, \dots, N - 1$ :

$$(g_i - q) (g_i + q^{-1}) = 0$$

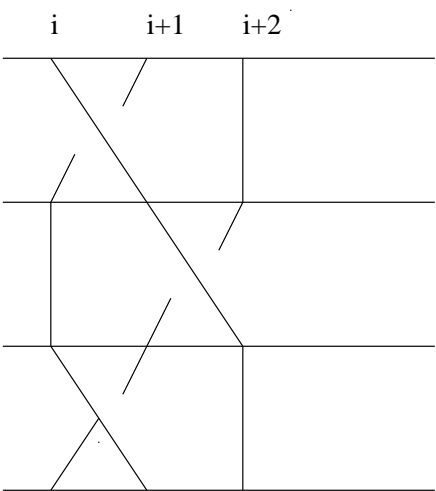
$$g_i g_{i+1} g_i = g_{i+1} g_i g_{i+1},$$

$$[g_i, g_j] = 0, \quad |i - j| > 1.$$

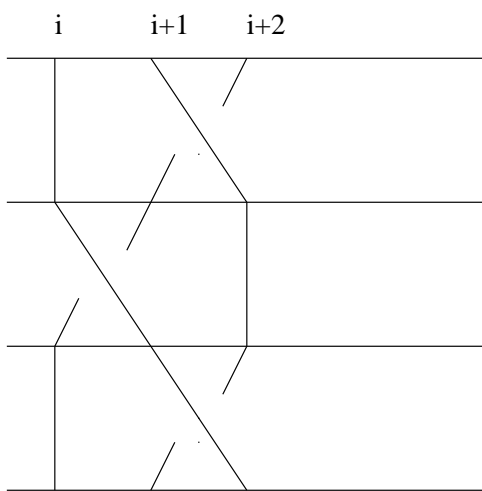
The structural similarity between the *braid* relation and YBE:  
 $\mathcal{H}_N \rightarrow$  candidate solutions of YBE.



$U_i$



$U_i$



Let  $\mathcal{U}_i = g_i - q$  then reps of  $\mathcal{H}_N \rightarrow$  solution YBE (Jimbo '86)

$$\check{R}_{i \ i+1}(\lambda) = \sinh(\lambda + i\mu) \mathbb{I} + \sinh \lambda \rho(\mathcal{U}_i).$$

In particular, let  $(e_{ij})_{kl} = \delta_{ik} \delta_{jl}$ , and  $U$  on  $(\mathbb{C}^n)^{\otimes 2}$ :

$$U = \sum_{i \neq j} (e_{ij} \otimes e_{ji} - q^{-\text{sgn}(i-j)} e_{ii} \otimes e_{jj}).$$

$R$  matrix in the fundamental representation of the  $U_q(\mathfrak{gl}_n)$ .

## $U_q(sl_2)$ : The XXZ model

$U$  on  $(\mathbb{C}^2)^{\otimes 2}$

$$U = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & -q & 1 & 0 \\ 0 & 1 & -q^{-1} & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

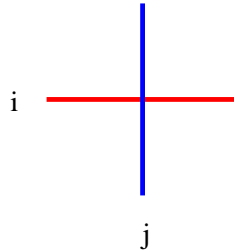
The corresponding  $R$  (spin  $\frac{1}{2}$  rep of  $sl_2$ ) matrix on  $\mathbb{C}^2 \otimes \mathbb{C}^2$   
(rep of Temperley–Lieb algebra)

$$R(\lambda) = \begin{pmatrix} \sinh \mu(\lambda + i) & 0 & 0 & 0 \\ 0 & \sinh \mu\lambda & e^{\mu\lambda} \sinh i\mu & 0 \\ 0 & e^{-\mu\lambda} \sinh i\mu & \sinh \mu\lambda & 0 \\ 0 & 0 & 0 & \sinh \mu(\lambda + i) \end{pmatrix}$$

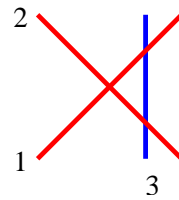
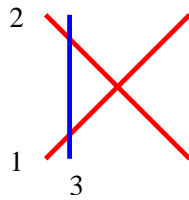


# The Lax operator

The  $\mathcal{L}$  matrix acts on  $\mathbb{V} \otimes \mathcal{A}$ :



Satisfies the defining relation of  $\mathcal{A} = U_q(\widehat{gl}_n)$

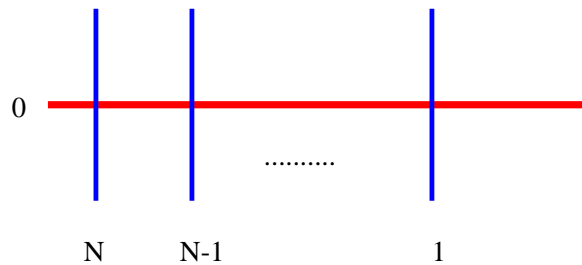


$$R_{12}(\lambda_1 - \lambda_2) \mathcal{L}_{13}(\lambda_1) \mathcal{L}_{23}(\lambda_2) = \mathcal{L}_{23}(\lambda_2) \mathcal{L}_{13}(\lambda_1) R_{12}(\lambda_1 - \lambda_2)$$

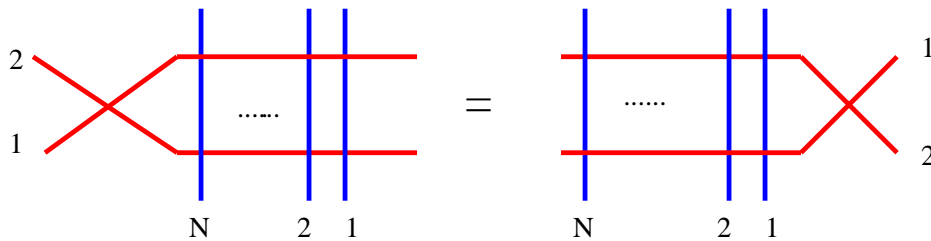
# The periodic spin chain

The monodromy matrix  $T \in \text{End}(\mathbb{V}) \otimes \mathcal{A}^{\otimes(N)}$  (QISM: Faddeev, Takhtajan '81):

$$T_0(\lambda) = \mathcal{L}_{0N}(\lambda) \mathcal{L}_{0\ N-1}(\lambda) \dots \mathcal{L}_{01}(\lambda)$$



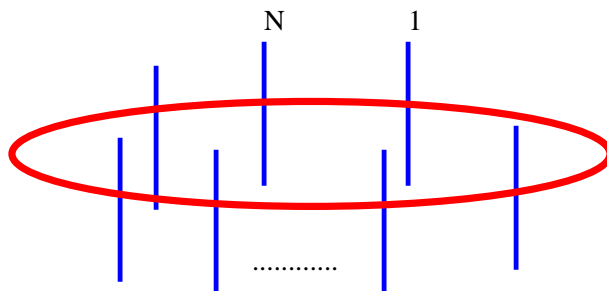
Satisfies the fundamental algebraic relation:



$$R_{12}(\lambda_1 - \lambda_2) T_1(\lambda_1) T_2(\lambda_2) = T_2(\lambda_2) T_1(\lambda_1) R_{12}(\lambda_1 - \lambda_2)$$

The transfer matrix  $t \in \mathcal{A}^{\otimes N}$  (Faddeev, Takhtajan '81):

$$t(\lambda) = \text{Tr}_0 T_0(\lambda)$$



Provides a family of commuting operators

$$[t(\lambda), t(\lambda')] = 0$$

Latter commutation relation ensures **Integrability**

$$\mathcal{H} \propto \frac{d}{d\lambda} (\ln t(\lambda))|_{\lambda=0}$$

# Quantum algebras

$$\mathcal{L}(\lambda) = e^{\mu\lambda} \mathcal{L}^+ - e^{-\mu\lambda} \mathcal{L}^-$$

$$\mathcal{L}_{ab}^{\pm} \in U_q(\mathfrak{gl}_n)$$

As  $\lambda \rightarrow \pm\infty$   $\mathcal{L}$  and consequently  $T$  reduce to upper, lower triangular matrices.

$$T(\lambda \rightarrow \pm\infty) \propto T^{\pm},$$

entries of  $T^{\pm} \in U_q(\mathfrak{gl}_n)^{\otimes N}$

e.g.  $U_q(\mathfrak{sl}_2)$

$$\mathcal{L}(\lambda) = \begin{pmatrix} \sinh \mu(\lambda + \frac{i}{2} + i s^z) & s^- e^{\mu\lambda} \sinh i\mu \\ s^+ e^{-\mu\lambda} \sinh i\mu & \sinh \mu(\lambda + \frac{i}{2} - i s^z) \end{pmatrix}$$

The asymptotics of the  $\mathcal{L}$  matrix  $\lambda \rightarrow \pm\infty$  (upper, lower tri-ang), ( $q = e^{i\mu}$ )

$$T^+ \propto \begin{pmatrix} q^{S^z} & c^+ S^- \\ 0 & q^{-S^z} \end{pmatrix}, \quad T^- \propto \begin{pmatrix} q^{-S^z} & 0 \\ c^- S^+ & q^{S^z} \end{pmatrix}$$

$$S^z = \sum_{k=1}^N \mathbb{I} \otimes \dots \otimes \mathbb{I} \otimes s_k^z \otimes \mathbb{I} \dots \otimes \mathbb{I},$$

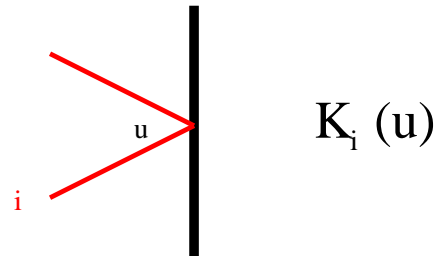
$$S^\pm = \sum_{k=1}^N q^{-s_1^z} \otimes \dots \otimes q^{-s_{k-1}^z} \otimes s_k^\pm \otimes q^{s_{k+1}^z} \otimes \dots \otimes q^{s_N^z}$$

$S^z, S^\pm$  tensor product realizations of  $U_q(sl_2)$  (Jimbo '85)

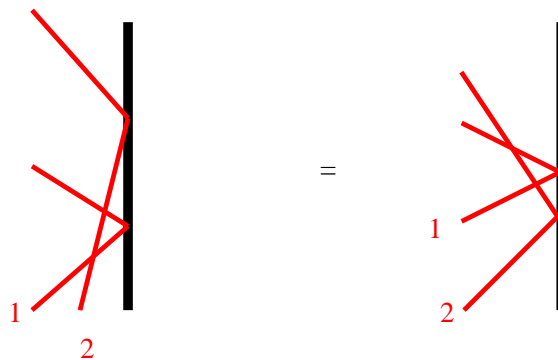
$$[S^+, S^-] = \frac{q^{2S^z} - q^{-2S^z}}{q - q^{-1}}, \quad [S^z, S^\pm] = \pm S^\pm$$

# Open boundaries

The  $K$  matrix acts on  $\mathbb{V}$ :



Satisfies the reflection equation (Cherednik '84)



$$R_{12}(\lambda_1 - \lambda_2) K_1(\lambda_1) R_{21}(\lambda_1 + \lambda_2) K_2(\lambda_2) \\ = K_2(\lambda_2) R_{12}(\lambda_1 + \lambda_2) K_1(\lambda_1) R_{21}(\lambda_1 - \lambda_2)$$

- Solutions of RE (e.g. via Hecke algebras: Levy and Martin '94, Doikou and Martin '02, Doikou '04)  $\rightarrow$  build open spin chains (Sklyanin '88)

# $K$ matrices from the affine Hecke algebra

The RE equation reads (Cherednik '84):

$$\begin{aligned} & \check{R}_{12}(\lambda_1 - \lambda_2) K_1(\lambda_1) \check{R}_{12}(\lambda_1 + \lambda_2) K_1(\lambda_2) \\ &= K_1(\lambda_2) \check{R}_{12}(\lambda_1 + \lambda_2) K_1(\lambda_1) \check{R}_{12}(\lambda_1 - \lambda_2) \end{aligned}$$

The algebra  $\mathcal{H}_N^0(q, Q)$ ,  $g_i$ ,  $i \in \{1, \dots, N-1\}$  and  $g_0$ :

$$\begin{aligned} g_1 g_0 g_1 g_0 &= g_0 g_1 g_0 g_1 \\ [g_0, g_i] &= 0, \quad i > 1 \end{aligned}$$

$\mathcal{H}_N^0(q, Q) \rightarrow$  candidate solutions of RE (Levy and Martin '94).  
Quotients of  $\mathcal{H}_N^0$ , B-type  $\mathcal{B}_N(q, Q)$ :

$$(g_0 - Q)(g_0 + Q^{-1}) = 0.$$

Let  $\mathcal{U}_0 = g_0 - Q$  then reps of  $\mathcal{B}_N(q, Q) \rightarrow$  solutions to RE

$$K(\lambda) = x(\lambda)\mathbb{I} + y(\lambda)\rho(\mathcal{U}_0).$$

$\mathbb{U} \rightarrow$  rep of  $\mathcal{U}_0$  on  $\mathbb{C}^n$  (Doikou '04)

$$\mathbb{U} = \frac{1}{2i \sinh i\mu} (-Q^{-1}\hat{e}_{11} - Q\hat{e}_{nn} + \hat{e}_{1n} + \hat{e}_{n1}).$$

(Blob case: Martin and Saleur '94).  $K$  matrix  $\rightarrow$  (Abad and Rios '95) for the  $U_q(\widehat{gl}_n)$  case.

e.g.  $U_q(\widehat{sl}_2)$

$$\mathbb{U} = \begin{pmatrix} -Q^{-1} & 1 \\ 1 & -Q \end{pmatrix}$$

(rep of the blob algebra)

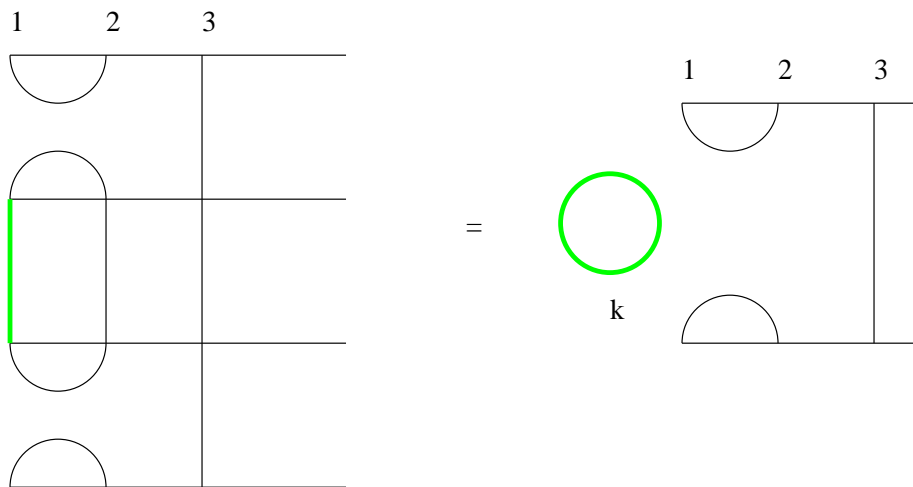
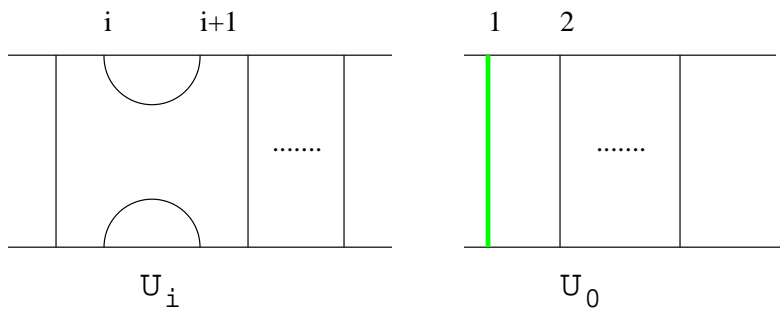


# The blob algebra

Extension of the Temperley–Lieb algebra:

$$\mathcal{U}_{i\pm 1} \mathcal{U}_i \mathcal{U}_{i\pm 1} = \mathcal{U}_{i\pm 1}$$

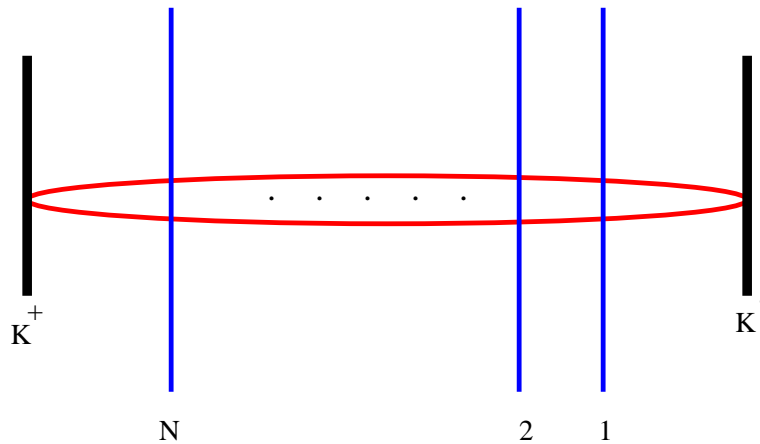
$$\mathcal{U}_1 \mathcal{U}_0 \mathcal{U}_1 = \kappa \mathcal{U}_1$$



# The open spin chain

Integrable boundary conditions (Sklyanin '88)

$$t(\lambda) = \text{tr}_0 K_0^{(l)}(\lambda) \underbrace{T_0(\lambda) K_0^{(r)}(\lambda) T_0^{-1}(-\lambda)}_{\mathcal{T}_0(\lambda)}$$



$$[t(\lambda), t(\lambda')] = 0$$

Integrability ensured.

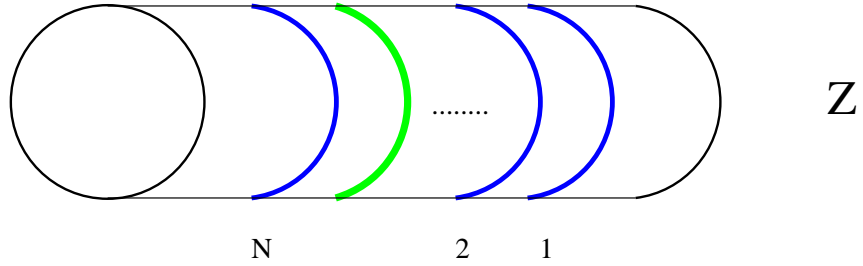
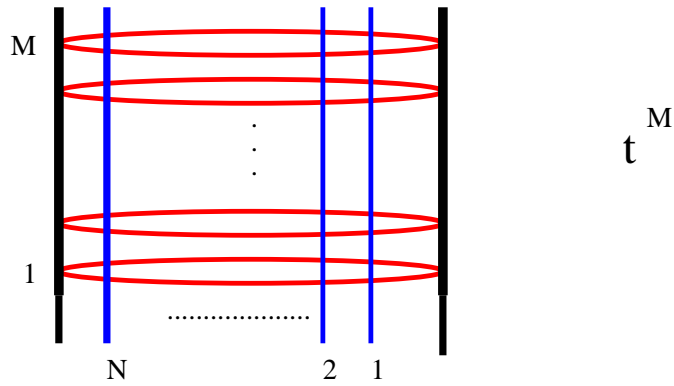
Boundary  $S$  matrices (Doikou, Mezincescu and Nepomechie '97)

Boundary symmetries (Doikou '04).

# The partition function

The partition function for the open spin chain:

$$Z(\lambda) = \text{Tr } t(\lambda)^M$$



# The Hamiltonian

Open Hamiltonian:  $\mathcal{H} \propto -\frac{d}{d\lambda} t(\lambda)|_{\lambda=0}$

$$\mathcal{H} \propto -\frac{1}{2} \sum_{i=1}^{N-1} U_i - \frac{\sinh i\mu y'(0)}{4\mu x(0)} \mathbb{U}_1 + c$$

For the spin  $\frac{1}{2}$  XXZ in particular

$$\mathcal{H} = -\frac{1}{4} \sum_{j=1}^{N-1} \left( \sigma_j^x \sigma_{j+1}^x + \sigma_j^y \sigma_{j+1}^y + \cosh i\mu \sigma_j^z \sigma_{j+1}^z \right) \\ + c_x \sigma_1^x + c_y \sigma_1^y + c_z \sigma_1^z$$

# The boundary quantum algebra

(Mezincescu and Nepomechie '97, Delius and Mackay '01, Doikou '04)

As  $\lambda \rightarrow \infty$   $T$  ( $\hat{T}$ ) upper (lower) triangular ( $K^{(l)} = \mathbb{I}$ )

$$T(\lambda \rightarrow \infty) \propto T^+, \quad \hat{T}(\lambda \rightarrow \infty) \propto \hat{T}^+$$

entries of  $T^+$ ,  $\hat{T}^+ \in U_q(\mathfrak{gl}_n)^{\otimes N}$ :

$$K^{(r)}(\lambda \rightarrow \infty) \propto K^+$$

Then:

$$\mathcal{T}(\lambda \rightarrow \infty) \propto T^+ K^+ \hat{T}^+ = \mathcal{T}^+$$

The entries  $\mathcal{T}_{ab}^+$  the boundary non-local charges!

$$\left[ t(\lambda), \mathcal{T}_{ab}^+ \right] = 0.$$

**Example**  $U_q(sl_2)$ : The asymptotics of the  $\mathcal{L}$ ,  $\hat{\mathcal{L}}(\lambda) = \mathcal{L}^{-1}(-\lambda)$ ,  
 $\lambda \rightarrow \pm\infty$  (upper, lower triang),

$$T^+ = \begin{pmatrix} q^{S^z} & cS^- \\ 0 & q^{-S^z} \end{pmatrix}, \quad \hat{T}^+ = \begin{pmatrix} q^{S^z} & 0 \\ c^-S^+ & q^{-S^z} \end{pmatrix}$$

recall  $S^z, S^\pm$  coproducts of  $U_q(sl_2)$ .

$$K^+ = \begin{pmatrix} -e^{-i\mu\xi} & \kappa \\ \kappa & 0 \end{pmatrix}$$

$$\mathcal{T}(\lambda \rightarrow \infty) \propto T^+ K^+ \hat{T}^+ = \mathcal{T}^+$$

$\mathcal{T}_{ab}^+$  the boundary non-local charges:

$$\mathcal{T}^+ = \begin{pmatrix} c\mathcal{T}_{11}^+ & \kappa \\ \kappa & 0 \end{pmatrix}$$

$$\mathcal{T}_{11}^+ = xq^{2S^z} + q^{-\frac{1}{2}}q^{S^z}S^+ + q^{-\frac{1}{2}}q^{S^z}S^-$$

The symmetry of the open spin chain (Doikou '04)

$$\left[ U_l, \mathcal{T}_{11}^+ \right] = 0 \rightarrow \left[ \mathcal{H}, \mathcal{T}_{11}^+ \right] = 0$$

$$\left[ t(\lambda), \mathcal{T}_{11}^+ \right] = 0.$$

# Symmetries

- $K$  non-diagonal:  $[t(\lambda), \mathcal{T}_{ab}^+] = 0$   
(Doikou '04)

- $K$  diagonal:  $[t(\lambda), U_q(\mathfrak{gl}_l) \otimes U_q(\mathfrak{gl}_{n-l})] = 0$   
(Doikou and Nepomechie '98)

- $K = \mathbb{I}$ :  $[t(\lambda), U_q(\mathfrak{gl}_n)] = 0$   
(Kulish and Sklyanin '91, Mezincescu and Nepomechie '91)



# Comments

- Other reps of  $\mathcal{H}_N^0 \rightarrow$  solutions to RE, e.g. ‘Twin’ repr. (Martin and Woodcock ’03, Doikou and Martin ’02).
- Here SP b.c. Algebra for SNP b.c.? A Birman–Wenzl–Murakami algebra with gens correspond to boundaries.
- Symmetry for SNP b.c. ‘deformed’ twisted Yangian (rational case: *Twisted Yangian* (Arnaudon, Avan, Crampe, Doikou, Frappat, Ragoucy ’04). Higher non-local charges (Baseilhac ’04, Doikou ’04).
- QFT with SP b.c. Known b.c. from FT are SNP (Bowcock, Corrigan, Dorey, Rietdijk ’95). Non-local charges (Delius and Mackay ’01) and  $K$  matrices were derived (Gandenberger ’99).
- Extension to the dynamical YBE and RE (in progress with: J. Avan, Z. Nagy and G. Rollet).