

## **Women in Mathematics Day 2006**

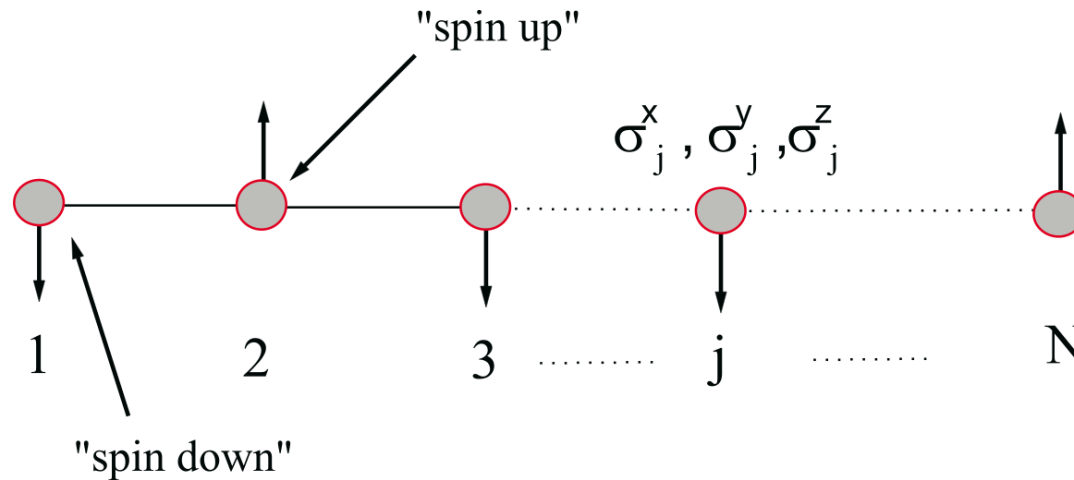
# **Correlation Functions of Quantum Spin Chains**

**Olalla A. Castro Alvaredo**

**Centre for Mathematical Science  
City University London**

28<sup>th</sup> April 2006

## I. WHAT ARE QUANTUM SPIN CHAINS?



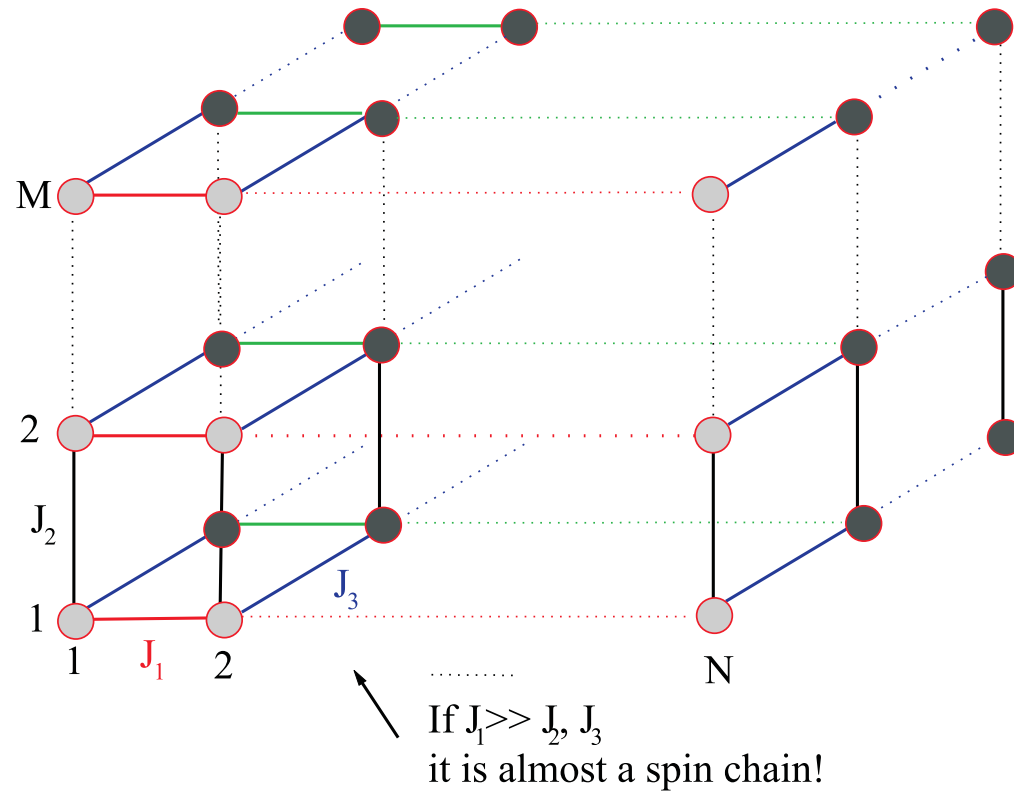
- The best known cases are the spin 1/2 XXX and XXZ chains  
(W. Heisenberg '28, H. Bethe '31)

$$H = \sum_{m=1}^N \left\{ \underbrace{\sigma_m^x \sigma_{m+1}^x}_X + \underbrace{\sigma_m^y \sigma_{m+1}^y}_X + \underbrace{\Delta (\sigma_m^z \sigma_{m+1}^z - 1)}_Z \right\}$$

$\Delta \equiv$  anisotropy parameter ( $\Delta = 1$  corresponds to the XXX chain)

## II. WHY STUDY THEM?

- Even though materials are 3-dimensional sometimes a 1-dimensional approximation can be quite accurate.



- They are 1-dimensional models, therefore easier to study than more realistic (3-dimensional) theories. In addition, the advance of nanotechnology makes it now possible to identify and study quasi-one-dimensional systems in the lab.
- Despite their simplicity (integrability) they are able to reproduce some realistic features of one-dimensional magnetic material.
- The property of integrability makes it possible (a priori) to compute all relevant physical quantities exactly.
- These computations are in general highly non-trivial mathematical problems. For this reason lots of work have been done (especially in the last 30 years) to develop new analytical methods.
- The development of these methods has revealed very beautiful underlying mathematical structures (for example, quantum groups). The study of these has become a research subject in itself.

### III. WHY CORRELATION FUNCTIONS?

- Everything that can be measured is related to correlation functions. The knowledge of all correlation functions is the solution of any physical theory.
- For instance, quantities that are easily accessible experimentally and that characterize well the magnetic properties of a material are the so-called **structure factors**:

$$\sigma^{\alpha\beta}(q, \omega) = \frac{1}{N} \sum_{j, j'=1}^N e^{iq(j-j')} \int_{-\infty}^{\infty} dt e^{i\omega t} \langle \sigma_j^{\alpha}(t) \sigma_{j'}^{\beta}(0) \rangle.$$

- Correlation functions can be expressed in terms of **form factors** by means of an expansion of the form

$$\langle \sigma_j^{\alpha} \sigma_{j'}^{\beta} \rangle \sim \sum_n \langle \text{vac} | \sigma_j^{\alpha} | n \rangle \langle n | \sigma_{j'}^{\beta} | \text{vac} \rangle$$

- Expanding correlation functions in terms of form factors has proven to be very efficient from a numerical point of view ( **J.-S. Caux, J.-M. Maillet et al. 2005**). Hence obtaining simple formulae for the form factors is essential.

## IV. FORM FACTORS AND CORRELATION FUNCTIONS OF QUANTUM SPIN CHAINS

- The objects we are interested in are correlation functions and form factors (**expectation values**) of local operators, say  $\mathcal{O} = \sigma_j^\alpha$  or  $\mathcal{O} = \sigma_j^\alpha \sigma_k^\beta$  at zero temperature.

$$\langle \mathcal{O} \rangle = \frac{\text{tr}_{\mathcal{H}}(\mathcal{O} e^{-H/kT})}{\text{tr}_{\mathcal{H}}(e^{-H/kT})} \stackrel{T \rightarrow 0}{=} \frac{\langle \Psi_g | \mathcal{O} | \Psi_g \rangle}{\langle \Psi_g | \Psi_g \rangle}$$

- Here  $|\Psi_g\rangle$  is the ground state of the system.
- In the context of integrable quantum spin chains, two main approaches exist to compute correlation functions and form factors. They were initiated in **M. Jimbo and T. Miwa '95** and **N. Kitanine, J.-M. Maillet and V. Terras '99** respectively, and are both still actively pursued today. Here I will concentrate on the second one.

- The approach (N. Kitanine, J.-M. Maillet and V. Terras '99) combines the **algebraic Bethe ansatz technique** (which provides a construction scheme for the quantum states) and the solution of the **inverse scattering problem** (which allows to write local operators on the chain in terms of the same objects the quantum states are made of).
- 

N. Kitanine, J.-M. Maillet and V. Terras (1999); J.-M. Maillet and V. Terras (2000) [**solution of the inverse scattering problem**].

N. Kitanine, J.-M. Maillet, N.A. Slavnov and V. Terras (1999-2005) [**integral representations for correlation functions and form factors of the spin 1/2 XXZ chain (dynamical correlation functions, roots of unity...)**].

J.-S-Caux, J.-M. Maillet et al. (2005) [**numerical applications**].

F. Göhmann, A. Klümper et al. (2004, 2005) [**correlation functions at finite T**].

---

- Our main contribution has been the use of this technique for the computation of form factors of **mixed spin chains** (different spin representations at different sites), e.g. **impurity systems** and **alternating spin chains**.

## V. ALGEBRAIC BETHE ANSATZ

- The algebraic Bethe ansatz technique (L.D Faddeev, E.K. Sklyanin and L.A. Takhtajan '79) provides a closed algebraic setup which allows the simultaneous construction of the conserved charges of a quantum spin chain (including the **Hamiltonian**), and of its **eigenstates**.
- The starting point of this construction is a so-called  $R$ -matrix,

$$R_{\text{XXX}}^{(\frac{1}{2}, \frac{1}{2})}(\lambda) = \begin{pmatrix} \frac{\lambda - \frac{i}{2}(\sigma^z + 1)}{\lambda - i} & -\frac{i}{\lambda - i}\sigma^- \\ -\frac{i}{\lambda - i}\sigma^+ & \frac{\lambda - \frac{i}{2}(1 - \sigma^z)}{\lambda - i} \end{pmatrix} \in \mathbb{C}^2 \otimes \mathbb{C}^2$$

- $R$ -matrices are solutions of the Yang-Baxter equations. These equations are a consequence of integrability.

$$R_{12}^{(s_1, s_2)}(\lambda) R_{13}^{(s_1, s_3)}(\lambda + \mu) R_{23}^{(s_2, s_3)}(\mu) = R_{23}^{(s_2, s_3)}(\mu) R_{13}^{(s_1, s_3)}(\lambda + \mu) R_{12}^{(s_1, s_2)}(\lambda)$$



- The **quantum monodromy matrix** is then defined as

$$T_{0;1\dots N}^{(\frac{1}{2})}(\lambda; \{\xi\}) = \underbrace{R_{0N}^{(\frac{1}{2}, \frac{1}{2})}(\lambda - \xi_N) \cdots R_{01}^{(\frac{1}{2}, \frac{1}{2})}(\lambda - \xi_1)}_{\in V_0 \otimes V_1 \otimes \dots \otimes V_N \text{ with } V_0 = \mathbb{C}^2 \text{ and } V_j = \mathbb{C}^2}$$

$$= \begin{pmatrix} A(\lambda) & B(\lambda) \\ C(\lambda) & D(\lambda) \end{pmatrix}; \quad t^{(1/2)}(\lambda, \{\xi\}) = (A + D)(\lambda)$$

- We can regard the algebraic Bethe ansatz as providing a highly non-trivial map

$$\sigma_j^\alpha \mapsto \{A, B, C, D\}$$

The **inverse scattering problem** consists of finding the inverse of this map.

- Here  $\xi_1 \dots \xi_N$  are the **inhomogeneity parameters**. Their presence simplifies certain computations. However a local Hamiltonian is only obtained for

$$\xi_j = -i/2 \quad \forall \quad i.$$

- The **transfer matrix**  $t^{(1/2)}(\lambda, \{\xi\})$  generates the Hamiltonian of the model

$$H \sim \left. \frac{d \log(t^{(1/2)}(\lambda, \{\xi\}))}{d\lambda} \right|_{\lambda = -i/2 = \xi_1 \dots \xi_N}$$

- For the best known cases of the spin 1/2 XXX and XXZ chains  
(W. Heisenberg '28, H. Bethe '31)

$$H = \sum_{m=1}^N \left\{ \underbrace{\sigma_m^x \sigma_{m+1}^x}_X + \underbrace{\sigma_m^y \sigma_{m+1}^y}_X + \underbrace{\Delta (\sigma_m^z \sigma_{m+1}^z - 1)}_Z \right\} \text{ acting on}$$

$$\mathcal{H} \equiv V_1 \otimes \dots \otimes V_N \quad \text{with} \quad V_i \equiv \mathbb{C}^2 \quad \text{and} \quad \sigma_a^\alpha = \sigma_{N+a}^\alpha$$

$\Delta \equiv$  anisotropy parameter ( $\Delta = 1$  corresponds to the XXX chain)

- The matrices above are the Pauli matrices, which provide a 2-dimensional representation of the  $su(2)$  algebra

$$\sigma_m^x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}_m \quad \sigma_m^y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}_m \quad \sigma_m^z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}_m$$

$$[\sigma^+, \sigma^-] = \sigma^z \quad \text{and} \quad [\sigma^z, \sigma^\pm] = \pm 2\sigma^\pm, \quad \sigma^\pm = \sigma^x \pm i\sigma^y$$

- Since  $[H, t(\lambda)] = 0$ , the eigenstates of  $H$  are those of  $t(\lambda)$  and have the form

$$|\Psi(\{\lambda\})\rangle = B(\lambda_1) \cdots B(\lambda_\ell) |0\rangle \quad \text{with}$$

$$\prod_{k=1}^{\ell} \frac{\lambda_k - \lambda_j - i}{\lambda_k - \lambda_j + i} = -d(\lambda_j) \quad \text{Bethe ansatz equations}$$

- Here  $|0\rangle$  denotes the **completely ferromagnetic reference state** (all spins up) and  $d(\lambda)$  is the eigenvalue of  $D(\lambda)$  on that state

$$D(\lambda)|0\rangle = d(\lambda)|0\rangle = \prod_{j=1}^N \left( \frac{\lambda - \xi_j}{\lambda - \xi_j - i} \right) |0\rangle$$

$$A(\lambda)|0\rangle = |0\rangle$$

- The knowledge of the ground state is the first step for the computation of correlation functions and form factors.

## VI. THE INVERSE SCATTERING PROBLEM

- The next step in our problem is that of finding a realization of states and fields in terms of the same objects  $\{A, B, C, D\}$ .
- For XXX quantum spin chains such realization has been found. In particular, for the spin 1/2 case:

---

J.M. Maillet, V. Terras and N. Kitanine (1999); J.M. Maillet and V. Terras (2000)

---

$$\sigma_j^\alpha = \left[ \prod_{k=1}^{j-1} t^{(\frac{1}{2})}(\xi_k) \right] \Lambda_\alpha^{(\frac{1}{2})}(\xi_j) \left[ \prod_{k=1}^j t^{(\frac{1}{2})}(\xi_k)^{-1} \right] \quad \alpha = \pm, z$$

$$\Lambda_\alpha^{(\frac{1}{2})}(u) := \text{Tr}_0 \left[ \sigma_0^\alpha T_{0;1\dots N}^{(\frac{1}{2})}(u) \right], \quad t^{(\frac{1}{2})}(u) := \text{Tr}_0 \left[ T_{0;1\dots N}^{(\frac{1}{2})}(u) \right]$$

- The traces  $\Lambda_{\alpha}^{(\frac{1}{2})}(u)$  are:

$$\Lambda_{\alpha}^{(\frac{1}{2})}(u) = \begin{cases} \frac{(A - D)(u)}{2}, & \alpha = z \\ C(u), & \alpha = + \\ B(u), & \alpha = - \end{cases}$$

- How do we generalize this program for higher spins? There is a well understood procedure for doing this which allows us to do the same analysis above for a chain having arbitrary spins  $s_j$  at every site  $j = 1, \dots, N$ .
- The main ingredient needed in this generalization is the **fusion mechanism** developed in **P.P. Kulish, N. Yu. Reshetikhin and E.K. Sklyanin '81, A.N. Kirillov and N. Yu. Reshetikhin '87** which allows to construct higher spin  $R$ -matrices, monodromy and transfer matrices in terms of their spin 1/2 counterparts.

## VII. FORM FACTORS

- We would like to compute the form factors (for spin  $s_j$ )

$$F_\ell^{z,\pm}(j, \{\mu\}, \{\lambda\}) = \langle \psi(\{\mu\}) | S_j^{z,\pm} | \psi(\{\lambda\}) \rangle = \langle 0 | \prod_{k=1}^{\ell} C(\mu_k) S_j^{z,\pm} \prod_{k=1}^{\tilde{\ell}} B(\lambda_k) | 0 \rangle$$

- There are two basic results we need to use: **the action of operators  $A, D$  on a Bethe state**

$$A(x) |\Psi(\{\lambda\})\rangle = \underbrace{\left[ \prod_{k=1}^{\ell} \frac{\lambda_k - x - i}{\lambda_k - x} \right]}_{\text{direct term}} |\Psi(\{\lambda\})\rangle$$

$$+ \underbrace{\sum_{p=1}^{\ell} \frac{i}{\lambda_p - x} \left[ \prod_{k \neq p} \frac{\lambda_k - \lambda_p - i}{\lambda_k - \lambda_p} \right] B(x) \prod_{k \neq p} B(\lambda_k)}_{\text{indirect term}} |0\rangle$$

(similarly for  $D(x)$ ).

- We will also need the expression of the **scalar product** of an arbitrary state  $\langle \psi(\{\mu\}) |$  and a Bethe state  $|\psi(\{\lambda\})\rangle$ :

---

V.E. Korepin, N.M. Bogoliubov and A.G. Izergin, Quantum inverse scattering method and correlation functions. Cambridge University Press (1993)

A.G. Izergin '87, V.E. Korepin '82, **N.A. Slavnov '89.**

---

$$\langle \psi(\{\mu\}) | \psi(\{\lambda\}) \rangle = \frac{\det H(\{\mu\}, \{\lambda\})}{\prod_{i < j} (\lambda_i - \lambda_j)(\mu_j - \mu_i)}$$

$$H_{ab} = \frac{-i}{(\lambda_a - \mu_b)} \left[ \prod_{i \neq a} (\lambda_i - \mu_b - i) - d(\mu_b) \prod_{i \neq a} (\lambda_i - \mu_b + i) \right]$$



- Employing these formulae and the reconstruction of  $S_j^{z, \pm}$  in terms of  $\{A, B, C, D\}$  we have found (work in collaboration with J.-M. Maillet)

$$F_\ell^z(j, \{\mu\}, \{\lambda\}) = \frac{\phi_j(\{\mu\})}{\phi_j(\{\lambda\})} \frac{s_j \det H - \sum_{p=1}^{\ell} \prod_{k=1}^{\ell} (\mu_k - \mu_p - i) \det \mathcal{Z}^{(p)}(\xi_j)}{\prod_{i < j} (\lambda_i - \lambda_j)(\mu_j - \mu_i)}$$

$$\phi_j(\{\mu\}) = \prod_{k=1}^j \Lambda^{(s_k)}(\xi_k, \{\mu\}); \quad \xi_j^\pm = \xi_j \mp i/2$$

with

$$\mathcal{Z}^{(p)}(\xi_j)_{ab} = H_{ab} \quad \text{for} \quad b \neq p$$

$$\mathcal{Z}^{(p)}(\xi_j)_{ap} = \left[ \prod_{k=1}^{\ell} \frac{\lambda_k - \xi_j^- - is_j}{\mu_k - \xi_j^- - is_j} \right] \left[ \frac{-2is_j}{(\mu_a - \xi_j^- + is_j)(\mu_a - \xi_j^- - is_j)} \right]$$

$$F_\ell^+(j, \{\lambda\}, \{\mu\}) = \frac{\phi_{j-1}(\{\lambda\}) \prod_{k=1}^{\ell+1} (\mu_k - \xi_j^- - is_j)}{\phi_{j-1}(\{\mu\}) \prod_{k=1}^{\ell} (\lambda_k - \xi_j^- - is_j)} \frac{\det \mathcal{C}(\xi_j)}{\prod_{i < j} (\lambda_i - \lambda_j)(\mu_j - \mu_i)}$$

$$F_\ell^-(j, \{\mu\}, \{\lambda\}) = \frac{\phi_j(\{\mu\})\phi_{j-1}(\{\mu\})}{\phi_{j-1}(\{\lambda\})\phi_j(\{\lambda\})} F_\ell^+(j, \{\mu\}, \{\lambda\})$$

with

$$\mathcal{C}_{ab}(\xi_j) = \begin{cases} H_{ab} & \text{for } b \neq \ell + 1 \\ \frac{-2is_j}{(\mu_a - \xi_j^- + is_j)(\mu_a - \xi_j^- - is_j)} & \text{for } b = \ell + 1 \end{cases}$$

- This are closed formula for all non-vanishing form factors of spin operators in an arbitrary spin  $s_j$  representation.
- It holds for XXX spin chains, irrespectively of the spin representations sitting at other sites of the chain (those only enter the functions  $\phi_{j-1}$ ).
- In order to obtain these formulae, **highly non-trivial algebraic identities** involving the functions  $\Lambda^{(s)}(u, \{\lambda\})$  (eigenvalue of a spin  $s$  transfer matrix  $t^{(s)}(u)$ ) need to be proven.

## VIII. CONCLUSIONS AND OUTLOOK

- The Algebraic Bethe ansatz technique, together with the solution of the inverse scattering problem can be successfully employed to compute form factors of spin operators for [higher spins and mixed spin chains](#).
- Our results can be used for the study of specially interesting models, such as [impurity systems and alternating chains](#).
- Finally, we expect these results to be eventually useful for [numerical computations](#). Recent results for the spin 1/2 case (J.-S. Caux, J.-M. Maillet et al. 2005) give us strong hope that this could be the case.
- The next natural step in this direction is to compute correlation functions (work in progress at the moment).

$$\begin{aligned}
& \sum_{k=1}^{2s} \left[ \Lambda^{(s-\frac{k}{2})}(\xi_j - ik/2, \{\lambda\}) \Lambda^{(\frac{k-1}{2})}(\xi_j^- - i(k-2s)/2, \{\lambda\}) \right. \\
& \times \left. \left[ \prod_{p=1}^{\ell} b^{-1}(\lambda_p - \xi_j^- + i(k-s)) - d(\xi_j^- - i(k-s)) \prod_{p=1}^{\ell} b^{-1}(\xi_j^- - i(k-s) - \lambda_p) \right] \right] \\
& = 2s \Lambda^{(s)}(\xi_j, \{\lambda\})
\end{aligned}$$

where

$$b(\lambda) = \frac{\lambda}{\lambda - i} \quad \text{and} \quad \xi_j^- = \xi_j + i/2$$

$$\begin{aligned}
& \sum_{k=1}^{2s} \left[ \Lambda^{(s-k/2)}(\xi_j - ik/2, \{\lambda\}) \Lambda^{((k-1)/2)}(\xi_j^- - i(k-2s)/2, \{\mu\}) \right. \\
& \times \left. \left[ \prod_{p \neq a} b^{-1}(\mu_p - \xi_j^- + i(k-s)) - d(\xi_j^- - i(k-s)) \prod_{p \neq a} b^{-1}(\xi_j^- - i(k-s) - \mu_p) \right] \right. \\
& \times \left. \frac{-i}{(\mu_a - \xi_j^- + i(k-s))^2} \frac{\prod_{p=1}^{\tilde{\ell}} (\mu_p - \xi_j^- + i(k-s))}{\prod_{p=1}^{\ell} (\lambda_p - \xi_j^- + i(k-s))} \right] = \\
& \frac{\prod_{p=1}^{\tilde{\ell}} (\mu_p - \xi_j^- - is)}{\prod_{p=1}^{\ell} (\lambda_p - \xi_j^- + is)} \frac{(-2is)}{(\mu_a - \xi_j^- + is)(\mu_a - \xi_j^- - is)}
\end{aligned}$$

- The proof can be carried out by using the explicit formulae for  $\Lambda^{(s)}(u, \{\lambda\})$  obtained from fusion and certain properties of the function  $d(x)$ .
- These complicated expressions appear as a direct consequence of the reconstruction formulae for the operators  $S_j^{z, \pm}$ .