

The metric in quasi-Hermitian quantum mechanics: overview and recent results

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Stellenbosch University

Central campus with
underground library



Twin peaks with
snow
(1504 m)



Institute of Theoretical Physics
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Stellenbosch Vineyards



Stellenbosch (founded 1673)



Historic Stellenbosch – Cape Dutch Style



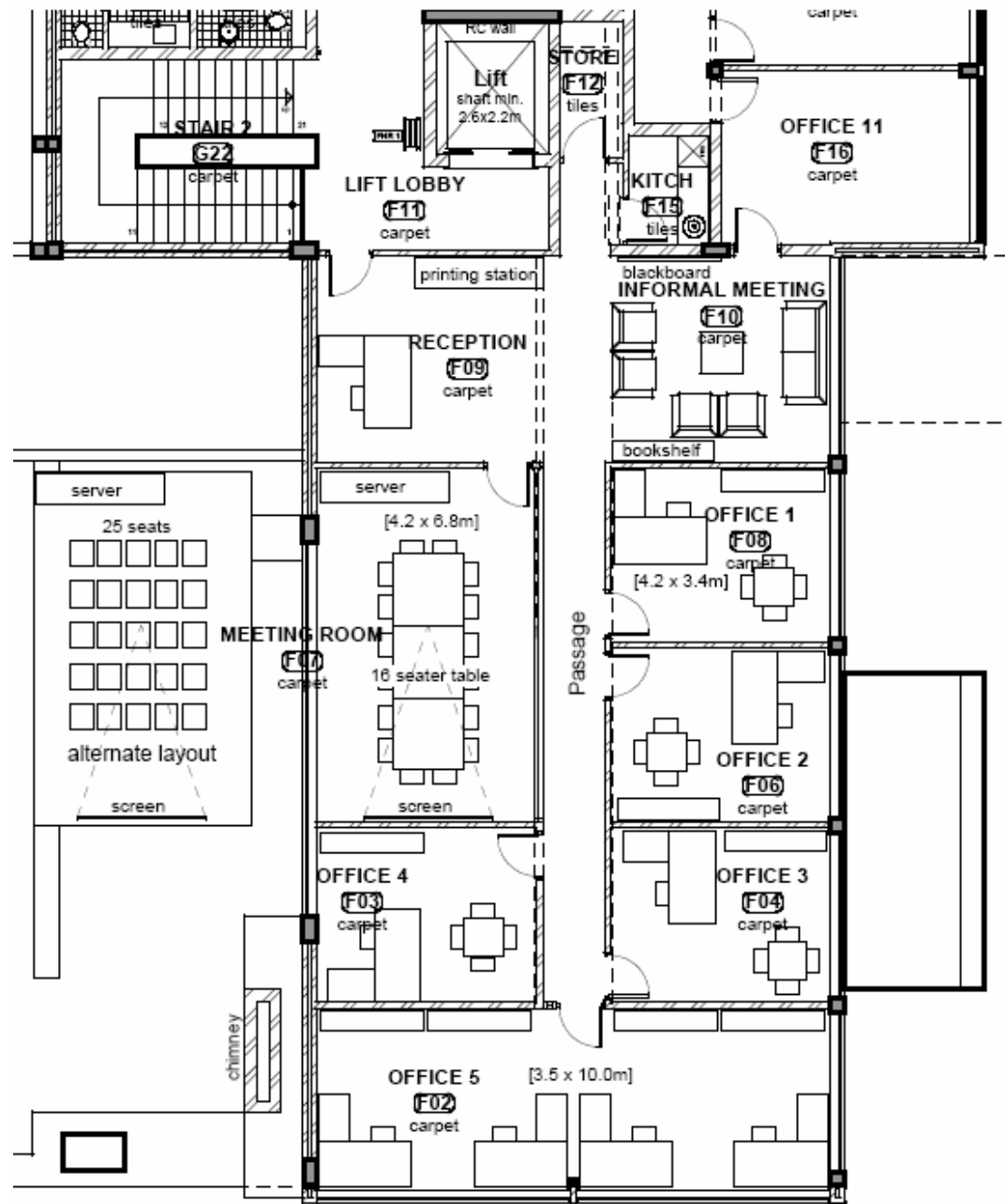
Stellenbosch



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View from postdoc office (overlooking vineyard area)



STIAS offices – to be used by NITheP for 6-8 weeks programmes



STIAS seminar area (up to 150 people; can be subdivided)



Brief outline

- Non-Hermitian Hamiltonians in the context of interacting boson models
- General framework for ***consistent*** non-Hermitian QM
- Framework of \mathcal{PT} -symmetric QM – links to above
- Role and construction of the metric – Moyal products
- The non-Hermitian oscillator: an example
- Possible link to Berry connection and curvature; ground state phase information in the metric?
- Conclusions; avenues to explore



Non-Hermitian Hamiltonians in the context of interacting boson models

On microscopic level arise through application of the non-unitary Dyson-type mapping to bifermion operators (schematically)

$$c^\dagger c^\dagger \longleftrightarrow f(B^\dagger, B) = B^\dagger - B^\dagger B^\dagger B$$

$$cc \longleftrightarrow g(B^\dagger, B) = B$$

$$c^\dagger c \longleftrightarrow h(B^\dagger, B) = B^\dagger B$$

$$g \neq f^\dagger$$

A (Hermitian) 1-plus-2-body fermion Hamiltonian is generally mapped onto a non-Hermitian 1-plus-2-body boson Hamiltonian
In the boson Hamiltonian this typically leads to terms of the type

$$\alpha B_i^\dagger B_j^\dagger B_k B_l + \beta B_l^\dagger B_k^\dagger B_j B_i$$

$$\alpha \neq \beta$$



Consider the following two possible (Holstein-Primakoff and Dyson) boson realisations of SU(2) fermion pair operators

$$\begin{aligned}
 J_+ &= \sum_{m=1}^{\Omega} a_m^\dagger a_{-m}^\dagger \rightarrow b^\dagger \sqrt{2\Omega - b^\dagger b} \rightarrow b^\dagger (2\Omega - b^\dagger b) \\
 J_- &= \sum_{m=1}^{\Omega} a_{-m} a_m \rightarrow \sqrt{2\Omega - b^\dagger b} b \rightarrow b \\
 J_z &= \sum_{m=1}^{\Omega} a_m^\dagger a_m \rightarrow b^\dagger b - \Omega \rightarrow b^\dagger b - \Omega
 \end{aligned}$$

The pairing Hamiltonian $H = J_+ J_-$ maps onto an

Hermitian boson Hamiltonian in both cases, but not so for eg

$$H = J_+ J_+ + J_- J_-$$



Since the mapping is faithful (all algebraic properties are preserved), it is here guaranteed that the spectrum of the non-Hermitian Hamiltonian will be real (and identical to the original spectrum)

Caveat of physical subspace

Question: Can a criterion be given for a *general* (eg phenomenological) non-Hermitian Hamiltonian to have a real spectrum?

If so, can a consistent quantum mechanical framework be constructed on this basis?



Answer is positive

FG Scholtz, HB Geyer & FJW Hahne
Ann Phys (NY) 213 (1992) 74-101

Require existence of a linear operator (metric) Θ on Hilbert space \mathcal{H}

$\Theta : \mathcal{H} \rightarrow \mathcal{H}$ such that

- (i) $\mathcal{D}(\Theta) = \mathcal{H}$
- (ii) $\Theta^\dagger = \Theta$ (Hermiticity)
- (iii) $(\varphi, \Theta\varphi) > 0 \quad \forall \varphi \in \mathcal{H} \text{ and } \varphi \neq 0$ (positive definiteness)
- (iv) $\|\Theta\varphi\| \leq \|\Theta\| \|\varphi\| \quad \forall \varphi \in \mathcal{H}$ (boundedness)
- (v) $\Theta H = H^\dagger \Theta$ (H is **quasi-Hermitian** wrt metric Θ)



A note on terminology:

“Quasi-Hermitian” was introduced in our 1992 Ann Phys paper, following existing terminology in linear algebra (eg “Methods of Matrix Algebra” by MC Pease III (NY, Academic, 1965)), now referring to a **complete and consistent framework for non-Hermitian QM**.

In papers since 2002 by Mostafazadeh (and other authors) “pseudo-Hermitian” has been used for the same concept, although **without the requirement of positivity for the metric**, since the primary focus (at first) was on conditions for the **reality of the spectrum** of a non-Hermitian Hamiltonian, for which the existence of Θ with $\Theta H = H^\dagger \Theta$ is sufficient.



The metric Θ is not uniquely defined by these conditions.

However, by requiring

$$\Theta A_i = A_i^\dagger \Theta \quad \forall i$$

for a **set** of operators A_i which is **irreducible** (and includes H), uniqueness can be proved

The introduction of the metric Θ amounts to the introduction of a modified inner product

$$(\varphi, \psi)_\Theta \equiv (\varphi, \Theta \psi)$$

$$\Rightarrow (\varphi, A_i \psi)_\Theta = (\varphi, \Theta A_i \psi) = (\varphi, A_i^\dagger \Theta \psi) = (A_i \varphi, \psi)_\Theta$$



In some sense the metric fixes the physical content of the theory. What does this mean and how can it be used...?



Link to Gauge Theories

- In Dirac quantisation of a gauge theory, the physical Hilbert space is defined as the subspace annihilated by the (first class) constraints.
- What is the inner product on the physical Hilbert space?
- Ashtekar and Rendall considered this issue in parallel with our work (1992).
- Conclusion: if the gauge invariant observables (which commute weakly with the constraints) form an irreducible set, the inner product on the physical Hilbert space is uniquely determined.
- **Again, the observables dictate the choice of inner product (Hilbert space).**



PT-symmetric quantum mechanics

Carl Bender *et al*

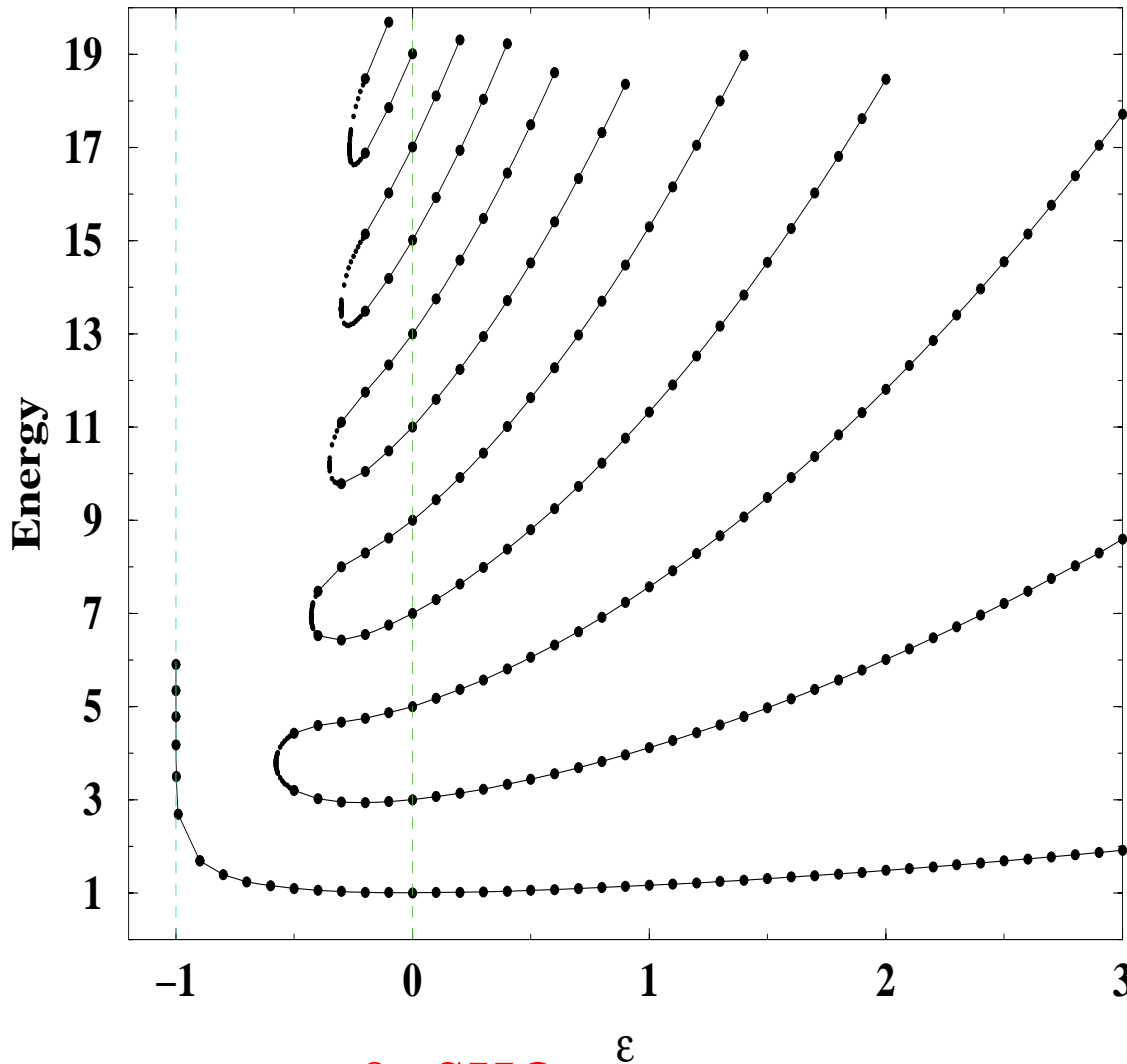
Developed from studies of the class of Hamiltonians

$$H = p^2 + x^2 (ix)^\varepsilon$$

for which numerical studies (based on, and supported by, in-depth analysis) confirmed a real spectrum only for $\varepsilon \geq 0$ (subsequently strictly proven by Dorey *et al* via Bethe ansatz).



PT Symmetry – “trademark cartoon” (from Bender *et al*)



$$H = p^2 + x^2 (ix)^\varepsilon$$

$$\varepsilon \geq 0$$

spectrum real, positive

$$-1 < \varepsilon < 0$$

pos eigenvalues: finite #

complex conjugate pairs

$\varepsilon = 0$, SHO



Emphasised by Bender *et al* that the reality of the spectrum may be linked to \mathcal{PT} -symmetry (ie invariance of H under simultaneous parity and time reversal)

The parity operator \mathcal{P} is *linear*

$$p \rightarrow -p \quad \text{and} \quad x \rightarrow -x$$

The time-reversal operator \mathcal{T} is *anti-linear*

$$p \rightarrow -p, \quad x \rightarrow x \quad \text{and} \quad i \rightarrow -i$$

For *unbroken* \mathcal{PT} -symmetry (simultaneous eigenstate of H and \mathcal{PT}) reality follows readily. However, $[H, \mathcal{PT}] = 0$ does *not* generally imply simultaneous eigenstates, since \mathcal{PT} is anti-linear. Assumption is non-trivial, as it is not simple to determine *a priori* whether \mathcal{PT} -symmetry is unbroken.



Link to previous considerations (metric) by introducing the so-called \mathcal{C} -operator

Properties similar to standard charge operator $\mathcal{C}^2 = 1$

Position space representation $\mathcal{C}\phi_n(x) = (-1)^n \phi_n(x)$

$$C(x, y) = \sum_n \phi_n(x) \phi_n(y), \text{ where the } \phi_n(x) \text{ are eigenstates of } H$$

Introduce a modified inner product

$$\langle f | g \rangle_{CPT} \equiv \int_C dx [CPT f(x)] g(x)$$

with completeness relation

$$\sum_n \phi_n(x) [CPT \phi_n(y)] = \delta(x - y)$$

This inner product is positive definite,
dynamically determined by H



Three stages in the development of \mathcal{PT} -symmetric QM

- Real spectra for (some) non-Hermitian Hamiltonians
 - Link with \mathcal{PT} -symmetry
 - Identification of a positive definite inner product
- ⇒ consistent QM framework



Role and construction of the metric – Moyal products

FG Scholtz & HBG, PLB 634 (2006) 84

J Phys A 39 (2006) 10189

Constructing the metric Θ , it is required to solve the operator equation

$$\Theta H = H^\dagger \Theta, \quad \text{where } \Theta = \Theta(x, p)$$

Exploit the Moyal construction which re-writes the *operator* equation as a standard *partial differential* equation, based on the Moyal or star product (replacing the ordinary product)

$$A(x, p) * B(x, p) \equiv A(x, p) e^{i\hbar \bar{\partial}_x \bar{\partial}_p} B(x, p)$$

where the non-commutative nature of x and p is captured by *directional derivatives* acting on ordinary functions



Check: suppose we specify \hat{x} and \hat{p} to be observables (other observables such as H are to be functions of \hat{x} and \hat{p}), then the equations for the metric are

$$\left. \begin{array}{l} \Theta \hat{x} = \hat{x} \Theta \\ \Theta \hat{p} = \hat{p} \Theta \end{array} \right\} \Rightarrow \left. \begin{array}{l} \Theta * x = x * \Theta \\ \Theta * p = p * \Theta \end{array} \right\} \Rightarrow \frac{\partial \Theta}{\partial x} = \frac{\partial \Theta}{\partial p} = 0 \Rightarrow \Theta = \text{const.}$$

as in standard QM.



Moyal products – brief background

For Hilbert space with finite dimension N , construct unitary irrep of Heisenberg-Weyl algebra

$$gh = e^{i\phi} hg; \quad g^\dagger = g^{-1}, \quad h^\dagger = h^{-1},$$

$$\phi = 2\pi/N$$

$U(n, m) = g^n h^m$ forms a basis, $m, n = 0, 1, \dots, N-1$

Expand any operator $A = \sum_{n,m=0}^{N-1} a_{n,m} g^n h^m$, $a_{n,m} = (U(n, m), A) / N$

with $(B, A) \equiv \text{tr } B^\dagger A$



Substitute

$$g \rightarrow e^{i\alpha}, \quad h \rightarrow e^{i\beta}; \quad \alpha, \beta \in [0, 2\pi)$$

turns A into a function

$$A(\alpha, \beta) = \sum_{n,m=0}^{N-1} a_{n,m} e^{in\alpha} e^{im\beta}$$

uniquely determined by the operator A .

Isomorphism with operator product AB now established by Moyal or star product

$$A(\alpha, \beta) * B(\alpha, \beta) \equiv A(\alpha, \beta) e^{i\phi \bar{\partial}_\alpha \bar{\partial}_\beta} B(\alpha, \beta)$$

where directional derivatives in the exponent capture the non-commutative nature of the operators

Given the function

$$A(\alpha, \beta) \quad \text{establish the coefficients } a_{n,m}$$

through Fourier transformation, and finally the operator A



Can establish a relation between the two *functions* which represent a given *operator* and its Hermitian conjugate.

This can then be used to establish the condition for Hermiticity on the level of *functions*

$$A^*(\alpha, \beta) = e^{-i\phi \partial_\alpha \partial_\beta} A(\alpha, \beta).$$

All of these results for a finite dimensional Hilbert space can be generalized to the case of QM.

The main result is the form of the Moyal product which now reads

$$A(x, p) * B(x, p) \equiv A(x, p) e^{i\hbar \bar{\partial}_x \bar{\partial}_p} B(x, p)$$



A shifted oscillator – the ix potential

The shifted harmonic oscillator with

$V(x) = \frac{1}{2}x^2 + \gamma x$ can of course be solved exactly,

also for the \mathcal{PT} -symmetric case $\gamma = i$

It is also known that the \mathcal{C} -operator and the metric Θ can be related by

$$\mathcal{C} = \Theta^{-1} \mathcal{P}$$

in this case the \mathcal{C} -operator had been solved (Bender) as

$$\mathcal{C} = e^{-2p} \mathcal{P}$$

From the Moyal product construction the metric Θ is solved from the PDE

$$2ix \Theta(x, p) + (ix - 1) \Theta^{(0,1)} - \frac{1}{2} \Theta^{(0,2)} - i \Theta^{(1,0)} p + \frac{1}{2} \Theta^{(2,0)} = 0,$$

$$\text{with } \Theta^{(m,n)} = \frac{\partial^{m+n} \Theta}{\partial^m x \partial^n p}$$



Assuming $\Theta = \Theta(p)$, the PDE reduces to the ODE

$$2ix\Theta + (ix - 1)\Theta' - \frac{1}{2}\Theta'' = 0,$$

with solution $\Theta = e^{-2p}$ as before

From here all the standard results for the shifted oscillator can be obtained



Hermiticity and positive definiteness of the metric Θ

The PDE for the metric Θ is linear, of the form $L\Theta(x,p) = 0$. From

$$e^{-i\hbar\partial_x\partial_p} x e^{i\hbar\partial_x\partial_p} = x - i\hbar\partial_p \quad \text{and} \quad e^{-i\hbar\partial_x\partial_p} p e^{i\hbar\partial_x\partial_p} = p - i\hbar\partial_x$$

it follows that

$$e^{-i\hbar\partial_x\partial_p} L e^{i\hbar\partial_x\partial_p} = -L^* \quad \text{implying}$$

$$L^* e^{-i\hbar\partial_x\partial_p} \Theta(x, p) = 0.$$

But

$$L^* \Theta^*(x, p) = 0.$$

Thus, provided the boundary conditions also satisfy the general hermiticity condition

$$A^*(x, p) = e^{-i\hbar\partial_x\partial_p} A(x, p), \quad \text{then} \quad \Theta^*(x, p) = e^{-i\hbar\partial_x\partial_p} \Theta(x, p)$$

ie the metric is guaranteed to be Hermitian, since L is linear (and has a unique solution).



For the shifted oscillator e.g. this follows trivially; for the real metric

$$\Theta = e^{-2p}$$

which is a function of p only,

$$e^{-i\hbar\partial_x\partial_p}\Theta(x,p) = \Theta(p) = \Theta^*(p),$$

i.e. Hermitian.

To verify positive definiteness, one generally verifies that the logarithm of the metric is Hermitian. First requires that the *function* corresponding to the logarithm has to be found, ie find $\eta(x,p)$ such that

$$\Theta = 1 + \eta + \frac{1}{2!}\eta*\eta + \frac{1}{3!}\eta*\eta*\eta + \dots$$

Here the Moyal product trivially reduces to an ordinary product, the logarithm of Θ is simply $-2p$, and again obviously Hermitian.



Example: non-Hermitian oscillator

$$H = \omega \left(a^\dagger a + \frac{1}{2} \right) + \alpha a^2 + \beta a^{\dagger 2} \quad \alpha \neq \beta$$

Can solve this by rescaling $a \rightarrow \lambda a$ and $a^\dagger \rightarrow \lambda^{-1} a^\dagger$

$$\boxed{H} = \omega \left(a^\dagger a + \frac{1}{2} \right) + \sqrt{\alpha\beta} \left(a^2 + a^{\dagger 2} \right)$$

Diagonalise with standard Bogoliubov transformation;

Yields SHO, effective frequency $\Omega = \sqrt{\omega^2 - 4\alpha\beta}$

Spectrum $E_n = (n + 1/2)\hbar\Omega$

If S hermitizes H , then H is quasi-Hermitian wrt $\Theta = S^\dagger S$

$$\text{Here } S = \left(\frac{\alpha}{\beta} \right)^{\hat{n}/4} \quad (\text{with } \hat{n} = a^\dagger a) \quad \Rightarrow \quad \boxed{H} = SHS^{-1} = \boxed{H}^\dagger$$



Choosing different observables to complete the irreducible set together with the Hamiltonian yields different metrics

$$\text{number operator } \hat{n} \Rightarrow \Theta(\hat{n}) = \left(\frac{\alpha}{\beta} \right)^{\hat{n}/2}$$

$$\text{position } x \Rightarrow \Theta(x) = \exp\left(\frac{\alpha - \beta}{(\omega - \alpha - \beta)} x^2 \right)$$

$$\text{momentum } p \Rightarrow \Theta(p) = \exp\left(-\frac{\alpha - \beta}{(\omega + \alpha + \beta)} p^2 \right)$$

These can be obtained by solving simple difference or differential equations



Using the Moyal construction to obtain Θ in general,
 first re-write $\hat{H}(a^\dagger, a) = \hat{H}(x, p)$

$$\hat{H} - \omega / 2 = a\hat{p}^2 + b\hat{x}^2 + ic\hat{p}\hat{x}$$

$$a = (\omega - \alpha - \beta) / 2, \quad b = (\omega + \alpha + \beta) / 2, \quad c = (\alpha - \beta)$$

This yields the associated functions (p ordered
 to left of x at operator level)

$$H(x, p) = ap^2 + bx^2 + icpx; \quad H^\dagger(x, p) = ap^2 + bx^2 - icpx + c$$

From $H(x, p) * \Theta(x, p) = \Theta(x, p) * H^\dagger(x, p)$ one

finds the PDE



$$c(1-2ipx)\Theta(x,p) + (cp-2ibx)\Theta^{(0,1)}(x,p) + (cx+2iap)\Theta^{(1,0)}(x,p) + b\Theta^{(0,2)}(x,p) - a\Theta^{(0,2)}(x,p) = 0$$

where $\Theta^{(m,n)} = \frac{\partial^{n+m}\Theta}{\partial^n x \partial^m p}$

Choice of boundary conditions
 \leftrightarrow non-uniqueness of metric

General solution is $\Theta(x,p) = \exp(rp^2 + spx + tx^2)$

with s a free parameter, and

$$r = \frac{-c \pm \sqrt{c^2 - 4ab\hbar s(2i - \hbar s)}}{4b\hbar}; \quad t = \frac{c \pm \sqrt{c^2 - 4ab\hbar s(2i - \hbar s)}}{4a\hbar}$$

(essential singularity at $\hbar = 0$; metric not a classical object)



Specifying p as an observable (in addition to H) requires

$$p * \Theta(x, p) = \Theta(x, p) * p \quad \text{which gives} \quad \Theta^{(1,0)}(x, p) = 0$$

$$\text{i.e.} \quad \Theta(x, p) = \Theta(p)$$

This requires

$$s = 0; t = 0; r = -\frac{c}{2b} = -\frac{\alpha - \beta}{\omega + \alpha + \beta}$$

with

$$\Theta(p) = \exp\left(-\frac{\alpha - \beta}{(\omega + \alpha + \beta)} p^2\right)$$

as before



One can now continue to calculate matrix elements of various physical quantities, recalling that $S = \sqrt{\Theta}$ hermitizes H and that the inverse transformation can be used to obtain operators X and P which could be viewed as equivalent to x and p , viz

$$X = S^{-1} x S$$

$$P = S^{-1} p S$$

$$S^2 = \Theta$$

and using the modified inner product

$$(\varphi, \psi)_{\Theta} \equiv (\varphi, \Theta \psi)$$



Also gain insight into the problem by starting directly from an *ansatz* for the similarity transformation that hermitizes H :

$$S = \exp A$$

$$A = \varepsilon a^\dagger a + \eta a^2 + \eta^* a^{\dagger 2}$$

$$SaS^{-1} = \left(\cosh \theta - \frac{\varepsilon}{\theta} \sinh \theta\right)a - 2\frac{\eta^*}{\theta} \sinh \theta a^\dagger$$

$$Sa^\dagger S^{-1} = \left(\cosh \theta + \frac{\varepsilon}{\theta} \sinh \theta\right)a^\dagger + 2\frac{\eta}{\theta} \sinh \theta a$$

$$\theta = \sqrt{\varepsilon^2 - 4|\eta|^2}$$

$$h_s = SHS^{-1} = U(\varepsilon, \eta) \left(a^\dagger a + \frac{1}{2} \right) + V(\varepsilon, \eta) a^2 + W(\varepsilon, \eta) a^{\dagger 2}$$



h_s Hermitian requires

$U \in \square$; $V = W^*$, leading to

$$\frac{\tanh \theta}{\theta} = \frac{\alpha - \beta}{(\alpha + \beta)\varepsilon - 2\omega\eta} \quad \text{with } \eta = \eta^*$$

Position and momentum observables are accordingly given by

$$X = S^{-1}\hat{x}S = (\cosh \theta \hat{x} + \frac{i}{\omega} \frac{\varepsilon - 2\eta}{\theta} \sinh \theta \hat{p})$$

$$P = S^{-1}\hat{p}S = (\cosh \theta \hat{p} - i\omega \frac{\varepsilon + 2\eta}{\theta} \sinh \theta \hat{x})$$

Clearly metric dependent

$$\varepsilon = \frac{1}{2\sqrt{1-z^2}} \operatorname{arctanh} \frac{(\alpha - \beta)\sqrt{1-z^2}}{\alpha + \beta - z\omega}$$

Define

$$z = \frac{\varepsilon}{2\eta}$$



$$h_{S(z)} = \frac{1}{2} (\mu(z) \hat{p}^2 + \nu(z) \hat{x}^2)$$

$\mu(z)$, $\nu(z)$ functions of α , β , ω and z

$S(z)$ is obtained similarly

For $z=0$ one has

$$\varepsilon = 1/4 \ln (\alpha / \beta)$$

$$\Theta = S^2 = \left(\frac{\alpha}{\beta} \right)^{\hat{n}/2}$$

and

$$h_{S(z=0)} = \frac{\omega - 2\sqrt{\alpha\beta}}{2\omega} \hat{p}^2 + \frac{\omega}{2} (\omega + 2\sqrt{\alpha\beta}) \hat{x}^2$$



For $z=1$ one has similarly

$$\varepsilon = -(\alpha - \beta)/(2(\omega - \alpha - \beta))$$

$$\Theta = S^2 = \exp\left(-\frac{\alpha - \beta}{\omega - \alpha - \beta} \omega \hat{x}^2\right)$$

and

$$h_{S(z=1)} = \frac{\omega - \alpha - \beta}{2\omega} \hat{p}^2 + \frac{\omega \Omega^2}{2(\omega - \alpha - \beta)} \hat{x}^2$$

All forms of h_S are of course isospectral, viz

$$\mu\nu = \Omega^2 = \omega^2 - 4\alpha\beta$$



The classical limit of the hermitized Hamiltonian is

$$E_{\text{cl}} = A^2 \Omega^2 / 2\mu(z)$$

A is the classical oscillation amplitude

which is explicitly metric dependent, contrary to a recent conjecture from a perturbative calculation (Mostafazadeh) that it should be metric independent.

Berry connection and curvature

Consider $H(q_1, q_2, \dots) \equiv H(q)$, generally non-Hermitian

Write $H(q) = S(q)D(q)S^{-1}(q)$, where $D(q)$ is diagonal in chosen basis

$S(q)$ may be singular

Differentiate wrt q 's

$$\frac{\partial H(q)}{\partial q_i} - S(q) \frac{\partial D(q)}{\partial q_i} S^{-1}(q) = [A_i(q), H(q)].$$

with Berry connection

$$A_i(q) = \frac{\partial S(q)}{\partial q_i} S^{-1}(q).$$

generates change in eigenstates



Consider singularities of $S(q)$ – recall that metric is linked to Hermitization (diagonalization):

If S hermitizes H , then H is quasi-Hermitian wrt $\Theta = S^\dagger S$

Proceed by considering commutator with H

$$\left[\frac{\partial H(q)}{\partial q_i}, H(q) \right] = \left[[A_i(q), H(q)], H(q) \right].$$

Again resort to Moyal construction to solve operator equation for Berry connection A

While there is a 'gauge freedom' in A , the Berry **phase** should be unique



Invariance of Berry curvature under 'gauge' transformation: $\Lambda(q)$ diagonal

$$A_i(q) \rightarrow A'_i(q) = A_i(q) + S(q) \frac{\partial \Lambda(q)}{\partial q_i} \Lambda^{-1}(q) S^{-1}(q)$$

$$S_1 = \left(1 + A_j dq_j + \frac{1}{2} \left(\frac{\partial A_j}{\partial q_j} + A_j^2 \right) dq_j^2 \right) S_0 ,$$

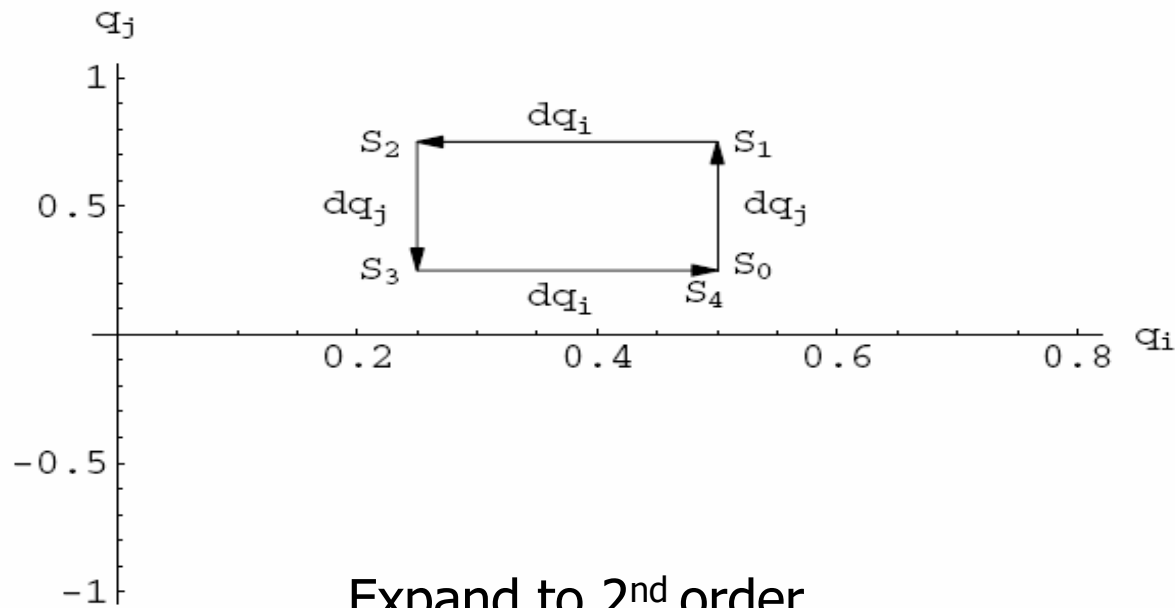
Change in S to 2nd order around plaquette

$$S_2 = \left(1 + A_i dq_i + \frac{\partial A_i}{\partial q_j} dq_i dq_j + \frac{1}{2} \left(\frac{\partial A_i}{\partial q_i} + A_i^2 \right) dq_i^2 \right) S_1 ,$$

$$S_3 = \left(1 - A_j dq_j - \frac{\partial A_j}{\partial q_i} dq_i dq_j + \frac{1}{2} \left(A_j^2 - \frac{\partial A_j}{\partial q_j} \right) dq_j^2 \right) S_2 ,$$

$$S_4 = \left(1 - A_i dq_i + \frac{1}{2} \left(A_i^2 - \frac{\partial A_i}{\partial q_i} \right) dq_i^2 \right) S_3 .$$





Expand to 2nd order

$$S_1 = \left(1 + A_j dq_j + \frac{1}{2} \left(\frac{\partial A_j}{\partial q_j} + A_j^2 \right) dq_j^2 \right) S_0,$$

$$S_2 = \left(1 + A_i dq_i + \frac{\partial A_i}{\partial q_j} dq_i dq_j + \frac{1}{2} \left(\frac{\partial A_i}{\partial q_i} + A_i^2 \right) dq_i^2 \right) S_1,$$

$$S_3 = \left(1 - A_j dq_j - \frac{\partial A_j}{\partial q_i} dq_i dq_j + \frac{1}{2} \left(A_j^2 - \frac{\partial A_j}{\partial q_j} \right) dq_j^2 \right) S_2,$$

$$S_4 = \left(1 - A_i dq_i + \frac{1}{2} \left(A_i^2 - \frac{\partial A_i}{\partial q_i} \right) dq_i^2 \right) S_3.$$



yielding $S_4 = (1 + F_{ij} dq_i dq_j) S_0$,

where the Berry curvature has been introduced:

$$F_{ij} = \frac{\partial A_i}{\partial q_j} - \frac{\partial A_j}{\partial q_i} + [A_i, A_j].$$

Invariance of F under the transformation

$$A_i(q) \rightarrow A'_i(q) = A_i(q) + S(q) \frac{\partial \Lambda(q)}{\partial q_i} \Lambda^{-1}(q) S^{-1}(q),$$

now readily follows



Solving for the Berry connection from the operator equation

$$\left[\frac{\partial H(q)}{\partial q_i}, H(q) \right] = \left[[A_i(q), H(q)], H(q) \right].$$

Consider a simple 2D matrix model

$$H(q_1, q_2) = \begin{pmatrix} 1 & q_1 + iq_2 \\ q_1 + iq_2 & -1 \end{pmatrix};$$

General solution is

$$A_1(q_1, q_2) = \begin{pmatrix} \frac{2w_1}{q_1 + iq_2} - \frac{1}{(q_1 + iq_2)(1 + (q_1 + iq_2)^2)} + y_1 & w_1 - \frac{1}{1 + (q_1 + iq_2)^2} \\ w_1 & y_1 \end{pmatrix}$$

$$A_2(q_1, q_2) = \begin{pmatrix} \frac{2iw_1}{q_1 + iq_2} - \frac{i}{(q_1 + iq_2)(1 + (q_1 + iq_2)^2)} + y_2 & iw_1 - \frac{i}{1 + (q_1 + iq_2)^2} \\ iw_1 & y_2 \end{pmatrix}$$



Removing (spurious) singularity at the origin leaves

$$A_1(q_1, q_2) = -iA_2(q_1, q_2) = \begin{pmatrix} \frac{q_1 + iq_2}{1 + (q_1 + iq_2)^2} & \frac{1}{2} - \frac{1}{1 + (q_1 + iq_2)^2} \\ \frac{1}{2} & 0 \end{pmatrix}$$

Singularities at $q_1 = 0$, $q_2 = \pm 1$, ie at the exceptional points where H is not diagonalizable

Compute curvature at exceptional point from $\left. \frac{\partial S(\phi)}{\partial \phi} S^{-1}(\phi) \right|_{(0,1)} = A_\phi = \begin{pmatrix} \frac{i}{2} & -\frac{1}{2} \\ 0 & 0 \end{pmatrix}$.

Result is $F = \begin{pmatrix} -1 & -2i \\ 0 & 1 \end{pmatrix}$.

Eigenstates close to e.p. are $u_\pm = \begin{pmatrix} -i \pm i\sqrt{2w} \\ 0 \end{pmatrix}$

$Fu_\pm = u_\mp$, interchanging eigenstates as anticipated



Analysis for the quadratic boson Hamiltonian

Can express H as

$$H(x, p) = p^2 + q_1 x^2 + iq_2 px; \quad q_1 = \frac{b}{a} = \frac{\omega + \alpha + \beta}{\omega - \alpha - \beta}, \quad q_2 = \frac{c}{a} = \frac{2(\alpha - \beta)}{\omega - \alpha - \beta}.$$

Now solve

$$\left[\frac{\partial H(x, p, q)}{\partial q_i}, H(x, p, q) \right]_* = \left[[A_i(x, p, q), H(x, p, q)], H(x, p, q) \right]_*.$$

where

$$[A(x, p), B(x, p)]_* = A(x, p) * B(x, p) - B(x, p) * A(x, p).$$

is the Moyal bracket



Ansatz for A :

$$A_i(x, p) = r_i p^2 + s_i xp + t_i x^2 ; i = 1, 2 ,$$

yields

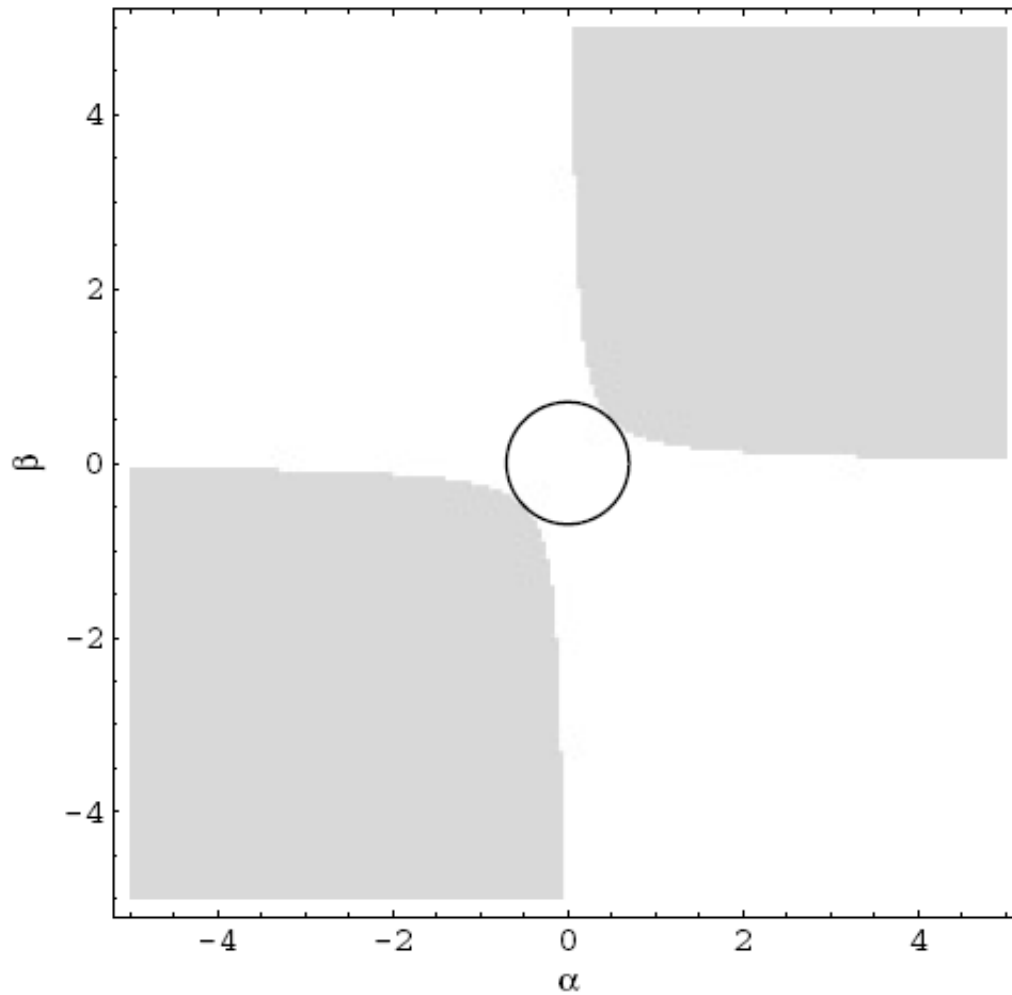
$$A_1(x, p) = \frac{i p x}{\hbar (4 q_1 + q_2^2)} - \frac{x^2 q_2}{2 \hbar (4 q_1 + q_2^2)},$$
$$A_2(x, p) = \frac{x^2 q_2}{\hbar (4 q_1 + q_2^2)} + \frac{i p x q_2}{2 \hbar (4 q_1 + q_2^2)}.$$

Singularities at

$$4q_1 + q_2^2 = \omega^2 - 4\alpha\beta = 0$$

On these curves the Bogoliubov transformation that diagonalizes H breaks down; metric Θ does not exist. Link to quantum phase transition?





Singular curves of the Berry connection; cannot pass between regions without crossing a singularity of $S(q)$

Circle is expected radius of convergence for perturbative expansion around the origin.



Conclusions & avenues to explore

- A *consistent* framework of QM can be built on quasi-Hermitian operators; **exists since 1992** and includes \mathcal{PT} -symmetric quantum mechanics; central role of metric
- Moyal product construction is a viable route to obtain the metric from its basic operator definition
- Explore non-uniqueness of metric/different choices of irreducible set of observables; choice of observables (and metric) as starting point of QM
- Possible link between phase structure/transitions and singularities of the metric
- Phenomenology of non-Hermitian boson Hamiltonians
- Explicit construction of metric for such models (old problem of CM Vincent & GK Kim)
- Clarify what we understand under a physical application of non-Hermitian QM



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Stellenbosch Workshop, Nov 2005



Institute of Theoretical Physics
University of Stellenbosch



Laboratory for non-Hermitian QM



Experimental PT-symmetric QM



Experimental quasi-Hermitian QM

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