

# PT Symmetry, Continuous Symmetries, and Large-N

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# Motivation

- Importance of continuous symmetries
  - Conservation laws
  - Gauge symmetries
- Importance of Large-N limit
  - Powerful insights
  - Many different approaches
  - Useful in many areas of physics

# Topics

- Matrix Models with  $U(N)$  Symmetry
  - ▶ [hep-th/0701207](#)
- Vector Models with  $O(N)$  Symmetry
  - ▶ [arXiv:0707.1655 \[hep-th\]](#)

# Matrix Model Formalism

Hermitian matrix models

Brezin *et al.* (1978)

$$\frac{1}{2} \text{Tr} \left( \frac{dM}{dt} \right)^2 + \frac{g}{N} \text{Tr} M^4$$

More generally

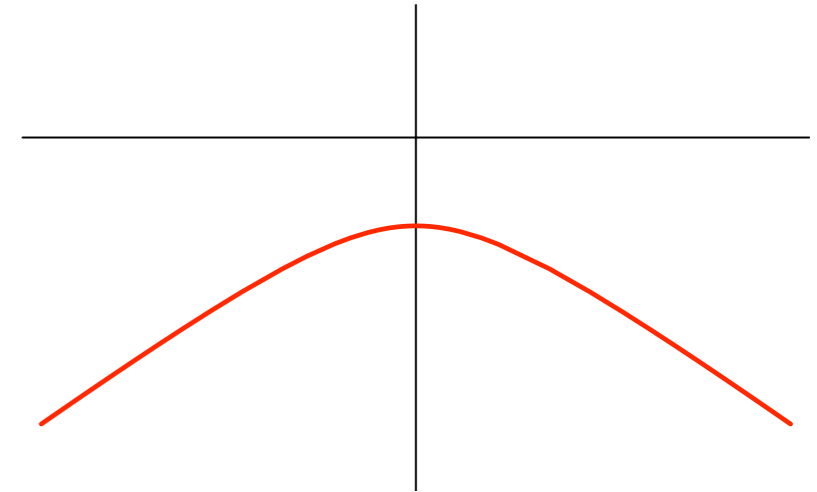
$$L = \frac{1}{2} \text{Tr} \left( \frac{dM}{dt} \right)^2 + \text{Tr} V(M)$$

Note that the potential depends only on the eigenvalues of  $M$ :

$$\text{Tr} V(M) = \sum_j V(\lambda_j) \quad M = U \Lambda U^+$$

# PT-Symmetric Matrix Models

$$L = \frac{1}{2} \text{Tr} \left( \frac{dM}{dt} \right)^2 - \frac{g}{N^{p/2-1}} \text{Tr} (iM)^p$$



- Typical, rather than general case
- Defined by extending  $M$  from Hermitian to normal matrices: eigenvalues  $\lambda_j$  become complex.
- Assume that ground state is a singlet for all  $p$ .
  - Can prove for  $p=2,4$
  - Would be nice to have general proof!

# Ground State Wave Function

- Singlet wave functions  $\psi$  are symmetric functions of eigenvalues.
- Transformation of the wave function plus separation of variables reduce the equation to a single component.

$$\phi(\lambda_1, \dots, \lambda_N) = \left[ \prod_{j < k} (\lambda_j - \lambda_k) \right] \psi(\lambda_1, \dots, \lambda_N)$$

$$H = \frac{1}{2}p^2 - \frac{g}{N^{p/2-1}} (i\lambda)^p \quad \phi(\lambda_1, \dots, \lambda_N) = \prod_j \phi_{k_j}(\lambda_j)$$

# Problem becomes fermionic!

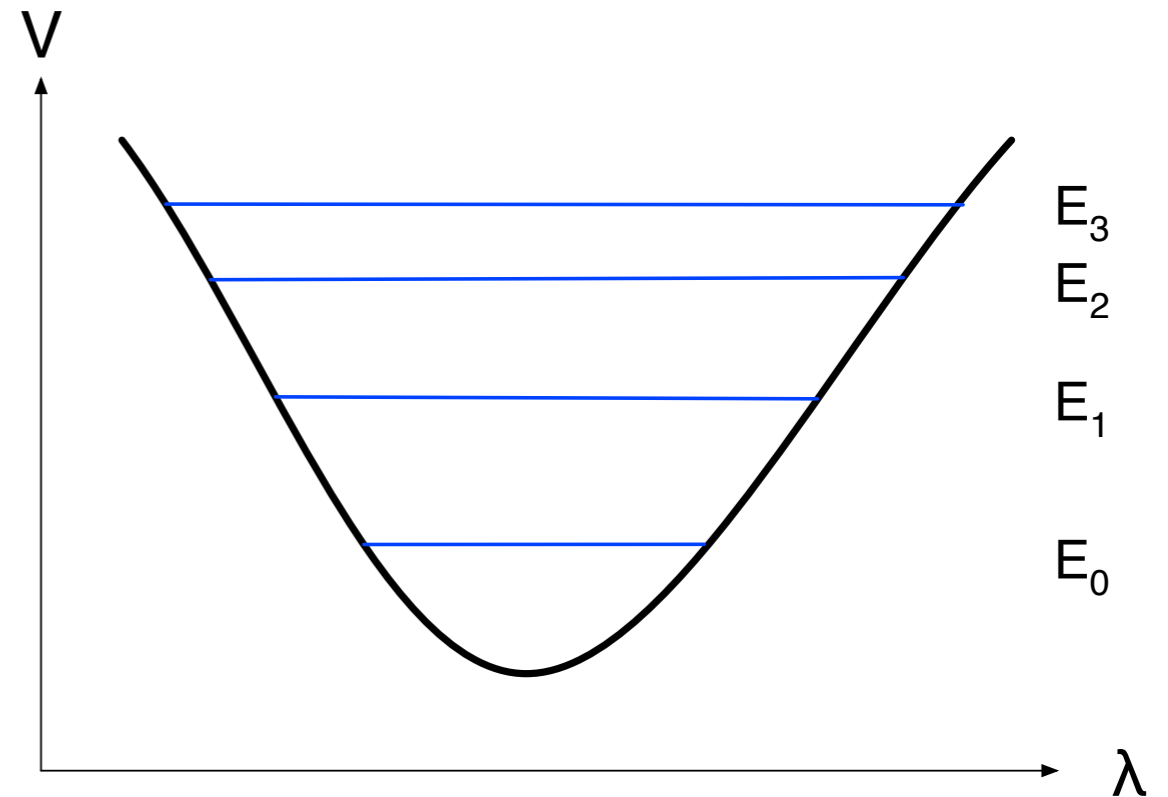
Symmetric  $\psi$  gives rise to antisymmetric  $\Phi$

$$\phi(\lambda_1, \dots, \lambda_N) = \left[ \prod_{j < k} (\lambda_j - \lambda_k) \right] \psi(\lambda_1, \dots, \lambda_N)$$

Pauli exclusion principle:  
in ground state, fill lowest  
N levels

$$\phi(\lambda_1, \dots, \lambda_N) = \prod_j \phi_{k_j}(\lambda_j)$$

$$H = \frac{1}{2}p^2 - \frac{g}{N^{p/2-1}} (i\lambda)^p$$



# WKB in the Complex Plane for Large-N

$$N = \frac{1}{2\pi} \int dp d\lambda \theta [E_F - H(p, \lambda)]$$

- Formula must be interpreted by integrating over  $p$  first.
- Formula sets top of Fermi sea.
- Once Fermi energy is known, total ground energy is sum of individual energies up to Fermi level.

$$E_{\infty}^{(0)} = \frac{1}{2\pi} \int dp d\lambda H_{sc}(p, \lambda) \theta [\epsilon_F - H_{sc}(p, \lambda)]$$



# Results for $p=3,4$

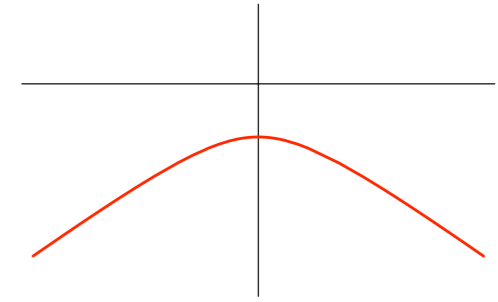
$$E_{\infty}^{(0)} = \frac{p+2}{3p+2} \left[ \left( \frac{\pi}{2} \right)^p \left( \frac{\Gamma(3/2 + 1/p)}{\sin(\pi/p) \Gamma(1 + 1/p)} \right)^{2p} g^2 \right]^{\frac{1}{p+2}}$$

Integral can be carried out using the same two-segment path used in [Bender and Boettcher \(1998\)](#): straight-line paths from the complex classical turning points to the origin.

N	$p=3$	$p=4$
1	0.762852	0.930546
2	0.756058	0.935067
3	0.754860	0.935846
4	0.754443	0.936115
5	0.754251	0.936239
6	0.754147	0.936306
7	0.754084	0.936347
8	0.754043	0.936372
$\infty$	<b>0.753991</b>	<b>0.936458</b>

# Special Case: $\text{Tr } M^4$

**PT-symmetric and Hermitian models are isospectral!**



$$M = -2i\sqrt{1 + iH}$$

$$L_{PT} = \frac{1}{2} \text{Tr} \left( \frac{dM}{dt} \right)^2 + \frac{1}{2} m^2 \text{Tr} M^2 - \frac{g}{N} \text{Tr} M^4$$

$$L_H = \frac{1}{2} \text{Tr} \left( \frac{d\Pi}{dt} \right)^2 - \sqrt{\frac{2g}{N}} \text{Tr} \Pi - m^2 \text{Tr} \Pi^2 + \frac{4g}{N} \text{Tr} \Pi^4$$

- Proof follows [Jones et al. \(2006\)](#).
- Many features of  $N=1$  case repeat.
- Anomaly disappears in the large- $N$  limit.
- Includes non-singlet states!
- Singlet nature of ground state follows.

# Conclusions for Matrix Models

- The extension from Hermitian matrix models to PT-symmetric models is straightforward.
- The large-N limit can be constructed, and treated via WKB in a manner similar to Hermitian models.
- Numerical results show a rapid approach to the large-N limit as N increases.
- The PT-matrix anharmonic oscillator is equivalent to a Hermitian matrix anharmonic oscillator, generalizing one-component results.

# PT-Symmetric Models with $O(N)$ Symmetry

$$L_E = \sum_{j=1}^N \left[ \frac{1}{2} (\partial_t x_j)^2 + \frac{1}{2} m^2 x_j^2 \right] - \frac{g}{N} \left( \sum_{j=1}^N x_j^2 \right)^2$$

- Obvious  $O(N)$  symmetry.
- Mixed problem: radial mode plus angular modes involve different physics.
- Change of variable for single-component case is problematic here.

# An Extended Model

$$L_E = \sum_{j=1}^N \left[ \frac{1}{2} (\partial_t x_j)^2 + \frac{1}{2} m^2 x_j^2 - \lambda x_j^4 \right] - \frac{g}{N} \left( \sum_{j=1}^N x_j^2 \right)^2$$

- $g=0$ :  $N$  single-component PT-symmetric models
- $\lambda=0$ :  $O(N)$  symmetric model
- Interaction defined by analytic continuation from  $p, q=1$

$$- \lambda \sum_{j=1}^N (-ix_j)^{2p} - \frac{g}{N} \left( - \sum_{j=1}^N x_j^2 \right)^q$$

# An Even More General Model

A general quartic interaction:

$$L_E = \sum_{j=1}^N \left[ \frac{1}{2} (\partial_t x_j)^2 + \frac{1}{2} m^2 x_j^2 \right] - \sum_{j,k=1}^N x_j^2 \Lambda_{jk} x_k^2$$

For our case:  $\Lambda = \lambda I + gP$

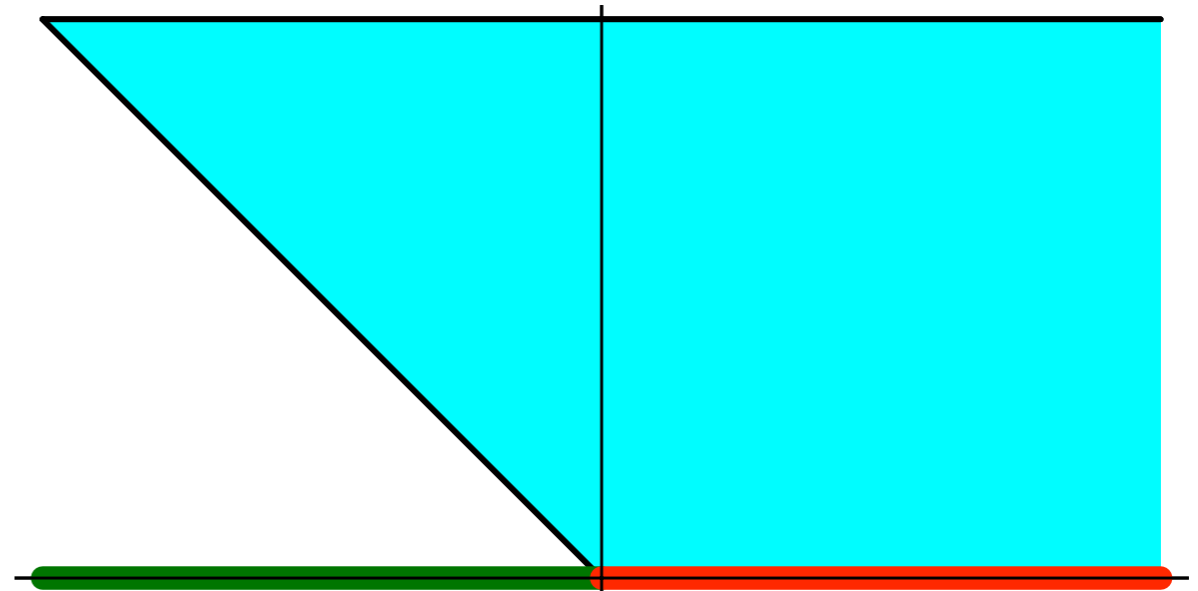
$P$  is the projector  
for the radial mode:

$$P = \frac{1}{N} \begin{pmatrix} 1 & 1 & 1 \\ 1 & 1 & \dots \\ 1 & \dots & \dots \end{pmatrix} \quad P^2 = P$$

# Strategy

- $\Lambda$  has 1 eigenvalue  $g+\lambda$  and  $N-1$  eigenvalues  $\lambda$ .
- $\mathcal{PT}$ -model is defined over an extended region.
- $\mathcal{PT}$ -symmetric model is recovered in the limit  $\lambda$  goes to zero.

$$\Lambda = (I - P) + (g + \lambda) P$$



# Equivalence to Hermitian Models

$$L_E = \sum_j \left[ \frac{1}{2} \dot{h}_j^2 + 4\lambda \left( h_j^2 - \frac{m^2}{8\lambda} \right)^2 \right] - \sqrt{2\lambda} \sum_j h_j$$
$$- \frac{g}{N(g + \lambda)} \left[ \frac{1}{2} \left( \sum_j \dot{h}_j \right)^2 + 4\lambda \left( \sum_j \left( h_j^2 - \frac{m^2}{8\lambda} \right) \right)^2 \right]$$

- Proof again follows [Jones et al. \(2006\)](#)
  - ♦ Substitution, with inclusion of  $\Delta V$
  - ♦ Promotion of functional determinant into action
  - ♦ Integration by parts
  - ♦ Functional integration over the original fields
- Many features of N=1 case repeat.
  - ✓ Quartic interactions
  - ✓ Mass term flips sign
  - ✓ Anomaly present



# The case $N=2$

- Thus far we have complete permutation symmetry.
- Taking  $\lambda$  to zero requires a rescaling that breaks that symmetry.
- Even before taking the limit, there is a natural scale separating  $\pi$  and  $\sigma$

$$h_1 = \frac{1}{\sqrt{2}} (\sigma + \pi)$$
$$h_2 = \frac{1}{\sqrt{2}} (\sigma - \pi)$$

$$\sigma \rightarrow \sqrt{\frac{g + \lambda}{\lambda}} \sigma$$

$$L_E = \frac{1}{2} \dot{\sigma}^2 + \frac{1}{2} \dot{\pi}^2 - m^2 \sigma^2 - \frac{\lambda m^2}{g + \lambda} \pi^2 + 2(g + \lambda) \sigma^4 + \frac{2\lambda^2}{g + \lambda} \pi^4 + (8g + 12\lambda) \sigma^2 \pi^2 - 2\sqrt{g + \lambda} \sigma$$

# N=2 and the Limit $\lambda=0$

$$L_E = \frac{1}{2}\dot{\sigma}^2 + \frac{1}{2}\dot{\pi}^2 - m^2\sigma^2 + 2g\sigma^4 + 8g\sigma^2\pi^2 - 2\sqrt{g}\sigma$$

- No obvious  $O(2)$  invariance.
- $\pi$  has no mass term, and no quartic self coupling.  $\pi$  appears only quadratically.
- Anomaly involves only sigma, and breaks  $\sigma$ 's discrete symmetry.
- Interactions with  $\sigma$  will give  $\pi$  a mass.

# The Hermitian Form of the $O(N)$ Model

$$L_E = \frac{1}{2}\dot{\sigma}^2 + \frac{1}{2}\dot{\vec{\pi}}^2 - m^2\sigma^2 + \frac{4g}{N}\sigma^4 + \frac{16g}{N}\sigma^2\vec{\pi}^2 - \sqrt{2gN}\sigma$$

- Has the same features the  $N=2$  case has.
- $O(N-1)$  symmetry manifest.

Could we have guessed this?

# The Large-N Limit of the $O(N)$ Model

- We can take the large-N limit by rescaling  $\sigma$ .
- Integrating over the  $(N-1)$   $\pi$  fields gives the large-N effective potential.

$$\sigma \rightarrow \sqrt{N}\sigma$$

$$L_E = \frac{N}{2}\dot{\sigma}^2 + \frac{1}{2}\dot{\vec{\pi}}^2 - Nm^2\sigma^2 + 4gN\sigma^4 + 16g\sigma^2\vec{\pi}^2 - N\sqrt{2g}\sigma$$

$$V_{eff}/N = -m^2\sigma^2 + 4g\sigma^4 + \frac{1}{2}\sqrt{32g\sigma^2} - \sqrt{2g}\sigma$$

# An “Alternate Derivation”

Let's return to the original  $O(N)$  invariant Lagrangian:

$$L_E = \sum_{j=1}^N \left[ \frac{1}{2} (\partial_t x_j)^2 + \frac{1}{2} m^2 x_j^2 \right] - \frac{g}{N} \left( \sum_{j=1}^N x_j^2 \right)^2$$

We introduce a constraint field  $\rho$

Coleman et al. (1974)

$$L_E \rightarrow L_E + \frac{g}{N} \left( \frac{2N\rho}{g} + \sum_{j=1}^N x_j^2 - \frac{Nm^2}{4g} \right)^2$$

$$L_E = \sum_{j=1}^N \left[ \frac{1}{2} (\partial_t x_j)^2 + 4\rho x_j^2 \right] + \frac{4N\rho^2}{g} - \frac{Nm^2\rho}{g} + \frac{Nm^4}{16g}$$

Original fields now quadratic.

# Back to the Future?

- If we integrate over the  $x$ 's in the most naive and unjustified way, we obtain the large- $N$  effective potential for  $\rho$ .
- It is essentially identical to our previous result.

$$V_{eff}/N = \frac{4\rho^2}{g} - \frac{m^2\rho}{g} + \sqrt{2\rho} + \frac{m^4}{16g}$$

$$\rho = g\sigma^2$$

$$V_{eff}/N = -m^2\sigma^2 + 4g\sigma^4 + \frac{1}{2}\sqrt{32g\sigma^2} - \sqrt{2g}\sigma$$

# PT-Symmetric Field Theories

- If we boldly extend this reasoning to field theory...

$$-g \left( \vec{\phi}^2 \right)^2$$

$$V_{eff}/N = \frac{4\rho^2}{g} - \frac{m^2\rho}{g} + \frac{m^4}{16g} + \frac{1}{2} \int \frac{d^d k}{(2\pi)^d} \ln [k^2 + 8\rho]$$

- Of course, this gives asymptotic freedom in the large-N limit..

$$\beta = -g^2/2\pi^2$$

# Conclusions for $O(N)$ Models

- We now know the Hermitian form of  $O(N)$ -invariant  $PT$ -symmetric quantum mechanics. Its form has many unusual features.
- Its large- $N$  limit can be derived in a simple way that we cannot yet justify.
- Arguments suggest that  $PT$ -symmetric field theories are asymptotically free, at least in the large- $N$  limit.