Screw Compressor Basics

Screw Compressor Modelling, Design and Use



Professor N. Stosic

Chair in Positive Displacement Compressor Technology

Centre for Positive Displacement Compressor Technology City University London, U.K.

Screw Compressor Today

Highly competitive market, specially in air compression and refrigeration

Continuous improvement: more compact, efficient and cost effective compressors

New rotor generation, rotors optimized for certain compressor duty, specialized design

Scope for innovation, improvement and development



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View from Bottom and Rear

Basics



Swedish company SRM, pioneer and leader

Screw compressor profiles, Symmetric, Asymmetric, 'D' and 'G' Screw compressor design Screw compressor technology

Licence system left many screw compressor manufacturers at margins of research and development Many companies started their own development

Gardner Denver, Atlas Copco, Compair, Kaeser, GHH Trane, Ingersol-Rand, Hitachi, Fu Sheng, Hanbel, Refcomp

Holroyd

Many more or less successful rotor profiles

Many more or less successful screw compressor designs

Need exists to concentrate efforts in R&D



Centre for Positive Displacement Compressor Technology

Improved methods of analysis
Experimental validation
Design of critical components
Complete machine design
Product development
Training in machine design

Methods Applied

Advanced Computerized design tools Machine process modelling 2-D and 3-D Computational Fluid Dynamics

Modern experimental technique Computerized data acquisition

Users

Renown and new companies, large and small manufacturers in the U.K and abroad

SCREW COMPRRESSOR GEOMETRY

Before modelling the physical process, the rotor lobe profiles must be defined together with the remaining parameters with which the rotor and housing geometry can be fully specified.

Rotor profile: x and y coordinates, pressure angle

Helix/lead angle, rotor length

Interlobe, end and radial clearance

Suction/discharge ports

SCREW COMPRESSOR GEOMETRY

General case: non-parallel and non-intersecting



Given profile

$$\mathbf{r}_{1} = \mathbf{r}_{1}(t,\theta) = [x_{1}, y_{1}, z_{1}] = [x_{01}\cos\theta - y_{01}\sin\theta, x_{01}\sin\theta + y_{01}\cos\theta, p_{1}\theta]$$

$$\frac{\partial \mathbf{r}_{1}}{\partial t} = \left[\frac{\partial x_{1}}{\partial t}, \frac{\partial y_{1}}{\partial t}, 0\right] = \left[\frac{\partial x_{01}}{\partial t}\cos\theta - \frac{\partial y_{01}}{\partial t}\sin\theta, \frac{\partial x_{01}}{\partial t}\sin\theta + \frac{\partial y_{01}}{\partial t}\cos\theta, 0\right]$$

$$\frac{\partial \mathbf{r}_{1}}{\partial \theta} = \left[\frac{\partial x_{1}}{\partial \theta}, \frac{\partial y_{1}}{\partial \theta}, 0\right] = [-y_{01}, x_{01}, 0]$$

General case: non-parallel and non-intersecting

Meshed profile

 $\mathbf{r}_{2} = \mathbf{r}_{2} \left(t, \theta, \tau \right) = \left[x_{2}, y_{2}, z_{2} \right] = \left[x_{1} - C, y_{1} \cos \Sigma - z_{1} \sin \Sigma, y_{1} \sin \Sigma + z_{1} \cos \Sigma \right] = \left[x_{02} \cos \tau - y_{02} \sin \tau, x_{02} \sin \tau + y_{02} \cos \tau, p_{2} \tau \right]$

$$\frac{\partial \mathbf{r}_2}{\partial \tau} = \left[-y_2, x_2, p_2\right] = \left[x_{02}\sin\tau + y_{02}\cos\tau, x_{02}\cos\tau - y_{02}\sin\tau, p_2\right] = \left[p_1\theta\sin\Sigma - y_1\cos\Sigma, p_2\sin\Sigma + (x_1 - C)\cos\Sigma, p_2\cos\Sigma - (x_1 - C)\sin\Sigma\right]$$

Meshing condition

$$\left(\frac{\partial \mathbf{r}_{1}}{\partial t} \times \frac{\partial \mathbf{r}_{1}}{\partial \theta}\right) \cdot \frac{\partial \mathbf{r}_{1}}{\partial \tau} = -\left(\frac{\partial \mathbf{r}_{1}}{\partial t} \times \frac{\partial \mathbf{r}_{1}}{\partial \theta}\right) \cdot \frac{\partial \mathbf{r}_{2}}{\partial \tau} = 0$$

$$\left[C - x_{1} + \left(p_{1} - p_{2}\right)\cot\Sigma\right] \left(x_{1}\frac{\partial x_{1}}{\partial t} + y_{1}\frac{\partial y_{1}}{\partial t}\right) + p_{1}\left[p_{1}\theta\frac{\partial y_{1}}{\partial t} + \left(p_{2} - C\cot\Sigma\right)\frac{\partial x_{1}}{\partial t}\right] = 0$$

General case: non-parallel and non-intersecting corresponds to the rotor – hobbing tool relation

Special cases:

 $p_2=0$, rotor - plate milling tool, grinding tool relation

 $\Sigma=0$, screw compressor rotors

Rotor profile, Σ =0, i=p2/p1, k=1-1/i

Meshing condition

$$\frac{dy_{01}}{dx_{01}} \left(ky_{01} - \frac{C}{i} \sin \theta \right) + kx_{01} + \frac{C}{i} \cos \theta = 0$$

Meshed profile
$$x_{02} = x_{01} \cos k\theta - y_{01} \sin k\theta - C \cos \frac{\theta}{i}$$

$$y_{02} = x_{01} \sin k\theta + y_{01} \cos k\theta + C \sin \frac{\theta}{i}$$

Rack profile

$$x_{0r} = x_{01} \cos \theta - y_{01} \sin \theta$$
$$y_{0r} = x_{01} \sin \theta + y_{01} \cos \theta - r_1 \theta$$

Numerical solution of the meshing condition

Task: to find θ for a zero function

Simple iteration method: Fast and reliable, but valid only for certain function

Additional complication In certain areas two or more θ are the zero function Only one is valid, additional values found by half interval method

SCREW COMPRESSOR GEOMETRY

Demonstrator profile



'N' ROTOR PROFILE

SCREW COMPRESSOR GEOMETRY

- Rack generation procedure
- Straight line on the rack involute rotor contact
- Small torque transmitted
- Large displacement
- Short sealing line

- Strong gate rotor,



Differential approach:

Set of differential equations solved simultaneously Equations of continuity, momentum and energy

Preintegrated equations inadequate and inaccurate if high leakage rate and heat transfer is involved

Internal Energy

$$\omega \frac{dU}{d\theta} = \dot{m}_{in} h_{in} - \dot{m}_{out} h_{out} + Q - \omega p \frac{dV}{d\theta}$$

 $\dot{m}_{in}h_{in} = \dot{m}_{suc}h_{suc} + \dot{m}_{l,g}h_{l,g} + \dot{m}_{oil}h_{oil} \quad \dot{m}_{out}h_{out} = \dot{m}_{dis}h_{dis} + \dot{m}_{l,l}h_{l,l}$ Continuity

$$\omega \frac{dm}{d\theta} = \dot{m}_{in} - \dot{m}_{out}$$

$$\dot{m}_{in} = \dot{m}_{suc} + \dot{m}_{l,g} + \dot{m}_{oil} \qquad \dot{m}_{out} = \dot{m}_{dis} + \dot{m}_{l,l} \qquad \dot{m} = \rho w A$$

Leakage Flow
Momentum

$$w_l dw_l + \frac{dp}{\rho} + f \frac{w_l^2}{2} \frac{dx}{D_g} = 0$$

$$\dot{m}_l = \rho_l w_l A_g = A_g \sqrt{\frac{p_2^2 - p_1^2}{a^2 \left(\zeta + 2\ln \frac{p_2}{p_1}\right)}}$$

Oil injection

$$\begin{aligned} \frac{dT_{oil}}{d\theta} &= \frac{h_o A_o \left(T - T_{oil}\right)}{\omega m_{oil} c_{oil}} \qquad T_{oil} = \frac{T - kT_{oil,p}}{1 + k} \\ k &= \frac{\omega m_{oil} c_{oil}}{h_o A_o \Delta \theta} = \frac{\omega d_S c_{oil}}{6h_o \Delta \theta} \end{aligned}$$

Numerical solution, Runge-Kutta IV order solver $U(\theta), m(\theta), V(\theta), v = \frac{V}{m}, U = (mu) + (mu)_{oil}, u = \frac{U - (mcT)_{oil}}{m}$ Ideal Gas $T = (\gamma - 1)\frac{u}{R}$ $p = \frac{RT}{v}$ Real gas $p=f_1(T,v)$ $u=f_2(T,v)$ Wet vapour $u = (1-x)u_f + xu_g$ $v = (1-x)v_f + xv_g$

Compressor integral parameters

$m = m_{in} - m_{out}$	$W_{ind} = \int V dp$
$\dot{m} = m z_1 n / 60$	cycle
$\dot{V} = 60m / \rho_0$	$P_{ind} = \frac{W_{ind} z_1 n}{60}$
$\dot{m}_t = \frac{(F_{1n} + F_{2n}) Ln z_1 \rho}{60}$	$W_{sind} = \int_{cycle} \frac{V}{m} dp$

$$\eta_{v} = \frac{\dot{m}}{\dot{m}_{t}} \qquad \eta_{t} = \frac{W_{t}}{W_{ind}} \qquad \eta_{a} = \frac{W_{a}}{W_{ind}}$$
$$P_{\sin d} = \frac{P}{\dot{V}} \qquad W_{t} = RT_{1} \ln \frac{p_{2}}{p_{1}} \qquad W_{a} = \frac{\gamma}{\gamma - 1} R \left(T_{2} - T_{1}\right)$$



Calculation of pressure loads On compressor rotors

Radial forces

$$R_{x} = -p\int_{A}^{B} dy = -p(y_{B} - y_{A})$$
$$R_{y} = -p\int_{A}^{B} dx = -p(x_{B} - x_{A})$$

Rotor torque



$$T = p \int_{A}^{B} x dx + p \int_{A}^{B} y dy - 0.5p \left(x_{B}^{2} - x_{A}^{2} + y_{B}^{2} - y_{A}^{2} \right)$$



Bearing reactions and rotor deflections

 $\frac{d^2\delta}{dz^2} = \frac{M}{EI}$

Optimization variables and target function

Single stage: Rotor variables: r_0 Female rotor addendum r₁ Male rotor lobe radius r_2 Male rotor tip radius r₃ Female rotor tip radius Compressor variables: Built-in volume ratio **Operation variables:** Shaft speed Oil flow **Injection position** Oil temperature 9 Variables

Multistage: 9 Variables x Number of stages

+ Interstage pressures

Target function: Specific power combined with compressor price

 $F = w_1 L + w_2 C$

Box constrained simplex method for efficient and reliable multivariable optimization $f(x_1, x_2, ..., x_n)$ $F = w_1L + w_2C$

 $g_i \le x_i \le h_i, i = 1, n$ $g_i \le y_i \le h_i, i = n + 1, m$ y_{n+1}, \dots, y_m

$$f(x^{h}) = \max f(x^{1}), f(x^{2}), ..., f(x^{k})$$
$$f(x^{g}) = \min f(x^{1}), f(x^{2}), ..., f(x^{k})$$

$$\overline{x} = \frac{1}{k-1} \sum_{i=1}^{k} x_{j}^{i}, \quad x^{i} \neq x^{l} \qquad x^{r} = \overline{x} + \alpha(\overline{x} - x^{l})$$
$$x^{r(new)} = 0.5 \left[x^{r(old)} + c\overline{x} + (1 - c)x^{h} \right] + (\overline{x} - x^{h})(1 - c)(2R - 1)$$
$$c = \left(\frac{n_{r}}{n_{r} + k_{r} - 1}\right)^{\frac{n_{r} + k_{r} - 1}{n_{r}}}$$

	F		
)	
	4		5
-	C		

	Dry Oil-	Flooded	Refrig.
r ₀ [mm]	2.62	0.74	0.83
r ₁ [mm]	19.9	17.8	19.3
r ₂ [mm]	6.9	5.3	4.5
r ₃ [mm]	11.2	5.5	5.2
Built-in volume ratio	1.83	4.1	3.7
Rotor speed [rpm]	7560	3690	3570
Oil flow [lit/min]	-	12	8
Injection position [°]	-	65	61
Oil temperature [°]	-	33	32

Oil Free Oil Flooded Refrigeration

5-6-128 mm Oil-Flooded Air Compressor

7 m³/min, max 10 m³/min at 8 bar (abs)

5-14 bar (abs), max 15 bar (max)



Rotors optimized for oil flooded operated air compression 5/6-128 mm, L/D 1.65 Displacement 1.56 l/rev Interlobe sealing line 0.13 m Blow-hole area 1.85 mm²



CAD Interface: Compressor ports

Experimental verification of the model





Compressor in the test bed



Comparison of the calculated and test results Flow-Power

EXAMPLES OF 3-D CFD CALCULATION

Majority of design problems can be solved by the one-dimensional approach, some of them require the two dimensional calculation, however, there are situations where 3-D CFD must be applied

Such are

Oil flow distribution, Fluid-Solid Interaction

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Grid generation - 2

- Grid topology strongly affects accuracy, efficiency and ease of calculation
- Full structured block generated hexahedral 3D-O mesh
- Screw compressor sub-domains:
- Male rotor Female rotor
 - End clearances
 - Rotor connections, clearances, leakage paths
- Suction port Discharge port
- Suction and discharge receivers

Automatic discretization process:

- The rack generating procedure
- Rack a rotor with an infinite radius
- Divides working domain in two parts male and female rotor,



Screw Compressor FSI calculations Comet



Mathematical model for screw compressor is based on conservation laws of continuity, momentum, energy, concentration and space:

$$\frac{d}{dt}\int_{V} \rho dV + \int_{S} \rho(\mathbf{v} - \mathbf{v}_{s}) \cdot d\mathbf{s} = 0$$

$$\frac{d}{dt}\int_{V} \rho v dV + \int_{S} \rho \mathbf{v}(\mathbf{v} - \mathbf{v}_{s}) \cdot d\mathbf{s} = \int_{S} \mathbf{T} \cdot d\mathbf{s} + \int_{V} \mathbf{f}_{b} dV$$

$$\mathbf{T} = 2\mu \dot{\mathbf{D}} - \frac{2}{3}\mu \operatorname{div} \mathbf{v} \mathbf{I} - \rho \mathbf{I}$$

$$\mathbf{T} = 2\eta \mathbf{D} + \lambda \operatorname{div} \mathbf{w} \mathbf{I} - (3\lambda + 2\eta)\alpha (T - T_{r}) \mathbf{I}$$

$$\mathbf{T} = 2\eta \mathbf{D} + \lambda \operatorname{div} \mathbf{w} \mathbf{I} - (3\lambda + 2\eta)\alpha (T - T_{r}) \mathbf{I}$$

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$$\mathbf{T} = 2\eta \mathbf{D} + \lambda \operatorname{div} \mathbf{w} \mathbf{I} - (3\lambda + 2\eta)\alpha (T - \eta) \alpha (T - \eta) \alpha (T - \eta) \alpha (T - \eta)$$

$$\mathbf{T} = 2\eta \mathbf{D} + \lambda \operatorname{div} \mathbf{w} \mathbf{I} - (\eta - \eta) \alpha (T - \eta) \alpha (T - \eta) \alpha (T$$


Thermodynamic properties of real fluids

- *p*-*v*-*T* equation
 - compressibility factor z
- *z* is assumed to change linearly with pressure err<2%
- Antoine equation for saturation temperature
- Clapeyron equation for latent heat
- Specific heat for constant pressure
- Density of mixture
- Coefficient in the pressure correction equation

$$\frac{p}{\rho} = z \cdot RT = z(p) \cdot RT$$

$$z = p \cdot B_1 + B_2$$

$$T_{sat} = \frac{A_2}{A_1 - \log p}$$

$$h_L = T \cdot \left(\frac{1}{\rho_v} - \frac{1}{\rho_l}\right) \cdot \frac{dP_{sat}}{dT_{sat}}$$

$$c_{pv} = C_0 + C_1 \cdot T + C_2 \cdot T^2 + C_3 \cdot T$$

$$\rho = \frac{1}{\frac{1 - co_2}{\rho_v} - \frac{co_2}{\rho_l}}$$

$$C_\rho = \left(\frac{d\rho}{dr_0}\right) = \left(\frac{1}{-PT} - \frac{\rho_v \cdot b_1}{r_0}\right) \cdot \frac{\rho_v}{\rho_v}$$

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Multiphase flow

Euler-Lagrange approach

$$\frac{d(m_o h_o)}{dt} = m_o \frac{dh_o}{dt} + h_{ol} \frac{dm_o}{dt} = \dot{Q}_{con} + \dot{Q}_{mass}$$

- Oil is assumed to be a passive 'species'
- Mass calculated from the concentration Oil drag force influence concentration
- Energy source due to heat transfer between working fluid and oil is:

$$m_o = m \cdot C_o$$

$$\mathbf{f}_{drag} = -\frac{1}{2} \rho A_o C_{drag} \left| \mathbf{v}_o - \mathbf{v} \right| (\mathbf{v}_o - \mathbf{v})$$

$$\dot{Q}_{con} = m_o C_{p_o} \frac{dT}{dt} \approx m_o C_{p_o} \frac{T^k - T^{k-1}}{\delta t}$$

- Liquid phase is assumed to be an active 'species'
- Mass source evaporated/condensed mass
- Energy source energy of evaporation/condensation

$$\dot{m}_L = \frac{m \cdot C_{pm} \cdot (T - T_s)}{h_L}$$

$$\dot{Q}_{mass} = h_L \frac{dm_L}{dt} \approx h_L \frac{m_{ol} - m_{ol}^{s}}{\delta t} = h_L \dot{m}_L$$



Boundary conditions

- Wall boundaries with wall functions are introduced on the housing and rotors.
- Compressor positioned between suction and discharge receivers of small volume
- Inlet & outlet receivers and oil port are treated as boundary domains:
- Mass equation corrected by mass source to maintain constant pressure
- Energy equation corrected by energy source to update energy balance

$$\dot{m}_{add} = \left(\frac{dm}{dt}\right)_{p=const} \approx \frac{p_{const} - p}{p_{const}} \cdot \frac{V \cdot \rho}{\delta t}$$

$$\dot{Q}_{add} = h_{add} \left(\frac{d\dot{m}_{add}}{dt} \right)_{p=const} = \dot{m}_{add} \cdot h_{add}$$



Screw Compressor performance

- Volume flow (inlet and outlet)
- Mass flow (inlet, outlet and oil)
- Boundary forces
- Restraint Forces and Torque
- Compressor shaft power
- Specific power
- Efficiency Volumetric and adiabatic

 $\dot{V} = 60 \cdot \sum_{t=1}^{t_{end}} \dot{V}_{f}^{(t)} [m^{3}/\text{min}], \quad \dot{V}_{f}^{(t)} = \sum_{i=1}^{t} v_{fi} S_{fi}$ $\dot{m} = \sum_{t_{end}} \dot{V}_{f}^{(t)} \cdot \overline{\rho}^{(t)} [kg/sec]$ $F_{x} = p_{b} * A_{xb}; \quad F_{y} = p_{b} * A_{yb}; \quad F_{z} = p_{b} * A_{zb}$ $F_{rS} = \sum_{i=1}^{I} F_{rS}(i), [N]; \quad F_{rD} = \sum_{i=1}^{I} F_{rD}(i), [N]$ $F_a = \sum_{i=1}^{I} F_a(i), [N]; \qquad T = \sum_{i=1}^{I} T(i), [Nm]$ $P = 2 \cdot \pi \cdot n \cdot (T_M + T_F) \quad [W]$ $P_{spec} = \frac{P}{\dot{V} \cdot 1000} \quad \begin{bmatrix} kW \\ m^3 \min \end{bmatrix}$ $\eta_v = \dot{V}_{V_i}; \qquad \eta_i = \frac{P_{ad}}{P}$



Oil injected - Pressure in axial section





Oil injected - Pressure and velocity





Oil injected - Pressure 3D view









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Experimental verification – $P-\alpha$ diagram





Oil injected – Deformation Pressure-1





Oil injected – Deformation Pressure-2







n=5000 rpm

Oil injected – Deformation Temperature P_{inl}=1 b P_{out}=3 b t = 20 °C t = 150°







Oil-free air compressor Oil-flooded air compressor Retrofit rotors of an air compressor Refrigeration compressor

Compressors for Oil-Free Air Delivery

Design aims:

Delivery: 350-700 and 700-1000 m³/h Working pressure: 1-2.5 (2.7) bar

Volumetric efficiency 90 % + Low specific power Simple, reliable and compact machine

3/5 lobe rotors Large displacement Convenient gear ratio 5/3=1.67



Features of 3/5 'N' Rotors

- Highest possible displacement
- Higher delivery
- Better volumetric efficiency
- Better adiabatic efficiency
- Stronger gate rotor
- Long durability
- High reliability
- Easy manufacturing
- Easy compressor assembly
- Reasonable noise

XK18 Screw Compressor General Arrangement





New design, fully customized by the manufacturer

New rotors, New, improved compressor

New concept, better screw compressor compared with competition

Comparison of Test Results



Screw Compressor Family for Oil-Flooded Operation

Design aims:

Delivery: 0.6-60 m³/min Working pressure: 5-13 bar

Volumetric efficiency 90 % + Low specific power Simple, reliable and compact machine



4/5 Rotors

Reasonable efficiency for moderate pressure ratios, at least the same as for 4/6 rotors

Improved capacity and efficiency Lower power consumption Reduced manufacturing cost



Five compressors, rotor diameters:

74, 102, 159, 225 and 285 mm, L/D 1.55

Performance of the Compressor Family





Proven design, fully customized to the the manufacturer's needs





Test Results, Compressors 73 and 159 mm



Retrofit 'N' Rotors



5.4 % more displacement
6.5 % higher delivery
4 % better volumetric efficiency
2.5 % better adiabatic efficiency
75 % less torque on the gate rotor



Asymmetric Rotors, the most common screw compressor rotors



'N' Rotor retrofit for more efficient screw compressors



Design of a semihermetic compressor for air-conditioning and refrigeration based on 'N' rotors

- Semihermetic, convertible to open
- All existing refrigerants
- Modern, better than competition
- 5/6-102 mm L/D=1.55
- Efficient rotors for air condition and refrigeration

5/6-102 mm L/D=1.55 compressor

- Theoretical displacement 0.72 l/rev
- Gear-box 1500-10000 rpm
- Delivery 0.920-5.80 m³/min
- **R-22**
- Air condition 5/40 °C 60-380 kW
- COP 4.20 at 3000 rpm
- Refrigeration -5/30 °C 33-210 kW
- COP 3.95 at 3000 rpm

Refrigeration Application



Application of Design Optimization: A Family of Two-Stage Compressors



Range: 22-250 kW 8-16 bar (abs)

Two-stages: 19 Variables Target Function: Minimum specific power And only specific power

Number of frames VFD vs gearbox Stage size Stage speed Stage rotor profile

CONCLUSIONS

The screw compressor is a mature product at the millenium meeting point. Orchestrated efforts of a large number of companies driven by market forces resulted in the compact and efficient compressor machine. Every detail counts today. A small difference will give a small, but distinctive improvement which may be used as an individual advantage.

Optimization opens hidden spots still left for better compressors. They result in stronger but lighter rotors with higher displacement, more compact and more efficient compressor machines at all areas of their application.



EPILOGUE

The Centre for Positive Displacement Compressor Technology carries out research and provide a service to manufacturing companies in all aspects of compressor design and development. Through the everyday activity the Centre is gaining experience in the compressor research, development and design. Some of the recent experience is given in this presentation.