

# $\mathcal{PT}$ -symmetry in nonlinear systems

Andreas Fring

## Lectures in Nonlinear Dynamics

virtually at the Department of Nonlinear Dynamics, Bharathidasan University, India, 24th of February 2022



# Outline

- (1)  $\mathcal{PT}$ -symmetric quantum mechanics
- (2) Nonlinear integrable systems

## Why is Hermiticity a good property to have?

- Hermiticity ensures the reality of the energies

Schrödinger equation  $H|\psi\rangle = E|\psi\rangle$ ,  $\langle\psi|H^\dagger = E^*\langle\psi|$

$$\left. \begin{array}{l} \langle\psi|H|\psi\rangle = E\langle\psi|\psi\rangle \\ \langle\psi|H^\dagger|\psi\rangle = E^*\langle\psi|\psi\rangle \end{array} \right\} \Rightarrow 0 = (E - E^*)\langle\psi|\psi\rangle$$

## Why is Hermiticity a good property to have?

- Hermiticity ensures the reality of the energies

Schrödinger equation  $H|\psi\rangle = E|\psi\rangle$ ,  $\langle\psi|H^\dagger = E^*\langle\psi|$

$$\left. \begin{array}{l} \langle\psi|H|\psi\rangle = E\langle\psi|\psi\rangle \\ \langle\psi|H^\dagger|\psi\rangle = E^*\langle\psi|\psi\rangle \end{array} \right\} \Rightarrow 0 = (E - E^*)\langle\psi|\psi\rangle$$

- Hermiticity ensures conservation of probability densities

$$|\psi(t)\rangle = e^{-iHt}|\psi(0)\rangle$$

$$\langle\psi(t)|\psi(t)\rangle = \langle\psi(0)|e^{iH^\dagger t}e^{-iHt}|\psi(0)\rangle = \langle\psi(0)|\psi(0)\rangle$$

## Why is Hermiticity a good property to have?

- Hermiticity ensures the reality of the energies

Schrödinger equation  $H|\psi\rangle = E|\psi\rangle$ ,  $\langle\psi|H^\dagger = E^*\langle\psi|$

$$\left. \begin{array}{l} \langle\psi|H|\psi\rangle = E\langle\psi|\psi\rangle \\ \langle\psi|H^\dagger|\psi\rangle = E^*\langle\psi|\psi\rangle \end{array} \right\} \Rightarrow 0 = (E - E^*)\langle\psi|\psi\rangle$$

- Hermiticity ensures conservation of probability densities

$$|\psi(t)\rangle = e^{-iHt}|\psi(0)\rangle$$

$$\langle\psi(t)|\psi(t)\rangle = \langle\psi(0)|e^{iH^\dagger t}e^{-iHt}|\psi(0)\rangle = \langle\psi(0)|\psi(0)\rangle$$

- Thus when  $H \neq H^\dagger$  one usually thinks of dissipation.
- However, these systems are in general open and do not possess a self-consistent description. (As much as QM is self-consistent.)

Both properties can be achieved in a non-Hermitian theory

- Wigner: Operators  $\mathcal{O}$  which are left invariant under an antilinear involution  $\mathcal{I}$  and whose eigenfunctions  $\Phi$  also respect this symmetry,

$$[\mathcal{O}, \mathcal{I}] = 0 \quad \wedge \quad \mathcal{I}\Phi = \Phi$$

have a real eigenvalue spectrum.<sup>a</sup>

---

<sup>a</sup> E. Wigner, *J. Math. Phys.* 1 (1960) 409

<sup>b</sup>

## Both properties can be achieved in a non-Hermitian theory

- Wigner: Operators  $\mathcal{O}$  which are left invariant under an antilinear involution  $\mathcal{I}$  and whose eigenfunctions  $\Phi$  also respect this symmetry,

$$[\mathcal{O}, \mathcal{I}] = 0 \quad \wedge \quad \mathcal{I}\Phi = \Phi$$

have a real eigenvalue spectrum.<sup>a</sup>

- By defining a new metric also a consistent quantum mechanical framework has been developed for theories involving such operators.<sup>b</sup>

---

<sup>a</sup> E. Wigner, *J. Math. Phys.* 1 (1960) 409

<sup>b</sup> F. Scholtz, H. Geyer, F. Hahne, *Ann. Phys.* 213 (1992) 74

C. Bender, S. Boettcher, *Phys. Rev. Lett.* 80 (1998) 5243

A. Mostafazadeh, *J. Math. Phys.* 43 (2002) 2814

Both properties can be achieved in a non-Hermitian theory

- Wigner: Operators  $\mathcal{O}$  which are left invariant under an antilinear involution  $\mathcal{I}$  and whose eigenfunctions  $\Phi$  also respect this symmetry,

$$[\mathcal{O}, \mathcal{I}] = 0 \quad \wedge \quad \mathcal{I}\Phi = \Phi$$

have a real eigenvalue spectrum.<sup>a</sup>

- By defining a new metric also a consistent quantum mechanical framework has been developed for theories involving such operators.<sup>b</sup>

In particular this also holds for  $\mathcal{O}$  being non-Hermitian.

---

<sup>a</sup> E. Wigner, *J. Math. Phys.* 1 (1960) 409

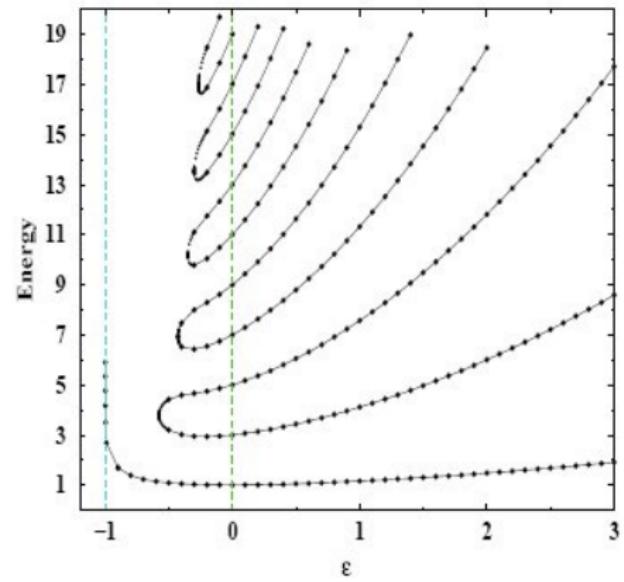
F. Scholtz, H. Geyer, F. Hahne, *Ann. Phys.* 213 (1992) 74

C. Bender, S. Boettcher, *Phys. Rev. Lett.* 80 (1998) 5243

A. Mostafazadeh, *J. Math. Phys.* 43 (2002) 2814

## The seminal classical example

$$\mathcal{H} = \frac{1}{2}p^2 + x^2(ix)^\varepsilon \quad \text{for } \varepsilon \in \mathbb{R}$$



- real eigenvalues for  $\varepsilon \geq 0$
- exceptional points for  $\varepsilon < 0$

## Lattice Reggeon field theory

$$\mathcal{H} = \sum_{\vec{i}} \left[ \Delta a_{\vec{i}}^\dagger a_{\vec{i}} + i g a_{\vec{i}}^\dagger (a_{\vec{i}} + a_{\vec{i}}^\dagger) a_{\vec{i}} + \tilde{g} \sum_{\vec{j}} (a_{\vec{i}+\vec{j}}^\dagger - a_{\vec{i}}^\dagger)(a_{\vec{i}+\vec{j}} - a_{\vec{i}}) \right]$$

-  $a_{\vec{i}}^\dagger, a_{\vec{i}}$  are creation and annihilation operators,  $\Delta, g, \tilde{g} \in \mathbb{R}$

---

<sup>a</sup> J.L. Cardy, R. Sugar, *Phys. Rev.* D12 (1975) 2514  
<sup>b</sup>

## Lattice Reggeon field theory

$$\mathcal{H} = \sum_{\vec{i}} \left[ \Delta a_{\vec{i}}^\dagger a_{\vec{i}} + i g a_{\vec{i}}^\dagger (a_{\vec{i}} + a_{\vec{i}}^\dagger) a_{\vec{i}} + \tilde{g} \sum_{\vec{j}} (a_{\vec{i}+\vec{j}}^\dagger - a_{\vec{i}}^\dagger)(a_{\vec{i}+\vec{j}} - a_{\vec{i}}) \right]$$

- $a_{\vec{i}}^\dagger, a_{\vec{i}}$  are creation and annihilation operators,  $\Delta, g, \tilde{g} \in \mathbb{R}$  <sup>a</sup>
- for one site this is almost  $ix^3$

$$\begin{aligned}\mathcal{H} &= \Delta a^\dagger a + i g a^\dagger (a + a^\dagger) a \\ &= \frac{1}{2} (\hat{p}^2 + \hat{x}^2 - 1) + i \frac{g}{\sqrt{2}} (\hat{x}^3 + \hat{p}^2 \hat{x} - 2\hat{x} + i\hat{p})\end{aligned}$$

with  $a = (\omega \hat{x} + i\hat{p})/\sqrt{2\omega}, a^\dagger = (\omega \hat{x} - i\hat{p})/\sqrt{2\omega}$  <sup>b</sup>

<sup>a</sup> J.L. Cardy, R. Sugar, *Phys. Rev.* D12 (1975) 2514

<sup>b</sup> P. Assis, A. Fring, *J. Phys.* A41 (2008) 244001

Quantum spin chains ( $c=-22/5$  CFT)

$$\mathcal{H} = \frac{1}{2} \sum_{i=1}^N \sigma_i^x + \lambda \sigma_i^z \sigma_{i+1}^z + i h \sigma_i^z \quad \lambda, h \in \mathbb{R}$$

---

G. von Gehlen, J. Phys. A24 (1991) 5371

Quantum spin chains ( $c=-22/5$  CFT)

$$\mathcal{H} = \frac{1}{2} \sum_{i=1}^N \sigma_i^x + \lambda \sigma_i^z \sigma_{i+1}^z + i h \sigma_i^z \quad \lambda, h \in \mathbb{R}$$

---

G. von Gehlen, J. Phys. A24 (1991) 5371

Quantum spin chains ( $c=-22/5$  CFT)

$$\mathcal{H} = \frac{1}{2} \sum_{i=1}^N \sigma_i^x + \lambda \sigma_i^z \sigma_{i+1}^z + i h \sigma_i^z \quad \lambda, h \in \mathbb{R}$$

---

G. von Gehlen, J. Phys. A24 (1991) 5371

## Field theories

$$\mathcal{L} = \frac{1}{2} \partial_\mu \phi \partial^\mu \phi + \frac{m^2}{\beta^2} \sum_{k=a}^{\ell} n_k \exp(\beta \alpha_k \cdot \phi)$$

$a = 1 \equiv$  conformal Toda field theory (Lie algebras)

---

Quantum spin chains ( $c=-22/5$  CFT)

$$\mathcal{H} = \frac{1}{2} \sum_{i=1}^N \sigma_i^x + \lambda \sigma_i^z \sigma_{i+1}^z + i h \sigma_i^z \quad \lambda, h \in \mathbb{R}$$

---

G. von Gehlen, J. Phys. A24 (1991) 5371

## Field theories

$$\mathcal{L} = \frac{1}{2} \partial_\mu \phi \partial^\mu \phi + \frac{m^2}{\beta^2} \sum_{k=\mathbf{a}}^\ell n_k \exp(\beta \alpha_k \cdot \phi)$$

---

$a = 0 \equiv$  massive Toda field theory (Kac-Moody algebras)

Quantum spin chains ( $c=-22/5$  CFT)

$$\mathcal{H} = \frac{1}{2} \sum_{i=1}^N \sigma_i^x + \lambda \sigma_i^z \sigma_{i+1}^z + i h \sigma_i^z \quad \lambda, h \in \mathbb{R}$$

---

G. von Gehlen, J. Phys. A24 (1991) 5371

## Field theories

$$\mathcal{L} = \frac{1}{2} \partial_\mu \phi \partial^\mu \phi + \frac{m^2}{\beta^2} \sum_{k=a}^{\ell} n_k \exp(\beta \alpha_k \cdot \phi)$$

$a = 0 \equiv$  massive Toda field theory (Kac-Moody algebras)

$\beta \in \mathbb{R} \equiv$  no backscattering

---

Quantum spin chains ( $c=-22/5$  CFT)

$$\mathcal{H} = \frac{1}{2} \sum_{i=1}^N \sigma_i^x + \lambda \sigma_i^z \sigma_{i+1}^z + i h \sigma_i^z \quad \lambda, h \in \mathbb{R}$$

---

G. von Gehlen, J. Phys. A24 (1991) 5371

## Field theories

$$\mathcal{L} = \frac{1}{2} \partial_\mu \phi \partial^\mu \phi + \frac{m^2}{\beta^2} \sum_{k=a}^{\ell} n_k \exp(\beta \alpha_k \cdot \phi)$$

$a = 0 \equiv$  massive Toda field theory (Kac-Moody algebras)

$\beta \in \mathbb{R} \equiv$  no backscattering

$\beta \in i\mathbb{R} \equiv$  backscattering (Yang-Baxter, quantum groups)

Quantum spin chains ( $c=-22/5$  CFT)

$$\mathcal{H} = \frac{1}{2} \sum_{i=1}^N \sigma_i^x + \lambda \sigma_i^z \sigma_{i+1}^z + i h \sigma_i^z \quad \lambda, h \in \mathbb{R}$$

---

G. von Gehlen, J. Phys. A24 (1991) 5371

## Field theories

$$\mathcal{L} = \frac{1}{2} \partial_\mu \phi \partial^\mu \phi + \frac{m^2}{\beta^2} \sum_{k=a}^{\ell} n_k \exp(\beta \alpha_k \cdot \phi)$$

$a = 0 \equiv$  massive Toda field theory (Kac-Moody algebras)

$\beta \in \mathbb{R} \equiv$  no backscattering

$\beta \in i\mathbb{R} \equiv$  backscattering (Yang-Baxter, quantum groups)

Strings on  $AdS_5 \times S^5$ -background

---

A. Das, A. Melikyan, V. Rivelles, JHEP 09 (2007) 104

## Deformed space-time structures

- deformed Heisenberg canonical commutation relations

$$aa^\dagger - q^2 a^\dagger a = q^{g(N)}, \quad \text{with } N = a^\dagger a$$

## Deformed space-time structures

- deformed Heisenberg canonical commutation relations

$$aa^\dagger - q^2 a^\dagger a = q^{g(N)}, \quad \text{with } N = a^\dagger a$$

$$X = \alpha a^\dagger + \beta a, \quad P = i\gamma a^\dagger - i\delta a, \quad \alpha, \beta, \gamma, \delta \in \mathbb{R}$$

$$\begin{aligned} [X, P] &= i\hbar q^{g(N)}(\alpha\delta + \beta\gamma) \\ &+ \frac{i\hbar(q^2 - 1)}{\alpha\delta + \beta\gamma} \left( \delta\gamma X^2 + \alpha\beta P^2 + i\alpha\delta XP - i\beta\gamma PX \right) \end{aligned}$$

## Deformed space-time structures

- deformed Heisenberg canonical commutation relations

$$aa^\dagger - q^2 a^\dagger a = q^{g(N)}, \quad \text{with } N = a^\dagger a$$

$$X = \alpha a^\dagger + \beta a, \quad P = i\gamma a^\dagger - i\delta a, \quad \alpha, \beta, \gamma, \delta \in \mathbb{R}$$

$$\begin{aligned} [X, P] &= i\hbar q^{g(N)}(\alpha\delta + \beta\gamma) \\ &+ \frac{i\hbar(q^2 - 1)}{\alpha\delta + \beta\gamma} \left( \delta\gamma X^2 + \alpha\beta P^2 + i\alpha\delta XP - i\beta\gamma PX \right) \end{aligned}$$

- limit:  $\beta \rightarrow \alpha$ ,  $\delta \rightarrow \gamma$ ,  $g(N) \rightarrow 0$ ,  $q \rightarrow e^{2\tau\gamma^2}$ ,  $\gamma \rightarrow 0$

$$[X, P] = i\hbar \left( 1 + \tau P^2 \right)$$

## Deformed space-time structures

- deformed Heisenberg canonical commutation relations

$$aa^\dagger - q^2 a^\dagger a = q^{g(N)}, \quad \text{with } N = a^\dagger a$$

$$X = \alpha a^\dagger + \beta a, \quad P = i\gamma a^\dagger - i\delta a, \quad \alpha, \beta, \gamma, \delta \in \mathbb{R}$$

$$\begin{aligned} [X, P] &= i\hbar q^{g(N)}(\alpha\delta + \beta\gamma) \\ &+ \frac{i\hbar(q^2 - 1)}{\alpha\delta + \beta\gamma} \left( \delta\gamma X^2 + \alpha\beta P^2 + i\alpha\delta XP - i\beta\gamma PX \right) \end{aligned}$$

- limit:  $\beta \rightarrow \alpha$ ,  $\delta \rightarrow \gamma$ ,  $g(N) \rightarrow 0$ ,  $q \rightarrow e^{2\tau\gamma^2}$ ,  $\gamma \rightarrow 0$

$$[X, P] = i\hbar \left( 1 + \tau P^2 \right)$$

- representation:  $X = (1 + \tau p_0^2)x_0$ ,  $P = p_0$ ,  $[x_0, p_0] = i\hbar$

- with the standard inner product  $X$  is not Hermitian

$$X^\dagger = X + 2\tau i \hbar P \quad \text{and} \quad P^\dagger = P$$

---

B. Bagchi and A. Fring, Phys. Lett. A373 (2009) 4307

D. Dey, A. Fring, B. Khantoul, J. Phys. A: Math. and Theor. 46 (2013) 335304

- with the standard inner product  $X$  is not Hermitian

$$X^\dagger = X + 2\tau i \hbar P \quad \text{and} \quad P^\dagger = P$$

-  $\Rightarrow H(X, P)$  is in general not Hermitian

---

B. Bagchi and A. Fring, Phys. Lett. A373 (2009) 4307

D. Dey, A. Fring, B. Khantoul, J. Phys. A: Math. and Theor. 46 (2013) 335304

- with the standard inner product  $X$  is not Hermitian

$$X^\dagger = X + 2\tau i \hbar P \quad \text{and} \quad P^\dagger = P$$

- $\Rightarrow H(X, P)$  is in general not Hermitian

- example harmonic oscillator:

$$\begin{aligned} H_{ho} &= \frac{P^2}{2m} + \frac{m\omega^2}{2} X^2, \\ &= \frac{p_0^2}{2m} + \frac{m\omega^2}{2} (1 + \tau p_0^2) x_0 (1 + \tau p_0^2) x_0 \\ &= \frac{p_0^2}{2m} + \frac{m\omega^2}{2} \left[ (1 + \tau p_0^2)^2 x_0^2 + 2i\hbar\tau p_0 (1 + \tau p_0^2) x_0 \right] \end{aligned}$$

---

B. Bagchi and A. Fring, Phys. Lett. A373 (2009) 4307

D. Dey, A. Fring, B. Khantoul, J. Phys. A: Math. and Theor. 46 (2013) 335304

- with the standard inner product  $X$  is not Hermitian

$$X^\dagger = X + 2\tau i \hbar P \quad \text{and} \quad P^\dagger = P$$

-  $\Rightarrow H(X, P)$  is in general not Hermitian

- example harmonic oscillator:

$$\begin{aligned} H_{ho} &= \frac{P^2}{2m} + \frac{m\omega^2}{2} X^2, \\ &= \frac{p_0^2}{2m} + \frac{m\omega^2}{2} (1 + \tau p_0^2) x_0 (1 + \tau p_0^2) x_0 \\ &= \frac{p_0^2}{2m} + \frac{m\omega^2}{2} \left[ (1 + \tau p_0^2)^2 x_0^2 + 2i\hbar\tau p_0 (1 + \tau p_0^2) x_0 \right] \end{aligned}$$

- but also Hermitian representations exist:

$$X = x_0 \quad \text{and} \quad P = \frac{1}{\sqrt{\tau}} \tan(\sqrt{\tau} p_0)$$

---

B. Bagchi and A. Fring, Phys. Lett. A373 (2009) 4307

D. Dey, A. Fring, B. Khantoul, J. Phys. A: Math. and Theor. 46 (2013) 335304

## Dynamical noncommutative space-time

$$\begin{aligned} [x_0, y_0] &= i\theta, & [x_0, p_{x_0}] &= i\hbar, & [y_0, p_{y_0}] &= i\hbar, \\ [p_{x_0}, p_{y_0}] &= 0, & [x_0, p_{y_0}] &= 0, & [y_0, p_{x_0}] &= 0, \end{aligned}$$

replaced by ( $\theta \in \mathbb{R}$ )

$$\begin{aligned} [X, Y] &= i\theta(1 + \tau Y^2) & [X, P_x] &= i\hbar(1 + \tau Y^2) \\ [Y, P_y] &= i\hbar(1 + \tau Y^2) & [X, P_y] &= 2i\tau Y(\theta P_y + \hbar X) \\ [P_x, P_y] &= 0 & [Y, P_x] &= 0 \end{aligned}$$

⇒ Non-Hermitian representation

$$X = (1 + \tau y_0^2)x_0 \quad Y = y_0 \quad P_x = p_{x_0} \quad P_y = (1 + \tau y_0^2)p_{y_0}$$

$$X^\dagger = X + 2i\tau\theta Y \quad Y^\dagger = Y \quad P_y^\dagger = P_y - 2i\tau\hbar Y \quad P_x^\dagger = P_x$$

---

A. Fring, L. Gouba, F. Scholtz, J. Phys. A: Math and Theor. 43 (2010) 345401

A. Fring, L. Gouba, B. Bagchi, J. Phys. A: Math and Theor. 43 (2010) 425202

## How to explain the reality of the spectrum?

- ① Pseudo/Quasi-Hermiticity
- ②  $\mathcal{PT}$ -symmetry
- ③ Supersymmetry (Darboux transformations)

## Pseudo/Quasi-Hermiticity

$$h = \eta H \eta^{-1} = h^\dagger = (\eta^{-1})^\dagger H^\dagger \eta^\dagger \Leftrightarrow H^\dagger \rho = \rho H \quad \rho = \eta^\dagger \eta \quad (*)$$

## Pseudo/Quasi-Hermiticity

$$h = \eta H \eta^{-1} = h^\dagger = (\eta^{-1})^\dagger H^\dagger \eta^\dagger \Leftrightarrow H^\dagger \rho = \rho H \quad \rho = \eta^\dagger \eta \quad (*)$$

$$h\phi = E\phi \Rightarrow \eta H \eta^{-1} \phi = E\phi \Rightarrow H \eta^{-1} \phi = E\eta^{-1} \phi \Rightarrow H\psi = E\psi \quad \psi := \eta^{-1} \phi$$

## Pseudo/Quasi-Hermiticity

$$h = \eta H \eta^{-1} = h^\dagger = (\eta^{-1})^\dagger H^\dagger \eta^\dagger \Leftrightarrow H^\dagger \rho = \rho H \quad \rho = \eta^\dagger \eta \quad (*)$$

$$h\phi = E\phi \Rightarrow \eta H \eta^{-1} \phi = E\phi \Rightarrow H \eta^{-1} \phi = E \eta^{-1} \phi \Rightarrow H\psi = E\psi \quad \psi := \eta^{-1} \phi$$

	$H^\dagger = \rho H \rho^{-1}$	$H^\dagger \rho = \rho H$	$H^\dagger = \rho H \rho^{-1}$
positivity of $\rho$	✓	✓	✗
$\rho$ Hermitian	✓	✓	✓
$\rho$ invertible	✓	✗	✓
terminology	(*)	quasi-Herm. <sup>a</sup>	pseudo-Herm. <sup>b</sup>
spectrum of $H$	real	could be real	real
definite metric	guaranteed	guaranteed	not conclusive

<sup>a</sup> J. Dieudonné, Proc. Int. Symp. (1961) 115

F. Scholtz, H. Geyer, F. Hahne, Ann. Phys. 213 (1992) 74

<sup>b</sup> M. Froissart, Nuovo Cim. 14 (1959) 197

A. Mostafazadeh, J. Math. Phys. 43 (2002) 2814

## Unbroken $\mathcal{PT}$ -symmetry guarantees real eigenvalues

- $\mathcal{PT}$ -symmetry:  $\mathcal{PT} : x \rightarrow -x, p \rightarrow p, i \rightarrow -i$   
 $(\mathcal{P} : x \rightarrow -x, p \rightarrow -p; \mathcal{T} : x \rightarrow x, p \rightarrow -p, i \rightarrow -i)$

## Unbroken $\mathcal{PT}$ -symmetry guarantees real eigenvalues

- $\mathcal{PT}$ -symmetry:  $\mathcal{PT} : x \rightarrow -x, p \rightarrow p, i \rightarrow -i$   
 $(\mathcal{P} : x \rightarrow -x, p \rightarrow -p; \mathcal{T} : x \rightarrow x, p \rightarrow -p, i \rightarrow -i)$
- $\mathcal{PT}$  is an anti-linear operator:

$$\mathcal{PT}(\lambda\Phi + \mu\Psi) = \lambda^*\mathcal{PT}\Phi + \mu^*\mathcal{PT}\Psi \quad \lambda, \mu \in \mathbb{C}$$

## Unbroken $\mathcal{PT}$ -symmetry guarantees real eigenvalues

- $\mathcal{PT}$ -symmetry:  $\mathcal{PT} : x \rightarrow -x, p \rightarrow p, i \rightarrow -i$   
 $(\mathcal{P} : x \rightarrow -x, p \rightarrow -p; \mathcal{T} : x \rightarrow x, p \rightarrow -p, i \rightarrow -i)$
- $\mathcal{PT}$  is an anti-linear operator:

$$\mathcal{PT}(\lambda\Phi + \mu\Psi) = \lambda^*\mathcal{PT}\Phi + \mu^*\mathcal{PT}\Psi \quad \lambda, \mu \in \mathbb{C}$$

- Real eigenvalues from unbroken  $\mathcal{PT}$ -symmetry:

$$[\mathcal{H}, \mathcal{PT}] = 0 \quad \wedge \quad \mathcal{PT}\Phi = \Phi \quad \Rightarrow \varepsilon = \varepsilon^* \text{ for } \mathcal{H}\Phi = \varepsilon\Phi$$

## Unbroken $\mathcal{PT}$ -symmetry guarantees real eigenvalues

- $\mathcal{PT}$ -symmetry:  $\mathcal{PT} : x \rightarrow -x, p \rightarrow p, i \rightarrow -i$   
 $(\mathcal{P} : x \rightarrow -x, p \rightarrow -p; \mathcal{T} : x \rightarrow x, p \rightarrow -p, i \rightarrow -i)$
- $\mathcal{PT}$  is an anti-linear operator:

$$\mathcal{PT}(\lambda\Phi + \mu\Psi) = \lambda^*\mathcal{PT}\Phi + \mu^*\mathcal{PT}\Psi \quad \lambda, \mu \in \mathbb{C}$$

- Real eigenvalues from unbroken  $\mathcal{PT}$ -symmetry:

$$[\mathcal{H}, \mathcal{PT}] = 0 \quad \wedge \quad \mathcal{PT}\Phi = \Phi \quad \Rightarrow \varepsilon = \varepsilon^* \text{ for } \mathcal{H}\Phi = \varepsilon\Phi$$

- *Proof:*

## Unbroken $\mathcal{PT}$ -symmetry guarantees real eigenvalues

- $\mathcal{PT}$ -symmetry:  $\mathcal{PT} : x \rightarrow -x, p \rightarrow p, i \rightarrow -i$   
 $(\mathcal{P} : x \rightarrow -x, p \rightarrow -p; \mathcal{T} : x \rightarrow x, p \rightarrow -p, i \rightarrow -i)$
- $\mathcal{PT}$  is an anti-linear operator:

$$\mathcal{PT}(\lambda\Phi + \mu\Psi) = \lambda^*\mathcal{PT}\Phi + \mu^*\mathcal{PT}\Psi \quad \lambda, \mu \in \mathbb{C}$$

- Real eigenvalues from unbroken  $\mathcal{PT}$ -symmetry:

$$[\mathcal{H}, \mathcal{PT}] = 0 \quad \wedge \quad \mathcal{PT}\Phi = \Phi \quad \Rightarrow \varepsilon = \varepsilon^* \text{ for } \mathcal{H}\Phi = \varepsilon\Phi$$

- *Proof:*  $\varepsilon\Phi = \mathcal{H}\Phi$

## Unbroken $\mathcal{PT}$ -symmetry guarantees real eigenvalues

- $\mathcal{PT}$ -symmetry:  $\mathcal{PT} : x \rightarrow -x, p \rightarrow p, i \rightarrow -i$   
 $(\mathcal{P} : x \rightarrow -x, p \rightarrow -p; \mathcal{T} : x \rightarrow x, p \rightarrow -p, i \rightarrow -i)$
- $\mathcal{PT}$  is an anti-linear operator:

$$\mathcal{PT}(\lambda\Phi + \mu\Psi) = \lambda^*\mathcal{PT}\Phi + \mu^*\mathcal{PT}\Psi \quad \lambda, \mu \in \mathbb{C}$$

- Real eigenvalues from unbroken  $\mathcal{PT}$ -symmetry:

$$[\mathcal{H}, \mathcal{PT}] = 0 \quad \wedge \quad \mathcal{PT}\Phi = \Phi \quad \Rightarrow \varepsilon = \varepsilon^* \text{ for } \mathcal{H}\Phi = \varepsilon\Phi$$

- *Proof:*  $\varepsilon\Phi = \mathcal{H}\Phi = \mathcal{H}\mathcal{PT}\Phi$

## Unbroken $\mathcal{PT}$ -symmetry guarantees real eigenvalues

- $\mathcal{PT}$ -symmetry:  $\mathcal{PT} : x \rightarrow -x, p \rightarrow p, i \rightarrow -i$   
 $(\mathcal{P} : x \rightarrow -x, p \rightarrow -p; \mathcal{T} : x \rightarrow x, p \rightarrow -p, i \rightarrow -i)$
- $\mathcal{PT}$  is an anti-linear operator:

$$\mathcal{PT}(\lambda\Phi + \mu\Psi) = \lambda^*\mathcal{PT}\Phi + \mu^*\mathcal{PT}\Psi \quad \lambda, \mu \in \mathbb{C}$$

- Real eigenvalues from unbroken  $\mathcal{PT}$ -symmetry:

$$[\mathcal{H}, \mathcal{PT}] = 0 \quad \wedge \quad \mathcal{PT}\Phi = \Phi \quad \Rightarrow \varepsilon = \varepsilon^* \text{ for } \mathcal{H}\Phi = \varepsilon\Phi$$

- *Proof:*  $\varepsilon\Phi = \mathcal{H}\Phi = \mathcal{H}\mathcal{PT}\Phi = \mathcal{PT}\mathcal{H}\Phi$

## Unbroken $\mathcal{PT}$ -symmetry guarantees real eigenvalues

- $\mathcal{PT}$ -symmetry:  $\mathcal{PT} : x \rightarrow -x, p \rightarrow p, i \rightarrow -i$   
 $(\mathcal{P} : x \rightarrow -x, p \rightarrow -p; \mathcal{T} : x \rightarrow x, p \rightarrow -p, i \rightarrow -i)$
- $\mathcal{PT}$  is an anti-linear operator:

$$\mathcal{PT}(\lambda\Phi + \mu\Psi) = \lambda^*\mathcal{PT}\Phi + \mu^*\mathcal{PT}\Psi \quad \lambda, \mu \in \mathbb{C}$$

- Real eigenvalues from unbroken  $\mathcal{PT}$ -symmetry:

$$[\mathcal{H}, \mathcal{PT}] = 0 \quad \wedge \quad \mathcal{PT}\Phi = \Phi \quad \Rightarrow \varepsilon = \varepsilon^* \text{ for } \mathcal{H}\Phi = \varepsilon\Phi$$

- *Proof:*  $\varepsilon\Phi = \mathcal{H}\Phi = \mathcal{H}\mathcal{PT}\Phi = \mathcal{PT}\mathcal{H}\Phi = \mathcal{PT}\varepsilon\Phi$

## Unbroken $\mathcal{PT}$ -symmetry guarantees real eigenvalues

- $\mathcal{PT}$ -symmetry:  $\mathcal{PT} : x \rightarrow -x, p \rightarrow p, i \rightarrow -i$   
 $(\mathcal{P} : x \rightarrow -x, p \rightarrow -p; \mathcal{T} : x \rightarrow x, p \rightarrow -p, i \rightarrow -i)$
- $\mathcal{PT}$  is an anti-linear operator:

$$\mathcal{PT}(\lambda\Phi + \mu\Psi) = \lambda^*\mathcal{PT}\Phi + \mu^*\mathcal{PT}\Psi \quad \lambda, \mu \in \mathbb{C}$$

- Real eigenvalues from unbroken  $\mathcal{PT}$ -symmetry:

$$[\mathcal{H}, \mathcal{PT}] = 0 \quad \wedge \quad \mathcal{PT}\Phi = \Phi \quad \Rightarrow \varepsilon = \varepsilon^* \text{ for } \mathcal{H}\Phi = \varepsilon\Phi$$

- *Proof:*  $\varepsilon\Phi = \mathcal{H}\Phi = \mathcal{H}\mathcal{PT}\Phi = \mathcal{PT}\mathcal{H}\Phi = \mathcal{PT}\varepsilon\Phi = \varepsilon^*\mathcal{PT}\Phi$

## Unbroken $\mathcal{PT}$ -symmetry guarantees real eigenvalues

- $\mathcal{PT}$ -symmetry:  $\mathcal{PT} : x \rightarrow -x, p \rightarrow p, i \rightarrow -i$   
 $(\mathcal{P} : x \rightarrow -x, p \rightarrow -p; \mathcal{T} : x \rightarrow x, p \rightarrow -p, i \rightarrow -i)$
- $\mathcal{PT}$  is an anti-linear operator:

$$\mathcal{PT}(\lambda\Phi + \mu\Psi) = \lambda^*\mathcal{PT}\Phi + \mu^*\mathcal{PT}\Psi \quad \lambda, \mu \in \mathbb{C}$$

- Real eigenvalues from unbroken  $\mathcal{PT}$ -symmetry:

$$[\mathcal{H}, \mathcal{PT}] = 0 \quad \wedge \quad \mathcal{PT}\Phi = \Phi \quad \Rightarrow \varepsilon = \varepsilon^* \text{ for } \mathcal{H}\Phi = \varepsilon\Phi$$

- *Proof:*  $\varepsilon\Phi = \mathcal{H}\Phi = \mathcal{H}\mathcal{PT}\Phi = \mathcal{PT}\mathcal{H}\Phi = \mathcal{PT}\varepsilon\Phi = \varepsilon^*\mathcal{PT}\Phi = \varepsilon^*\Phi$

## Unbroken $\mathcal{PT}$ -symmetry guarantees real eigenvalues

- $\mathcal{PT}$ -symmetry:  $\mathcal{PT} : x \rightarrow -x, p \rightarrow p, i \rightarrow -i$   
 $(\mathcal{P} : x \rightarrow -x, p \rightarrow -p; \mathcal{T} : x \rightarrow x, p \rightarrow -p, i \rightarrow -i)$
- $\mathcal{PT}$  is an anti-linear operator:

$$\mathcal{PT}(\lambda\Phi + \mu\Psi) = \lambda^*\mathcal{PT}\Phi + \mu^*\mathcal{PT}\Psi \quad \lambda, \mu \in \mathbb{C}$$

- Real eigenvalues from unbroken  $\mathcal{PT}$ -symmetry:

$$[\mathcal{H}, \mathcal{PT}] = 0 \quad \wedge \quad \mathcal{PT}\Phi = \Phi \quad \Rightarrow \varepsilon = \varepsilon^* \text{ for } \mathcal{H}\Phi = \varepsilon\Phi$$

- *Proof:*  $\varepsilon\Phi = \mathcal{H}\Phi = \mathcal{H}\mathcal{PT}\Phi = \mathcal{PT}\mathcal{H}\Phi = \mathcal{PT}\varepsilon\Phi = \varepsilon^*\mathcal{PT}\Phi = \varepsilon^*\Phi$

## Spontaneously broken $\mathcal{PT}$ -symmetry gives conjugate eigenvalues

- Spontaneously broken  $\mathcal{PT}$ -symmetry:

$$[\mathcal{H}, \mathcal{PT}] = 0 \quad \wedge \quad \mathcal{PT}\Phi \neq \Phi$$

Spontaneously broken  $\mathcal{PT}$ -symmetry gives conjugate eigenvalues

- Spontaneously broken  $\mathcal{PT}$ -symmetry:

$$[\mathcal{H}, \mathcal{PT}] = 0 \quad \wedge \quad \mathcal{PT}\Phi \neq \Phi$$

- Instead

$$[\mathcal{H}, \mathcal{PT}] = 0 \quad \wedge \quad \mathcal{PT}\Phi_1 = \Phi_2$$

$$\mathcal{H}\Phi_1 = \varepsilon_1\Phi_1 \quad \mathcal{H}\Phi_2 = \varepsilon_2\Phi_2$$

Spontaneously broken  $\mathcal{PT}$ -symmetry gives conjugate eigenvalues

- Spontaneously broken  $\mathcal{PT}$ -symmetry:

$$[\mathcal{H}, \mathcal{PT}] = 0 \quad \wedge \quad \mathcal{PT}\Phi \neq \Phi$$

- Instead

$$[\mathcal{H}, \mathcal{PT}] = 0 \quad \wedge \quad \mathcal{PT}\Phi_1 = \Phi_2$$

$$\mathcal{H}\Phi_1 = \varepsilon_1\Phi_1 \quad \mathcal{H}\Phi_2 = \varepsilon_2\Phi_2$$

$$\Rightarrow \mathcal{PT}\mathcal{H}\Phi_1 = \mathcal{PT}\varepsilon_1\Phi_1 \Rightarrow \mathcal{H}\mathcal{PT}\Phi_1 = \varepsilon_1^*\mathcal{PT}\Phi_1 \Rightarrow \mathcal{H}\Phi_2 = \varepsilon_1^*\Phi_2$$

Spontaneously broken  $\mathcal{PT}$ -symmetry gives conjugate eigenvalues

- Spontaneously broken  $\mathcal{PT}$ -symmetry:

$$[\mathcal{H}, \mathcal{PT}] = 0 \quad \wedge \quad \mathcal{PT}\Phi \neq \Phi$$

- Instead

$$[\mathcal{H}, \mathcal{PT}] = 0 \quad \wedge \quad \mathcal{PT}\Phi_1 = \Phi_2$$

$$\mathcal{H}\Phi_1 = \varepsilon_1\Phi_1 \quad \quad \quad \mathcal{H}\Phi_2 = \varepsilon_2\Phi_2$$

$$\Rightarrow \mathcal{PT}\mathcal{H}\Phi_1 = \mathcal{PT}\varepsilon_1\Phi_1 \Rightarrow \mathcal{H}\mathcal{PT}\Phi_1 = \varepsilon_1^*\mathcal{PT}\Phi_1 \Rightarrow \mathcal{H}\Phi_2 = \varepsilon_1^*\Phi_2$$

The eigenvalues of  $\Phi_1$  and  $\Phi_2$  form a complex conjugate pair.

Spontaneously broken  $\mathcal{PT}$ -symmetry gives conjugate eigenvalues

- Spontaneously broken  $\mathcal{PT}$ -symmetry:

$$[\mathcal{H}, \mathcal{PT}] = 0 \quad \wedge \quad \mathcal{PT}\Phi \neq \Phi$$

- Instead

$$[\mathcal{H}, \mathcal{PT}] = 0 \quad \wedge \quad \mathcal{PT}\Phi_1 = \Phi_2$$

$$\mathcal{H}\Phi_1 = \varepsilon_1\Phi_1 \quad \mathcal{H}\Phi_2 = \varepsilon_2\Phi_2$$

$$\Rightarrow \mathcal{PT}\mathcal{H}\Phi_1 = \mathcal{PT}\varepsilon_1\Phi_1 \Rightarrow \mathcal{H}\mathcal{PT}\Phi_1 = \varepsilon_1^*\mathcal{PT}\Phi_1 \Rightarrow \mathcal{H}\Phi_2 = \varepsilon_1^*\Phi_2$$

The eigenvalues of  $\Phi_1$  and  $\Phi_2$  form a complex conjugate pair.

- The point in parameter space where the  $\mathcal{PT}$ -symmetry spontaneously breaks is referred to as exceptional point.

Spontaneously broken  $\mathcal{PT}$ -symmetry gives conjugate eigenvalues

- Spontaneously broken  $\mathcal{PT}$ -symmetry:

$$[\mathcal{H}, \mathcal{PT}] = 0 \quad \wedge \quad \mathcal{PT}\Phi \neq \Phi$$

- Instead

$$[\mathcal{H}, \mathcal{PT}] = 0 \quad \wedge \quad \mathcal{PT}\Phi_1 = \Phi_2$$

$$\mathcal{H}\Phi_1 = \varepsilon_1\Phi_1 \quad \mathcal{H}\Phi_2 = \varepsilon_2\Phi_2$$

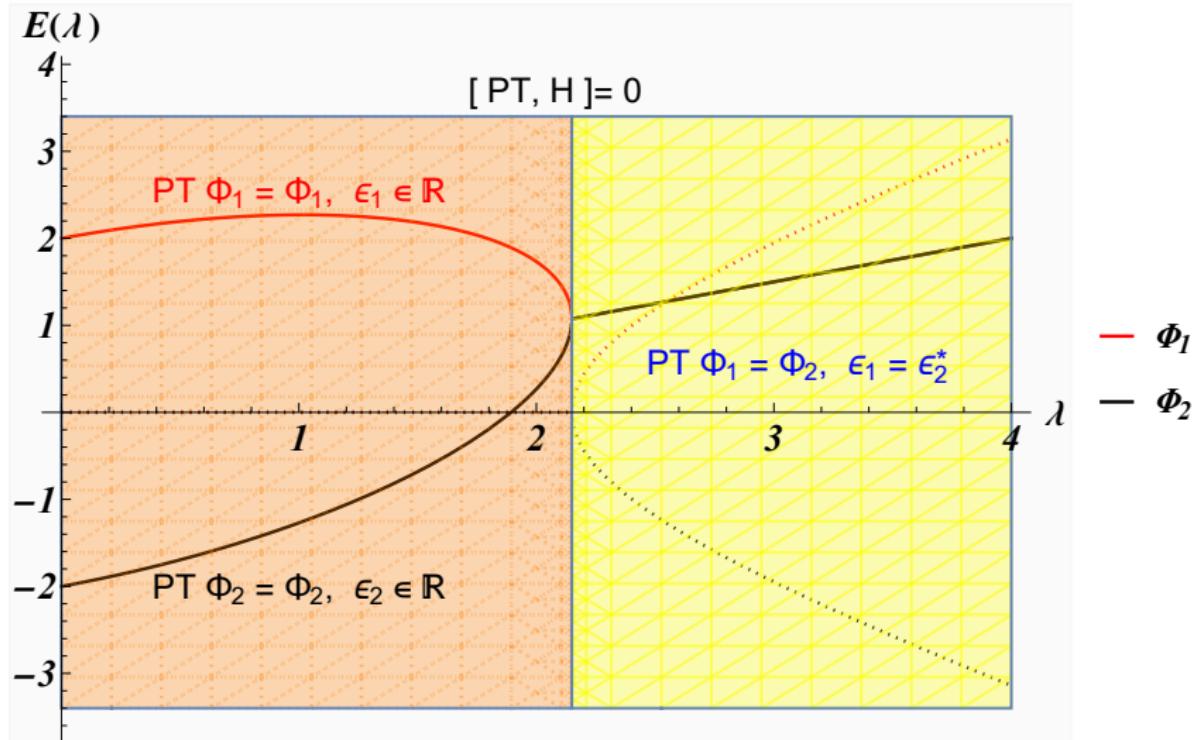
$$\Rightarrow \mathcal{PT}\mathcal{H}\Phi_1 = \mathcal{PT}\varepsilon_1\Phi_1 \Rightarrow \mathcal{H}\mathcal{PT}\Phi_1 = \varepsilon_1^*\mathcal{PT}\Phi_1 \Rightarrow \mathcal{H}\Phi_2 = \varepsilon_1^*\Phi_2$$

The eigenvalues of  $\Phi_1$  and  $\Phi_2$  form a complex conjugate pair.

- The point in parameter space where the  $\mathcal{PT}$ -symmetry spontaneously breaks is referred to as exceptional point.

$\mathcal{PT}$ -symmetry is only an example of an antilinear operator.

## $\mathcal{PT}$ -symmetry versus spontaneously broken $\mathcal{PT}$ -symmetry



real parts are solid lines, imaginary parts are dotted lines

## Supersymmetry (Darboux transformation)

Decompose Hamiltonian  $\mathcal{H}$  as:

$$\mathcal{H} = H_+ \oplus H_- = Q\tilde{Q} \oplus \tilde{Q}Q$$

## Supersymmetry (Darboux transformation)

Decompose Hamiltonian  $\mathcal{H}$  as:

$$\mathcal{H} = H_+ \oplus H_- = Q\tilde{Q} \oplus \tilde{Q}Q$$

- intertwining operators:  $QH_- = H_+Q$  and  $\tilde{Q}H_+ = H_-\tilde{Q}$

$$\Rightarrow [\mathcal{H}, Q] = [\mathcal{H}, \tilde{Q}] = 0$$

## Supersymmetry (Darboux transformation)

Decompose Hamiltonian  $\mathcal{H}$  as:

$$\mathcal{H} = H_+ \oplus H_- = Q\tilde{Q} \oplus \tilde{Q}Q$$

- intertwining operators:  $QH_- = H_+Q$  and  $\tilde{Q}H_+ = H_-\tilde{Q}$

$$\Rightarrow [\mathcal{H}, Q] = [\mathcal{H}, \tilde{Q}] = 0$$

- realization:  $Q = \frac{d}{dx} + W$  and  $\tilde{Q} = -\frac{d}{dx} + W$

$$\Rightarrow H_{\pm} = -\Delta + W^2 \pm W' = -\Delta + V_{\pm}$$

## Supersymmetry (Darboux transformation)

Decompose Hamiltonian  $\mathcal{H}$  as:

$$\mathcal{H} = H_+ \oplus H_- = Q\tilde{Q} \oplus \tilde{Q}Q$$

- intertwining operators:  $QH_- = H_+Q$  and  $\tilde{Q}H_+ = H_-\tilde{Q}$

$$\Rightarrow [\mathcal{H}, Q] = [\mathcal{H}, \tilde{Q}] = 0$$

- realization:  $Q = \frac{d}{dx} + W$  and  $\tilde{Q} = -\frac{d}{dx} + W$

$$\Rightarrow H_{\pm} = -\Delta + W^2 \pm W' = -\Delta + V_{\pm}$$

- ground state:  $H_-\Phi_n^- = \varepsilon_n \Phi_n^-$  and  $H_-\Phi_m^- = 0$

## Supersymmetry (Darboux transformation)

Decompose Hamiltonian  $\mathcal{H}$  as:

$$\mathcal{H} = H_+ \oplus H_- = Q\tilde{Q} \oplus \tilde{Q}Q$$

- intertwining operators:  $QH_- = H_+Q$  and  $\tilde{Q}H_+ = H_-\tilde{Q}$

$$\Rightarrow [\mathcal{H}, Q] = [\mathcal{H}, \tilde{Q}] = 0$$

- realization:  $Q = \frac{d}{dx} + W$  and  $\tilde{Q} = -\frac{d}{dx} + W$

$$\Rightarrow H_{\pm} = -\Delta + W^2 \pm W' = -\Delta + V_{\pm}$$

- ground state:  $H_- \Phi_n^- = \varepsilon_n \Phi_n^-$  and  $H_- \Phi_m^- = 0$   
 $\Rightarrow$  isospectral Hamiltonians

$$H_{\pm}^m = -\Delta + V_{\pm}^m + E_m \quad H_{\pm}^m \Phi_n^{\pm} = E_n \Phi_n^{\pm} \quad \text{for } n > m$$

$H_-^m$  non-Hermitian and  $H_+^m$  Hermitian when  $ReW = \frac{1}{2}\partial_x \ln(ImW)$ .

## How to formulate a quantum mechanical framework?

- ➊ orthogonality
- ➋ observables
- ➌ uniqueness
- ➍ technicalities (new metric etc)

## Orthogonality

- Take  $h$  to be a Hermitian and diagonalisable Hamiltonian:

$$\langle \phi_n | h \phi_m \rangle = \langle h \phi_n | \phi_m \rangle$$

$$\begin{aligned} |h\phi_m\rangle &= \varepsilon_m |\phi_m\rangle \\ \langle h\phi_n | &= \varepsilon_n^* \langle \phi_n | \end{aligned}$$

## Orthogonality

- Take  $h$  to be a Hermitian and diagonalisable Hamiltonian:

$$\langle \phi_n | h \phi_m \rangle = \langle h \phi_n | \phi_m \rangle$$

$$\langle \phi_n | h \phi_m \rangle = \varepsilon_m \langle \phi_n | \phi_m \rangle$$

$$\langle h \phi_n | \phi_m \rangle = \varepsilon_n^* \langle \phi_n | \phi_m \rangle$$

## Orthogonality

- Take  $h$  to be a Hermitian and diagonalisable Hamiltonian:

$$\langle \phi_n | h \phi_m \rangle = \langle h \phi_n | \phi_m \rangle$$

$$\left. \begin{array}{l} \langle \phi_n | h \phi_m \rangle = \varepsilon_m \langle \phi_n | \phi_m \rangle \\ \langle h \phi_n | \phi_m \rangle = \varepsilon_n^* \langle \phi_n | \phi_m \rangle \end{array} \right\} \Rightarrow 0 = (\varepsilon_m - \varepsilon_n^*) \langle \phi_n | \phi_m \rangle$$

## Orthogonality

- Take  $h$  to be a Hermitian and diagonalisable Hamiltonian:

$$\langle \phi_n | h \phi_m \rangle = \langle h \phi_n | \phi_m \rangle$$

$$\left. \begin{array}{l} \langle \phi_n | h \phi_m \rangle = \varepsilon_m \langle \phi_n | \phi_m \rangle \\ \langle h \phi_n | \phi_m \rangle = \varepsilon_n^* \langle \phi_n | \phi_m \rangle \end{array} \right\} \Rightarrow 0 = (\varepsilon_m - \varepsilon_n^*) \langle \phi_n | \phi_m \rangle$$

$$\Rightarrow \quad n = m : \varepsilon_n = \varepsilon_n^* \quad n \neq m : \langle \phi_n | \phi_m \rangle = 0$$

## Orthogonality

- Take  $h$  to be a Hermitian and diagonalisable Hamiltonian:

$$\langle \phi_n | h \phi_m \rangle = \langle h \phi_n | \phi_m \rangle$$

$$\left. \begin{array}{l} \langle \phi_n | h \phi_m \rangle = \varepsilon_m \langle \phi_n | \phi_m \rangle \\ \langle h \phi_n | \phi_m \rangle = \varepsilon_n^* \langle \phi_n | \phi_m \rangle \end{array} \right\} \Rightarrow 0 = (\varepsilon_m - \varepsilon_n^*) \langle \phi_n | \phi_m \rangle$$

$$\Rightarrow n = m : \varepsilon_n = \varepsilon_n^* \quad n \neq m : \langle \phi_n | \phi_m \rangle = 0$$

- Take  $H$  to be a non-Hermitian Hamiltonian:

$$H |\Phi_n\rangle = \varepsilon_n |\Phi_n\rangle$$

- reality and orthogonality no longer guaranteed. Define

$$\langle \Phi_n | \Phi_m \rangle_\eta := \langle \Phi_n | \eta^2 \Phi_m \rangle$$

- where  $\langle \Phi_n | H \Phi_m \rangle_\eta = \langle H \Phi_n | \Phi_m \rangle_\eta \Rightarrow \langle \Phi_n | \Phi_m \rangle_\eta = \delta_{n,m}$

$H$  is Hermitian with respect to new metric

- Assume pseudo-Hermiticity:

$$h = \eta H \eta^{-1} = h^\dagger = (\eta^{-1})^\dagger H^\dagger \eta^\dagger \Leftrightarrow H^\dagger \eta^\dagger \eta = \eta^\dagger \eta H$$

$$\Phi = \eta^{-1} \phi \quad \eta^\dagger = \eta$$

$\Rightarrow H$  is Hermitian with respect to the new metric

$H$  is Hermitian with respect to new metric

- Assume pseudo-Hermiticity:

$$h = \eta H \eta^{-1} = h^\dagger = (\eta^{-1})^\dagger H^\dagger \eta^\dagger \Leftrightarrow H^\dagger \eta^\dagger \eta = \eta^\dagger \eta H$$

$$\Phi = \eta^{-1} \phi \quad \eta^\dagger = \eta$$

$\Rightarrow H$  is Hermitian with respect to the new metric

*Proof:*

$$\langle \Psi | H \Phi \rangle_\eta = \langle \Psi | \eta^2 H \Phi \rangle$$

$H$  is Hermitian with respect to new metric

- Assume pseudo-Hermiticity:

$$h = \eta H \eta^{-1} = h^\dagger = (\eta^{-1})^\dagger H^\dagger \eta^\dagger \Leftrightarrow H^\dagger \eta^\dagger \eta = \eta^\dagger \eta H$$

$$\Phi = \eta^{-1} \phi \quad \eta^\dagger = \eta$$

$\Rightarrow H$  is Hermitian with respect to the new metric

*Proof:*

$$\langle \Psi | H \Phi \rangle_\eta = \langle \Psi | \eta^2 H \Phi \rangle = \langle \eta^{-1} \psi | \eta^2 H \eta^{-1} \phi \rangle$$

$H$  is Hermitian with respect to new metric

- Assume pseudo-Hermiticity:

$$h = \eta H \eta^{-1} = h^\dagger = (\eta^{-1})^\dagger H^\dagger \eta^\dagger \Leftrightarrow H^\dagger \eta^\dagger \eta = \eta^\dagger \eta H$$

$$\Phi = \eta^{-1} \phi \quad \eta^\dagger = \eta$$

$\Rightarrow H$  is Hermitian with respect to the new metric

*Proof:*

$$\langle \Psi | H \Phi \rangle_\eta = \langle \Psi | \eta^2 H \Phi \rangle = \langle \eta^{-1} \psi | \eta^2 H \eta^{-1} \phi \rangle = \langle \psi | \eta H \eta^{-1} \phi \rangle$$

$H$  is Hermitian with respect to new metric

- Assume pseudo-Hermiticity:

$$h = \eta H \eta^{-1} = h^\dagger = (\eta^{-1})^\dagger H^\dagger \eta^\dagger \Leftrightarrow H^\dagger \eta^\dagger \eta = \eta^\dagger \eta H$$

$$\Phi = \eta^{-1} \phi \quad \eta^\dagger = \eta$$

$\Rightarrow H$  is Hermitian with respect to the new metric

*Proof:*

$$\langle \Psi | H \Phi \rangle_\eta = \langle \Psi | \eta^2 H \Phi \rangle = \langle \eta^{-1} \psi | \eta^2 H \eta^{-1} \phi \rangle = \langle \psi | \eta H \eta^{-1} \phi \rangle = \langle \psi | h \phi \rangle$$

$H$  is Hermitian with respect to new metric

- Assume pseudo-Hermiticity:

$$\textcolor{red}{h} = \eta H \eta^{-1} = \textcolor{red}{h}^\dagger = (\eta^{-1})^\dagger H^\dagger \eta^\dagger \Leftrightarrow H^\dagger \eta^\dagger \eta = \eta^\dagger \eta H$$

$$\Phi = \eta^{-1} \phi \quad \eta^\dagger = \eta$$

$\Rightarrow H$  is Hermitian with respect to the new metric

*Proof:*

$$\langle \Psi | H \Phi \rangle_\eta = \langle \Psi | \eta^2 H \Phi \rangle = \langle \eta^{-1} \psi | \eta^2 H \eta^{-1} \phi \rangle = \langle \psi | \eta H \eta^{-1} \phi \rangle = \\ \langle \psi | h \phi \rangle = \langle \textcolor{red}{h} \psi | \phi \rangle$$

$H$  is Hermitian with respect to new metric

- Assume pseudo-Hermiticity:

$$h = \eta H \eta^{-1} = h^\dagger = (\eta^{-1})^\dagger H^\dagger \eta^\dagger \Leftrightarrow H^\dagger \eta^\dagger \eta = \eta^\dagger \eta H$$

$$\Phi = \eta^{-1} \phi \quad \eta^\dagger = \eta$$

$\Rightarrow H$  is Hermitian with respect to the new metric

*Proof:*

$$\begin{aligned}\langle \Psi | H \Phi \rangle_\eta &= \langle \Psi | \eta^2 H \Phi \rangle = \langle \eta^{-1} \psi | \eta^2 H \eta^{-1} \phi \rangle = \langle \psi | \eta H \eta^{-1} \phi \rangle = \\ \langle \psi | h \phi \rangle &= \langle h \psi | \phi \rangle = \langle \eta H \eta^{-1} \psi | \phi \rangle\end{aligned}$$

$H$  is Hermitian with respect to new metric

- Assume pseudo-Hermiticity:

$$h = \eta H \eta^{-1} = h^\dagger = (\eta^{-1})^\dagger H^\dagger \eta^\dagger \Leftrightarrow H^\dagger \eta^\dagger \eta = \eta^\dagger \eta H$$

$$\Phi = \eta^{-1} \phi \quad \eta^\dagger = \eta$$

$\Rightarrow H$  is Hermitian with respect to the new metric

*Proof:*

$$\begin{aligned}\langle \Psi | H \Phi \rangle_\eta &= \langle \Psi | \eta^2 H \Phi \rangle = \langle \eta^{-1} \psi | \eta^2 H \eta^{-1} \phi \rangle = \langle \psi | \eta H \eta^{-1} \phi \rangle = \\ \langle \psi | h \phi \rangle &= \langle h \psi | \phi \rangle = \langle \eta H \eta^{-1} \psi | \phi \rangle = \langle H \Psi | \eta \phi \rangle\end{aligned}$$

$H$  is Hermitian with respect to new metric

- Assume pseudo-Hermiticity:

$$h = \eta H \eta^{-1} = h^\dagger = (\eta^{-1})^\dagger H^\dagger \eta^\dagger \Leftrightarrow H^\dagger \eta^\dagger \eta = \eta^\dagger \eta H$$

$$\Phi = \eta^{-1} \phi \quad \eta^\dagger = \eta$$

$\Rightarrow H$  is Hermitian with respect to the new metric

*Proof:*

$$\begin{aligned}\langle \Psi | H \Phi \rangle_\eta &= \langle \Psi | \eta^2 H \Phi \rangle = \langle \eta^{-1} \psi | \eta^2 H \eta^{-1} \phi \rangle = \langle \psi | \eta H \eta^{-1} \phi \rangle = \\ \langle \psi | h \phi \rangle &= \langle h \psi | \phi \rangle = \langle \eta H \eta^{-1} \psi | \phi \rangle = \langle H \Psi | \eta \phi \rangle = \langle H \Psi | \eta^2 \Phi \rangle\end{aligned}$$

$H$  is Hermitian with respect to new metric

- Assume pseudo-Hermiticity:

$$h = \eta H \eta^{-1} = h^\dagger = (\eta^{-1})^\dagger H^\dagger \eta^\dagger \Leftrightarrow H^\dagger \eta^\dagger \eta = \eta^\dagger \eta H$$

$$\Phi = \eta^{-1} \phi \quad \eta^\dagger = \eta$$

$\Rightarrow H$  is Hermitian with respect to the new metric

*Proof:*

$$\begin{aligned}\langle \Psi | H \Phi \rangle_\eta &= \langle \Psi | \eta^2 H \Phi \rangle = \langle \eta^{-1} \psi | \eta^2 H \eta^{-1} \phi \rangle = \langle \psi | \eta H \eta^{-1} \phi \rangle = \\ &\langle \psi | h \phi \rangle = \langle h \psi | \phi \rangle = \langle \eta H \eta^{-1} \psi | \phi \rangle = \langle H \Psi | \eta \phi \rangle = \langle H \Psi | \eta^2 \Phi \rangle \\ &= \langle H \Psi | \Phi \rangle_\eta\end{aligned}$$

$H$  is Hermitian with respect to new metric

- Assume pseudo-Hermiticity:

$$h = \eta H \eta^{-1} = h^\dagger = (\eta^{-1})^\dagger H^\dagger \eta^\dagger \Leftrightarrow H^\dagger \eta^\dagger \eta = \eta^\dagger \eta H$$

$$\Phi = \eta^{-1} \phi \quad \eta^\dagger = \eta$$

$\Rightarrow H$  is Hermitian with respect to the new metric

*Proof:*

$$\begin{aligned}\langle \Psi | H \Phi \rangle_\eta &= \langle \Psi | \eta^2 H \Phi \rangle = \langle \eta^{-1} \psi | \eta^2 H \eta^{-1} \phi \rangle = \langle \psi | \eta H \eta^{-1} \phi \rangle = \\ \langle \psi | h \phi \rangle &= \langle h \psi | \phi \rangle = \langle \eta H \eta^{-1} \psi | \phi \rangle = \langle H \Psi | \eta \phi \rangle = \langle H \Psi | \eta^2 \Phi \rangle \\ &= \langle H \Psi | \Phi \rangle_\eta\end{aligned}$$

Using the same reasoning as in the Hermitian case:

$\Rightarrow$  Eigenvalues of  $H$  are real, eigenstates are orthogonal

## Observables

- Observables are associated to self-adjoint (Hermitian) operators

$$\langle \psi | o\phi \rangle = \langle o\psi | \phi \rangle$$

- Observables in the non-Hermitian system are associated to self-adjoint (Hermitian) operators  $\mathcal{O}$  with a re-defined metric

$$\langle \Psi | \mathcal{O} \Phi \rangle_{\eta} = \langle \Psi | \eta^{\dagger} \eta \mathcal{O} \Phi \rangle = \langle \mathcal{O} \Psi | \eta^{\dagger} \eta \Phi \rangle = \langle \mathcal{O} \Psi | \Phi \rangle_{\eta}$$

⇒ observables  $\mathcal{O}$  in the non-Hermitian system are **pseudo/quasi-Hermitian** with regard to the observables  $o$  in the Hermitian system:

$$\mathcal{O} = \eta^{-1} o \eta \quad \Leftrightarrow \quad \mathcal{O}^{\dagger} = \rho \mathcal{O} \rho^{-1}$$

## Observables

- Observables are associated to self-adjoint (Hermitian) operators

$$\langle \psi | o\phi \rangle = \langle o\psi | \phi \rangle$$

- Observables in the non-Hermitian system are associated to self-adjoint (Hermitian) operators  $\mathcal{O}$  with a re-defined metric

$$\langle \Psi | \mathcal{O} \Phi \rangle_{\eta} = \langle \Psi | \eta^{\dagger} \eta \mathcal{O} \Phi \rangle = \langle \mathcal{O} \Psi | \eta^{\dagger} \eta \Phi \rangle = \langle \mathcal{O} \Psi | \Phi \rangle_{\eta}$$

⇒ observables  $\mathcal{O}$  in the non-Hermitian system are **pseudo/quasi-Hermitian** with regard to the observables  $o$  in the Hermitian system:

$$\mathcal{O} = \eta^{-1} o \eta \quad \Leftrightarrow \quad \mathcal{O}^{\dagger} = \rho \mathcal{O} \rho^{-1}$$

Examples: In  $\mathcal{H} = \frac{1}{2} p^2 + i x^3 x$ ,  $p$  are not observables,  
but  $X = \eta^{-1} x \eta$ ,  $P = \eta^{-1} p \eta$  are.

## General technique, construction of metric and Dyson maps

- Given  $H \left\{ \begin{array}{ll} \text{either} & \text{solve } \eta H \eta^{-1} = h \text{ for } \eta \Rightarrow \rho = \eta^\dagger \eta \\ \text{or} & \text{solve } H^\dagger = \rho H \rho^{-1} \text{ for } \rho \Rightarrow \eta = \sqrt{\rho} \end{array} \right.$

## General technique, construction of metric and Dyson maps

- Given  $H \left\{ \begin{array}{l} \text{either solve } \eta H \eta^{-1} = h \text{ for } \eta \Rightarrow \rho = \eta^\dagger \eta \\ \text{or solve } H^\dagger = \rho H \rho^{-1} \text{ for } \rho \Rightarrow \eta = \sqrt{\rho} \end{array} \right.$
- involves complicated commutation relations

## General technique, construction of metric and Dyson maps

- Given  $H \left\{ \begin{array}{l} \text{either solve } \eta H \eta^{-1} = h \text{ for } \eta \Rightarrow \rho = \eta^\dagger \eta \\ \text{or solve } H^\dagger = \rho H \rho^{-1} \text{ for } \rho \Rightarrow \eta = \sqrt{\rho} \end{array} \right.$
- involves complicated commutation relations
- often this can only be solved perturbatively

## General technique, construction of metric and Dyson maps

- Given  $H \left\{ \begin{array}{l} \text{either solve } \eta H \eta^{-1} = h \text{ for } \eta \Rightarrow \rho = \eta^\dagger \eta \\ \text{or solve } H^\dagger = \rho H \rho^{-1} \text{ for } \rho \Rightarrow \eta = \sqrt{\rho} \end{array} \right.$
- involves complicated commutation relations
- often this can only be solved perturbatively
- Ambiguities:

Given  $H$  the metric is not uniquely defined for unknown  $h$ .

$\Rightarrow$  Given only  $H$  the observables are not uniquely defined.

This is different in the Hermitian case.

- Fixing one more observable achieves uniqueness. <sup>a</sup>

---

<sup>a</sup> Scholtz, Geyer, Hahne, , *Ann. Phys.* 213 (1992) 74

## General technique, construction of metric and Dyson maps

- Given  $H \left\{ \begin{array}{l} \text{either solve } \eta H \eta^{-1} = h \text{ for } \eta \Rightarrow \rho = \eta^\dagger \eta \\ \text{or solve } H^\dagger = \rho H \rho^{-1} \text{ for } \rho \Rightarrow \eta = \sqrt{\rho} \end{array} \right.$
- involves complicated commutation relations
- often this can only be solved perturbatively
- Ambiguities:

Given  $H$  the metric is not uniquely defined for unknown  $h$ .

$\Rightarrow$  Given only  $H$  the observables are not uniquely defined.

This is different in the Hermitian case.

- Fixing one more observable achieves uniqueness. <sup>a</sup>

---

<sup>a</sup> Scholtz, Geyer, Hahne, , *Ann. Phys.* 213 (1992) 74

### Note:

- Thus, this is not re-inventing or disputing the validity of quantum mechanics. We only give up the restrictive requirement that Hamiltonians have to be Hermitian.

An example with a finite dimensional Hilbert space:

Two-level system

$$H = -\frac{1}{2} [\omega \mathbb{I} + \lambda \sigma_z + i\kappa \sigma_x]$$

with eigensystem

$$E_{\pm} = -\frac{1}{2}\omega \pm \frac{1}{2}\sqrt{\lambda^2 - \kappa^2}, \quad \varphi_{\pm} = \begin{pmatrix} i(-\lambda \pm \sqrt{\lambda^2 - \kappa^2}) \\ \kappa \end{pmatrix}$$

An example with a finite dimensional Hilbert space:

Two-level system

$$H = -\frac{1}{2} [\omega \mathbb{I} + \lambda \sigma_z + i\kappa \sigma_x]$$

with eigensystem

$$E_{\pm} = -\frac{1}{2}\omega \pm \frac{1}{2}\sqrt{\lambda^2 - \kappa^2}, \quad \varphi_{\pm} = \begin{pmatrix} i(-\lambda \pm \sqrt{\lambda^2 - \kappa^2}) \\ \kappa \end{pmatrix}$$

## An example with a finite dimensional Hilbert space:

## Two-level system

$$H = -\frac{1}{2} [\omega \mathbb{I} + \lambda \sigma_z + i \kappa \sigma_x]$$

with eigensystem

$$E_{\pm} = -\frac{1}{2}\omega \pm \frac{1}{2}\sqrt{\lambda^2 - \kappa^2}, \quad \varphi_{\pm} = \begin{pmatrix} i(-\lambda \pm \sqrt{\lambda^2 - \kappa^2}) \\ \kappa \end{pmatrix}$$

with  $\mathcal{PT}$ -symmetry  $\mathcal{PT} = \tau \sigma_z; \tau : i \rightarrow -i$ 

$$[\mathcal{PT}, H] = 0, \quad \text{and} \quad \mathcal{PT} \varphi_{\pm} = -\varphi_{\pm} \quad \text{for} \quad |\lambda| > |\kappa|$$

## An example with a finite dimensional Hilbert space:

## Two-level system

$$H = -\frac{1}{2} [\omega \mathbb{I} + \lambda \sigma_z + i\kappa \sigma_x]$$

with eigensystem

$$E_{\pm} = -\frac{1}{2}\omega \pm \frac{1}{2}\sqrt{\lambda^2 - \kappa^2}, \quad \varphi_{\pm} = \begin{pmatrix} i(-\lambda \pm \sqrt{\lambda^2 - \kappa^2}) \\ \kappa \end{pmatrix}$$

with  $\mathcal{PT}$ -symmetry  $\mathcal{PT} = \tau \sigma_z; \tau : i \rightarrow -i$ 

$$[\mathcal{PT}, H] = 0, \quad \text{and} \quad \mathcal{PT} \varphi_{\pm} = -\varphi_{\pm} \quad \text{for} \quad |\lambda| > |\kappa|$$

with broken  $\mathcal{PT}$ -symmetry  $\mathcal{PT} = \tau \sigma_z; \tau : i \rightarrow -i$ 

$$[\mathcal{PT}, H] = 0, \quad \mathcal{PT} \varphi_{\pm} \neq \varphi_{\pm} \quad |\lambda| < |\kappa|$$

An example with a finite dimensional Hilbert space:

Two-level system

$$H = -\frac{1}{2} [\omega \mathbb{I} + \lambda \sigma_z + i \kappa \sigma_x]$$

with eigensystem

$$E_{\pm} = -\frac{1}{2}\omega \pm \frac{1}{2}\sqrt{\lambda^2 - \kappa^2}, \quad \varphi_{\pm} = \begin{pmatrix} i(-\lambda \pm \sqrt{\lambda^2 - \kappa^2}) \\ \kappa \end{pmatrix}$$

with  $\mathcal{PT}$ -symmetry  $\mathcal{PT} = \tau \sigma_z$ ;  $\tau : i \rightarrow -i$

$$[\mathcal{PT}, H] = 0, \quad \text{and} \quad \mathcal{PT} \varphi_{\pm} = -\varphi_{\pm} \quad \text{for} \quad |\lambda| > |\kappa|$$

with broken  $\mathcal{PT}$ -symmetry  $\mathcal{PT} = \tau \sigma_z$ ;  $\tau : i \rightarrow -i$

$$[\mathcal{PT}, H] = 0, \quad \mathcal{PT} \varphi_{\pm} \neq \varphi_{\pm} \quad |\lambda| < |\kappa|$$

Claim: This system has real energies for  $|\lambda(t)| < |\kappa(t)|$ !

$\mathcal{PT}$  symmetrically coupled harmonic oscillator ( $\infty$ - dim Hilbert space)

$$H_K = aK_1 + bK_2 + i\lambda K_3, \quad a, b, \lambda \in \mathbb{R}$$

with Lie algebraic generators

$$\begin{aligned} K_1 &= (p_x^2 + x^2)/2, \quad K_2 = (p_y^2 + y^2)/2, \quad K_3 = (xy + p_x p_y)/2 \\ K_4 &= (xp_y - yp_x)/2 \end{aligned}$$

$$\begin{aligned} [K_1, K_2] &= 0, & [K_1, K_3] &= iK_4, & [K_1, K_4] &= -iK_3, \\ [K_2, K_3] &= -iK_4, & [K_2, K_4] &= iK_3, & [K_3, K_4] &= i(K_1 - K_2)/2 \end{aligned}$$

## $\mathcal{PT}$ symmetrically coupled harmonic oscillator ( $\infty$ - dim Hilbert space)

$$H_K = aK_1 + bK_2 + i\lambda K_3, \quad a, b, \lambda \in \mathbb{R}$$

with Lie algebraic generators

$$\begin{aligned} K_1 &= (p_x^2 + x^2)/2, \quad K_2 = (p_y^2 + y^2)/2, \quad K_3 = (xy + p_x p_y)/2 \\ K_4 &= (xp_y - yp_x)/2 \end{aligned}$$

$$\begin{aligned} [K_1, K_2] &= 0, & [K_1, K_3] &= iK_4, & [K_1, K_4] &= -iK_3, \\ [K_2, K_3] &= -iK_4, & [K_2, K_4] &= iK_3, & [K_3, K_4] &= i(K_1 - K_2)/2 \end{aligned}$$

- $H_K$  is  $\mathcal{PT}$ -symmetric:  $[\mathcal{PT}_\pm, H_K] = 0$

$$\mathcal{PT}_\pm : x \rightarrow \pm x, y \rightarrow \mp y, p_x \rightarrow \mp p_x, p_y \rightarrow \pm p_y, i \rightarrow -i$$

## $\mathcal{PT}$ symmetrically coupled harmonic oscillator ( $\infty$ - dim Hilbert space)

$$H_K = aK_1 + bK_2 + i\lambda K_3, \quad a, b, \lambda \in \mathbb{R}$$

with Lie algebraic generators

$$\begin{aligned} K_1 &= (p_x^2 + x^2)/2, \quad K_2 = (p_y^2 + y^2)/2, \quad K_3 = (xy + p_x p_y)/2 \\ K_4 &= (xp_y - yp_x)/2 \end{aligned}$$

$$\begin{aligned} [K_1, K_2] &= 0, & [K_1, K_3] &= iK_4, & [K_1, K_4] &= -iK_3, \\ [K_2, K_3] &= -iK_4, & [K_2, K_4] &= iK_3, & [K_3, K_4] &= i(K_1 - K_2)/2 \end{aligned}$$

- $H_K$  is  $\mathcal{PT}$ -symmetric:  $[\mathcal{PT}_\pm, H_K] = 0$

$\mathcal{PT}_\pm : x \rightarrow \pm x, y \rightarrow \mp y, p_x \rightarrow \mp p_x, p_y \rightarrow \pm p_y, i \rightarrow -i$

- $H_K$  is quasi-Hermitian:  $h_K = \eta H_K \eta^{-1}$

$$h_K = (a + b)(K_1 + K_2)/2 + \sqrt{(a - b)^2 - \lambda^2}(K_1 - K_2)/2$$

Dyson map:  $\eta = e^{2\theta K_4}, \theta = \operatorname{arctanh}[\lambda/(b - a)], \mathcal{PT}$ -symm.  $|\lambda| < |a - b|$

## Theoretical framework (key equations)

Time-dependent Schrödinger eqn for  $h(t) = h^\dagger(t)$ ,  $H(t) \neq H^\dagger(t)$

$$h(t)\phi(t) = i\hbar\partial_t\phi(t), \quad \text{and} \quad H(t)\Psi(t) = i\hbar\partial_t\Psi(t)$$

## Theoretical framework (key equations)

Time-dependent Schrödinger eqn for  $h(t) = h^\dagger(t)$ ,  $H(t) \neq H^\dagger(t)$

$$h(t)\phi(t) = i\hbar\partial_t\phi(t), \quad \text{and} \quad H(t)\Psi(t) = i\hbar\partial_t\Psi(t)$$

Time-dependent Dyson operator

$$\phi(t) = \eta(t)\Psi(t)$$

⇒ Time-dependent Dyson relation

$$h(t) = \eta(t)H(t)\eta^{-1}(t) + i\hbar\partial_t\eta(t)\eta^{-1}(t)$$

## Theoretical framework (key equations)

Time-dependent Schrödinger eqn for  $h(t) = h^\dagger(t)$ ,  $H(t) \neq H^\dagger(t)$

$$h(t)\phi(t) = i\hbar\partial_t\phi(t), \quad \text{and} \quad H(t)\Psi(t) = i\hbar\partial_t\Psi(t)$$

Time-dependent Dyson operator

$$\phi(t) = \eta(t)\Psi(t)$$

⇒ Time-dependent Dyson relation

$$h(t) = \eta(t)H(t)\eta^{-1}(t) + i\hbar\partial_t\eta(t)\eta^{-1}(t)$$

⇒ Time-dependent quasi-Hermiticity relation

$$H^\dagger\rho(t) - \rho(t)H = i\hbar\partial_t\rho(t)$$

[from conjugating Dyson relation and  $\rho(t) := \eta^\dagger(t)\eta(t)$ ]

The Hamiltonian  $H(t)$  is nonobservable and not the energy operator

Recall: Observables  $o(t)$  in the Hermitian system are self-adjoint.

Observables  $\mathcal{O}(t)$  in the non-Hermitian system are quasi Hermitian

$$o(t) = \eta(t)\mathcal{O}(t)\eta^{-1}(t)$$

The Hamiltonian  $H(t)$  is nonobservable and not the energy operator

Recall: Observables  $o(t)$  in the Hermitian system are self-adjoint.

Observables  $\mathcal{O}(t)$  in the non-Hermitian system are quasi Hermitian

$$o(t) = \eta(t)\mathcal{O}(t)\eta^{-1}(t)$$

Then we have

$$\langle \phi(t) | o(t) \phi(t) \rangle = \langle \Psi(t) | \rho(t) \mathcal{O}(t) \Psi(t) \rangle .$$

The Hamiltonian  $H(t)$  is nonobservable and not the energy operator

Recall: Observables  $o(t)$  in the Hermitian system are self-adjoint.

Observables  $\mathcal{O}(t)$  in the non-Hermitian system are quasi Hermitian

$$o(t) = \eta(t)\mathcal{O}(t)\eta^{-1}(t)$$

Then we have

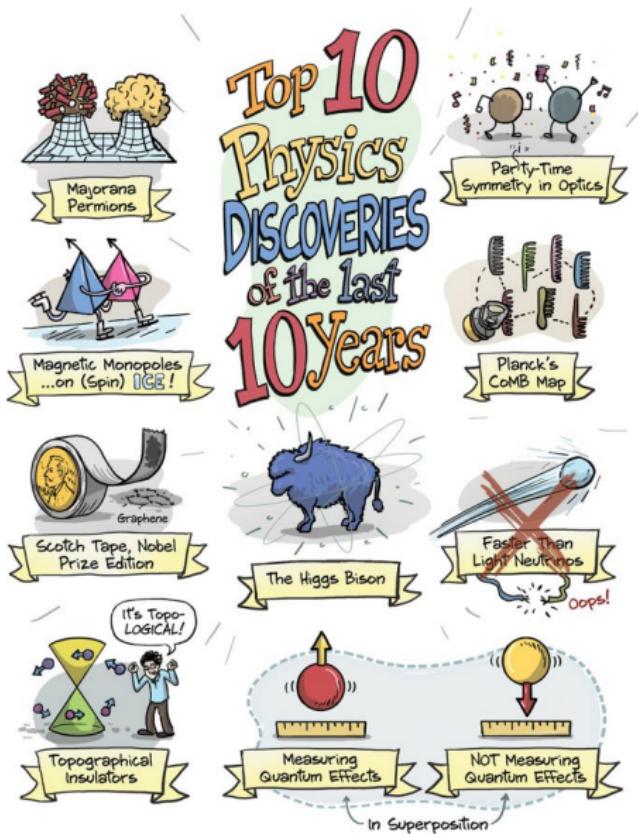
$$\langle \phi(t) | o(t) \phi(t) \rangle = \langle \Psi(t) | \rho(t) \mathcal{O}(t) \Psi(t) \rangle .$$

Since  $H(t)$  is not quasi/pseudo Hermitian it is not an observable.

The observable energy operator is

$$\tilde{H}(t) = \eta^{-1}(t)h(t)\eta(t) = H(t) + i\hbar\eta^{-1}(t)\partial_t\eta(t).$$

# Nature Physics volume 11, page 799 (2015)



Helmholtz equation in paraxial approximation:

$$i \frac{\partial \psi}{\partial z} + \frac{1}{2k} \frac{\partial^2 \psi}{\partial x^2} + kv(x)\psi = 0$$

$\psi$   $\equiv$  envelope function of  $E$

$$v(x) = n/n_0 - 1$$

$n$   $\equiv$  reflection index

$n_0$   $\equiv$  reflection index

$$k = n\omega/c$$

$\omega$   $\equiv$  frequency

with  $z \rightarrow t$

this becomes formally the Schrödinger equation

## Time-dependent coupled oscillators

$$H(t) = \frac{a(t)}{2} (p_x^2 + p_y^2 + x^2 + y^2) + i \frac{\lambda(t)}{2} (xy + p_x p_y), \quad a(t), \lambda(t) \in \mathbb{R}$$

Ansatz:

$$\eta(t) = \prod_{i=1}^4 e^{\gamma_i(t) K_i}, \quad \gamma_i \in \mathbb{R}$$

## Time-dependent coupled oscillators

$$H(t) = \frac{a(t)}{2} (p_x^2 + p_y^2 + x^2 + y^2) + i \frac{\lambda(t)}{2} (xy + p_x p_y), \quad a(t), \lambda(t) \in \mathbb{R}$$

**Ansatz:**

$$\eta(t) = \prod_{i=1}^4 e^{\gamma_i(t) K_i}, \quad \gamma_i \in \mathbb{R}$$

Time-dependent Dyson equations is satisfied when

**Constraint:**

$$\gamma_1 = \gamma_2 = q_1, \quad \dot{\gamma}_3 = -\lambda \cosh \gamma_4, \quad \dot{\gamma}_4 = \lambda \tanh \gamma_3 \sinh \gamma_4,$$

$$h(t) = a(t) (K_1 + K_2) + \frac{\lambda(t)}{2} \frac{\sinh \gamma_4}{\cosh \gamma_3} (K_1 - K_2)$$

## Time-dependent coupled oscillators

$$H(t) = \frac{a(t)}{2} (p_x^2 + p_y^2 + x^2 + y^2) + i \frac{\lambda(t)}{2} (xy + p_x p_y), \quad a(t), \lambda(t) \in \mathbb{R}$$

**Ansatz:**

$$\eta(t) = \prod_{i=1}^4 e^{\gamma_i(t) K_i}, \quad \gamma_i \in \mathbb{R}$$

Time-dependent Dyson equations is satisfied when

**Constraint:**

$$\gamma_1 = \gamma_2 = q_1, \quad \dot{\gamma}_3 = -\lambda \cosh \gamma_4, \quad \dot{\gamma}_4 = \lambda \tanh \gamma_3 \sinh \gamma_4,$$

$$h(t) = a(t) (K_1 + K_2) + \frac{\lambda(t)}{2} \frac{\sinh \gamma_4}{\cosh \gamma_3} (K_1 - K_2)$$

**Solution:**  $\gamma_4 = \operatorname{arcsinh}(\kappa \operatorname{sech} \gamma_3)$ ,  $\chi(t) := \cosh \gamma_3$ ,  $\kappa = \text{const}$

with dissipative Ermakov-Pinney equation

$$\ddot{\chi} - \frac{\dot{\lambda}}{\lambda} \dot{\chi} - \lambda^2 \chi = \frac{\kappa^2 \lambda^2}{\chi^3}$$

Instanteneous energies are real even in the broken  $\mathcal{PT}$  regime !

## Von Neumann entropy in $\mathcal{PT}$ -symmetric systems

statistical ensemble of states (density matrix):

$$\varrho_h = \sum_i p_i |\phi_i\rangle\langle\phi_i|$$

partial traces (for subsystems)

$$\varrho_{h,A} = \text{Tr}_B(\varrho_h) = \sum_i \langle n_{i,B} | \varrho_h | n_{i,B} \rangle$$

$$\varrho_{h,B} = \text{Tr}_A(\varrho_h) = \sum_i \langle n_{i,A} | \varrho_h | n_{i,A} \rangle$$

$|n_{i,A}\rangle, |n_{i,B}\rangle \equiv$  eigenstates of the subsystems  $A, B$

## Von Neumann entropy in $\mathcal{PT}$ -symmetric systems

statistical ensemble of states (density matrix):

$$\varrho_h = \sum_i p_i |\phi_i\rangle\langle\phi_i|$$

partial traces (for subsystems)

$$\begin{aligned}\varrho_{h,A} &= \text{Tr}_B(\varrho_h) = \sum_i \langle n_{i,B} | \varrho_h | n_{i,B} \rangle \\ \varrho_{h,B} &= \text{Tr}_A(\varrho_h) = \sum_i \langle n_{i,A} | \varrho_h | n_{i,A} \rangle\end{aligned}$$

$|n_{i,A}\rangle, |n_{i,B}\rangle \equiv$  eigenstates of the subsystems  $A, B$

Time evolution:

$$i\partial_t \varrho_h = [h, \varrho_h]$$

It follows

$$i\partial_t \varrho_H = [h, \varrho_H]$$

with

$$\varrho_h = \eta \varrho_H \eta^{-1}, \quad h = \eta H \eta^{-1} + i\partial_t \eta \eta^{-1}$$

Therefore

$$\rho_H = \sum_i p_i |\psi_i\rangle \langle \psi_i| \rho$$

recalling that  $\rho = \eta^\dagger \eta$ ,  $|\phi_i\rangle = \eta |\psi_i\rangle$

Von Neumann entropy

$$S_h = -\text{tr} [\rho_h \ln \rho_h] = -\sum_i \lambda_i \ln \lambda_i = S_H$$

Entropy of a subsystem

$$S_{h,A} = -\text{tr} [\rho_{h,A} \ln \rho_{h,A}] = -\sum_i \lambda_{i,A} \ln \lambda_{i,A} = S_{H,A}$$

An example: bosonic system coupled to a bath

$$H = \nu a^\dagger a + \nu \sum_{n=1}^N q_n^\dagger q_n + (g + \kappa) a^\dagger \sum_{n=1}^N q_n + (g - \kappa) a \sum_{n=1}^N q_n^\dagger$$

energy eigenvalues

$$E_{m,N}^\pm = m \left( \nu \pm \sqrt{N} \sqrt{g^2 - \kappa^2} \right)$$

# Standard behaviour:

## Sudden Death of Entanglement

Ting Yu<sup>1\*</sup> and J. H. Eberly<sup>2\*</sup>

A new development in the dynamical behavior of elementary quantum systems is the surprising discovery that correlation between two quantum units of information called qubits can be degraded by environmental noise in a way not seen previously in studies of dissipation. This new route for dissipating entanglement is called entanglement sudden death (ESD). It is as fundamental as well as the central feature in the Einstein-Podolsky-Rosen (EPR) paradox and in discussions of the fate of Schrödinger's cat. The effect has been labeled ESD, which stands for early-stage disentanglement or, more frequently, entanglement sudden death. We review recent progress in studies focused on this phenomenon.

**Q**uantum entanglement is a special type of correlation that can be shared only between quantum systems. It has been the focus of foundational discussions of quantum mechanics since the time of Schrödinger (who gave it his name) and the famous EPR paper of Einstein, Podolsky, and Rosen (*1, 2*). The rate of dissipation available with entanglement is predicted to be stronger as well as qualitatively different compared with that of any other known type of correlation. Entanglement may also be highly nonlocal—e.g., shared around pairs of atoms separated by many meters that may be remotely located and interacting with each other. These features have recently promoted the study of entanglement as a resource that we believe will eventually find use in new approaches to both computation and communication, for example, by improving previous limitations imposed and overcome by classical mechanics (*3–6*).

Quantum and classical correlations alike always decay as a result of noisy backgrounds and decohering agents that reside in ambient environments (*7*), so the degradation of entanglement should be no surprise. However, ESD has only been detected in the laboratory in two different contexts (*8, 19*), confirming its experimental relevance and supporting its universal relevance (*20*). However, there is still no deep understanding of sudden death dynamics, and so there is no generic preventive measure.

### How Does Entanglement Decay?

An example of an ESD event is provided by the weakly dissipative process of spontaneous emission, if the dissipation is “shand” by two atoms (*1*). To describe this we need a suitable notation.

The pair of states for each atom,

sometimes labeled  $(+)$  and  $(-)$  (*1*) and (*20*), are quantum analogs of “bits” of classical information, and here we call them quantum bits, or qubits, with just one state are

called quantum bit “qubit.” Unlike classical bits, the states of the atoms have the quantum ability to exist in both states at the same time. This is the basis of interpretation used by Schrödinger when he introduced his famous cat, neither dead nor alive but both, in which case the state of his cat is conveniently coded by the bracket  $\langle + | - \rangle$ , to indicate equal superposition probabilities of the opposite  $+$  and  $-$  conditions.

This bracket notation can be ex-

tended to show entanglement. Suppose we have two opposing conditions for two gates, one large and small,

and either waking (*W*) or sleeping (*S*). Entanglement of idealized can be denoted with a bracket such as  $|W\rangle\langle S|$ , where we have chosen *W* and *S* to denote the big cat from Little cat. The bracket *S* signals via the term (*S**W*) that the big cat is awake and the little cat is sleeping, but the other term (*W**S*) signals that the opposite is also true, that the big cat is sleeping and the little cat is awake.

Consider now the case of real-world here. Let us learn that the big cat is awake, the (*S**W*) term must be discarded as incompatible with what we learned previously, and so the two-out-state reduces to (*W**W*). We immediately conclude that the little cat is sleeping. Thus, knowledge of the state of one of the cats conveys information about the other. The two-out-state is thus a superposition of two “bit” states, and do not belong to one cat or the other. The brackets belong to the reader, who can make predictions based on the information the brackets convey. The same is true of all quantum mechanical wave functions.

Entanglement can be destroyed, even for idealized cats. In such cases, a two-party state must be represented not by a bracket as above, but by a matrix, called a density matrix and denoted  $\rho$  in quantum mechanics [see (*22*) and Eq. S3]. When exposed to environmental noise, the density matrix  $\rho$  loses its purity, becomes degraded, and accompanying change in entanglement can be tracked with a quantum mechanical variable called concurrence (*23*), which is written for qubits such as the atoms *A* and *B* in Fig. 1 as

$$C(\rho) = \max[0, Q(\rho)] \quad (1)$$

where  $Q(\rho)$  is an auxiliary variable defined in terms of entanglement of formation, as given explicitly in Eq. S4.  $C = 0$  means no entanglement and is achieved whenever  $Q(\rho) \leq 0$ , while for

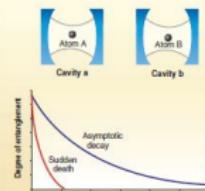


Fig. 1. Curves show ESD as one of two routes for relaxation of the entanglement, via concurrence  $C(\rho)$ , of qubits *A* and *B* that are located in separate overdamped cavities.

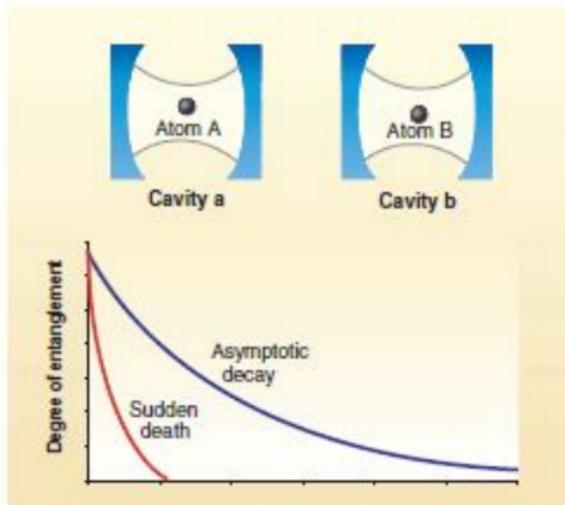
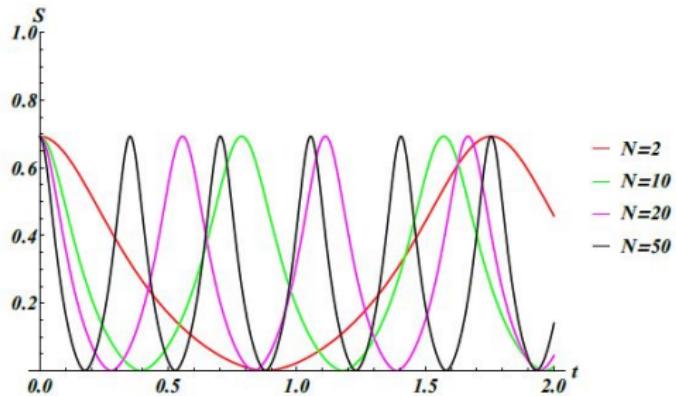


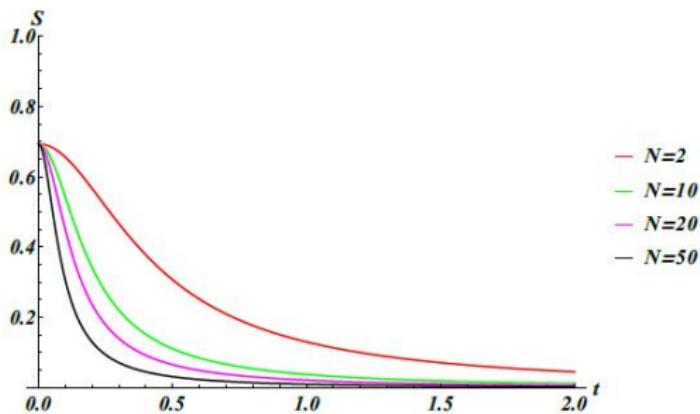
Fig. 1. Curves show ESD as one of two routes for relaxation of the entanglement via concurrence  $C(\rho)$ .

\*Department of Physics and Engineering Physics, Stevens Institute of Technology, Hoboken, NJ 07030–0991, USA. †Rochester Theory Center and Department of Physics and Astronomy, University of Rochester, Rochester, NY 14642–0000, USA. ‡E-mail: tingyu@newton.rutgers.edu; eberly@rochester.edu

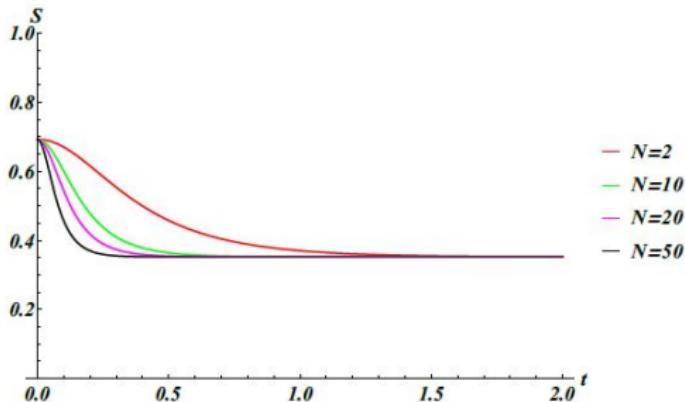
## Von-Neumann entropy in the $\mathcal{PT}$ symmetric regime



## Von-Neumann entropy at the exceptional point



## Von-Neumann entropy in the broken $\mathcal{PT}$ regime



For more detail on this part of the talk see  
A.Fring,"An introduction to PT-symmetric quantum mechanics –  
time-dependent systems." arXiv:2201.05140 (2022).

# Reality of N-Soliton charges

The complex KdV equation equals two coupled real equations

$$u_t + 6uu_x + u_{xxx} = 0 \quad \Leftrightarrow \quad \begin{cases} p_t + 6pp_x + p_{xxx} - 6qq_x = 0 \\ q_t + 6(pq)_x + q_{xxx} = 0 \end{cases}$$

with  $u(x, t) = p(x, t) + iq(x, t)$ ,  $p(x, t), q(x, t) \in \mathbb{R}$

# Reality of N-Soliton charges

The **complex KdV equation** equals two coupled real equations

$$u_t + 6uu_x + u_{xxx} = 0 \quad \Leftrightarrow \quad \begin{cases} p_t + 6pp_x + p_{xxx} - 6qq_x = 0 \\ q_t + 6(pq)_x + q_{xxx} = 0 \end{cases}$$

with  $u(x, t) = p(x, t) + iq(x, t)$ ,  $p(x, t), q(x, t) \in \mathbb{R}$

- **Unifies some known special cases:**

- for  $(pq)_x \rightarrow pq_x$ : complex KdV  $\Rightarrow$  Hirota-Satsuma equations
- for  $q_{xxx} \rightarrow 0$  complex KdV  $\Rightarrow$  Ito equations

# Reality of N-Soliton charges

The **complex KdV equation** equals two coupled real equations

$$u_t + 6uu_x + u_{xxx} = 0 \quad \Leftrightarrow \quad \begin{cases} p_t + 6pp_x + p_{xxx} - 6qq_x = 0 \\ q_t + 6(pq)_x + q_{xxx} = 0 \end{cases}$$

with  $u(x, t) = p(x, t) + iq(x, t)$ ,  $p(x, t), q(x, t) \in \mathbb{R}$

- **Unifies some known special cases:**
  - for  $(pq)_x \rightarrow pq_x$ : complex KdV  $\Rightarrow$  Hirota-Satsuma equations
  - for  $q_{xxx} \rightarrow 0$  complex KdV  $\Rightarrow$  Ito equations
- **$\mathcal{PT}$ -symmetry:**  
 $x \rightarrow -x, t \rightarrow -t, i \rightarrow -i, u \rightarrow u, p \rightarrow p, q \rightarrow -q$

# Reality of N-Soliton charges

The **complex KdV equation** equals two coupled real equations

$$u_t + 6uu_x + u_{xxx} = 0 \quad \Leftrightarrow \quad \begin{cases} p_t + 6pp_x + p_{xxx} - 6qq_x = 0 \\ q_t + 6(pq)_x + q_{xxx} = 0 \end{cases}$$

with  $u(x, t) = p(x, t) + iq(x, t)$ ,  $p(x, t), q(x, t) \in \mathbb{R}$

- **Unifies some known special cases:**
  - for  $(pq)_x \rightarrow pq_x$ : complex KdV  $\Rightarrow$  Hirota-Satsuma equations
  - for  $q_{xxx} \rightarrow 0$  complex KdV  $\Rightarrow$  Ito equations
- **$\mathcal{PT}$ -symmetry:**  
 $x \rightarrow -x, t \rightarrow -t, i \rightarrow -i, u \rightarrow u, p \rightarrow p, q \rightarrow -q$
- **Integrability:**  
 Lax pair:

$$L_t = [M, L] \quad L = \partial_x^2 + \frac{1}{6}u, \quad M = 4\partial_x^3 + u\partial_x + \frac{1}{2}u_x$$

# Solutions from Hirota's direct method

Convert KdV equation into Hirota's bilinear form

$$\left( D_x^4 + D_x D_t \right) \tau \cdot \tau = 0$$

with  $u = 2(\ln \tau)_{xx}$ . ( $D_x, D_t$  are Hirota derivatives)

# Solutions from Hirota's direct method

Convert KdV equation into Hirota's bilinear form

$$(D_x^4 + D_x D_t) \tau \cdot \tau = 0$$

with  $u = 2(\ln \tau)_{xx}$ . ( $D_x, D_t$  are Hirota derivatives)

Expanding  $\tau = \sum_{k=0}^{\infty} \lambda^k \tau^k$  gives multi-soliton solutions

$$\tau_{\mu;\alpha}(x, t) = 1 + e^{\eta_{\mu;\alpha}}$$

$$\tau_{\mu,\nu;\alpha,\beta}(x, t) = 1 + e^{\eta_{\mu;\alpha}} + e^{\eta_{\nu;\beta}} + \varkappa(\alpha, \beta) e^{\eta_{\mu;\alpha} + \eta_{\nu;\beta}}$$

$$\begin{aligned} \tau_{\mu,\nu,\rho;\alpha,\beta,\gamma}(x, t) = & 1 + e^{\eta_{\mu;\alpha}} + e^{\eta_{\nu;\beta}} + e^{\eta_{\rho;\gamma}} + \varkappa(\alpha, \beta) e^{\eta_{\mu;\alpha} + \eta_{\nu;\beta}} \\ & + \varkappa(\alpha, \gamma) e^{\eta_{\mu;\alpha} + \eta_{\rho;\gamma}} + \varkappa(\beta, \gamma) e^{\eta_{\nu;\beta} + \eta_{\rho;\gamma}} \\ & + \varkappa(\alpha, \beta) \varkappa(\alpha, \gamma) \varkappa(\beta, \gamma) e^{\eta_{\mu;\alpha} + \eta_{\nu;\beta} + \eta_{\rho;\gamma}} \end{aligned}$$

with  $\eta_{\mu;\alpha} := \alpha x - \alpha^3 t + \mu$ ,  $\varkappa(\alpha, \beta) := (\alpha - \beta)^2 / (\alpha + \beta)^2$

$\mu, \nu, \rho \in \mathbb{C}$ ,  $\alpha, \beta, \gamma \in \mathbb{R}$

# One-soliton solution

We find

$$u_{i\theta;\alpha}(x, t) = \frac{\alpha^2 + \alpha^2 \cos \theta \cosh(\alpha x - \alpha^3 t)}{[\cos \theta + \cosh(\alpha x - \alpha^3 t)]^2} - i \frac{\alpha^2 \sin \theta \sinh(\alpha x - \alpha^3 t)}{[\cos \theta + \cosh(\alpha x - \alpha^3 t)]^2}$$

# One-soliton solution

We find

$$u_{i\theta;\alpha}(x, t) = \frac{\alpha^2 + \alpha^2 \cos \theta \cosh(\alpha x - \alpha^3 t)}{[\cos \theta + \cosh(\alpha x - \alpha^3 t)]^2} - i \frac{\alpha^2 \sin \theta \sinh(\alpha x - \alpha^3 t)}{[\cos \theta + \cosh(\alpha x - \alpha^3 t)]^2}$$

The solution found by Khare and Saxena is the special case

$$u_{\pm i\frac{\pi}{2};\alpha}(x, t) = \alpha^2 \operatorname{sech}^2(\alpha x - \alpha^3 t) \mp i \alpha^2 \tanh(\alpha x - \alpha^3 t) \operatorname{sech}(\alpha x - \alpha^3 t)$$

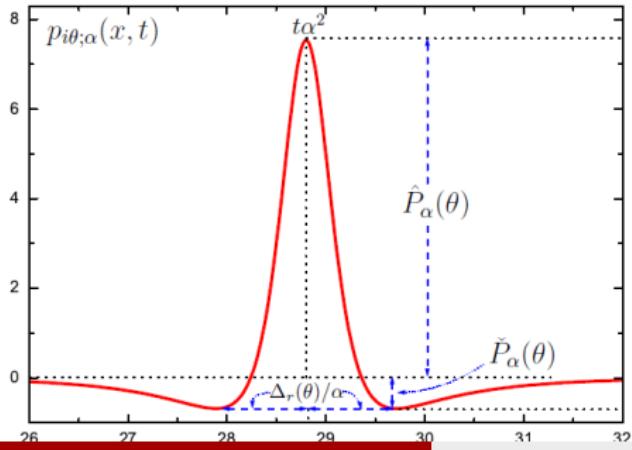
# One-soliton solution

We find

$$u_{i\theta;\alpha}(x, t) = \frac{\alpha^2 + \alpha^2 \cos \theta \cosh(\alpha x - \alpha^3 t)}{[\cos \theta + \cosh(\alpha x - \alpha^3 t)]^2} - i \frac{\alpha^2 \sin \theta \sinh(\alpha x - \alpha^3 t)}{[\cos \theta + \cosh(\alpha x - \alpha^3 t)]^2}$$

The solution found by Khare and Saxena is the special case

$$u_{\pm i\frac{\pi}{2};\alpha}(x, t) = \alpha^2 \operatorname{sech}^2(\alpha x - \alpha^3 t) \mp i \alpha^2 \tanh(\alpha x - \alpha^3 t) \operatorname{sech}(\alpha x - \alpha^3 t)$$



$$\hat{P}_\alpha(\theta) = \frac{\alpha^2}{2} \sec^2\left(\frac{\theta}{2}\right)$$

$$\check{P}_\alpha(\theta) = \frac{\alpha^2}{4} \cot^2(\theta)$$

$$\Delta_r(\theta) = \operatorname{arccosh}(\cos \theta - 2 \sec \theta)$$

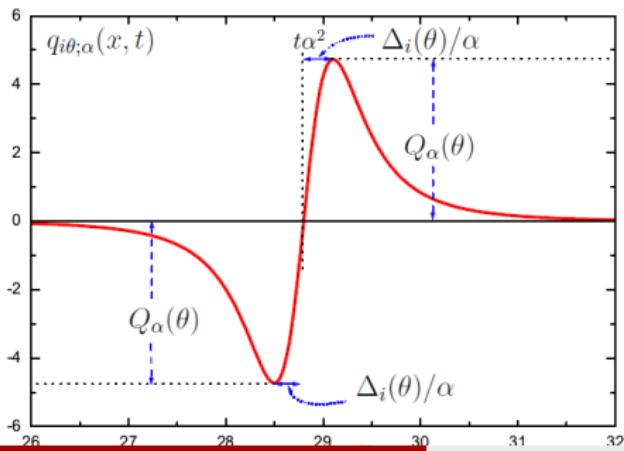
# One-soliton solution

We find

$$u_{i\theta;\alpha}(x, t) = \frac{\alpha^2 + \alpha^2 \cos \theta \cosh(\alpha x - \alpha^3 t)}{[\cos \theta + \cosh(\alpha x - \alpha^3 t)]^2} - i \frac{\alpha^2 \sin \theta \sinh(\alpha x - \alpha^3 t)}{[\cos \theta + \cosh(\alpha x - \alpha^3 t)]^2}$$

The solution found by Khare and Saxena is the special case

$$u_{\pm i\frac{\pi}{2};\alpha}(x, t) = \alpha^2 \operatorname{sech}^2(\alpha x - \alpha^3 t) \mp i \alpha^2 \tanh(\alpha x - \alpha^3 t) \operatorname{sech}(\alpha x - \alpha^3 t)$$



$$Q_\alpha(\theta) = \frac{8\alpha^2 \sqrt{5 + \cos(2\theta) + \cos \theta A}}{[6 \cos \theta + A]^2 / \sin \theta}$$

$$\Delta_i(\theta) = \operatorname{arccosh} \left[ \frac{1}{2} \cos \theta + \frac{1}{4} A \right]$$

$$A = \sqrt{2} \sqrt{17 + \cos(2\theta)}$$

# Real charges from one-soliton solution

$$\text{Mass : } m_\alpha = \int_{-\infty}^{\infty} u_{i\theta;\alpha}(x, t) dx = 2\alpha$$

$$\text{Momentum : } p_\alpha = \int_{-\infty}^{\infty} u_{i\theta;\alpha}^2 dx = \frac{2}{3}\alpha^3$$

$$\text{Energy : } E_\alpha = \int_{-\infty}^{\infty} \left[ 2u_{i\theta;\alpha}^3 - (u_{i\theta;\alpha})_x^2 \right] dx = \frac{2}{5}\alpha^5$$

# Real charges from one-soliton solution

$$\text{Mass : } m_\alpha = \int_{-\infty}^{\infty} u_{i\theta;\alpha}(x, t) dx = 2\alpha$$

$$\text{Momentum : } p_\alpha = \int_{-\infty}^{\infty} u_{i\theta;\alpha}^2 dx = \frac{2}{3}\alpha^3$$

$$\text{Energy : } E_\alpha = \int_{-\infty}^{\infty} \left[ 2u_{i\theta;\alpha}^3 - (u_{i\theta;\alpha})_x^2 \right] dx = \frac{2}{5}\alpha^5$$

$$\text{Generic: } I_n = \int_{-\infty}^{\infty} w_{2n-2}(x, t) dx = \frac{2}{2n-1}\alpha^{2n-1}$$

**Reality follows immediately from  $\mathcal{PT}$ -symmetry**

$$E = \int_{-\infty}^{\infty} dx \mathcal{H}[\phi[x]] = - \int_{-\infty}^{-\infty} dx \mathcal{H}[\phi[-x]] = \int_{-\infty}^{\infty} dx \mathcal{H}^\dagger[\phi[x]] = E^*$$

# Real charges from one-soliton solution

$$\text{Mass : } m_\alpha = \int_{-\infty}^{\infty} u_{i\theta;\alpha}(x, t) dx = 2\alpha$$

$$\text{Momentum : } p_\alpha = \int_{-\infty}^{\infty} u_{i\theta;\alpha}^2 dx = \frac{2}{3}\alpha^3$$

$$\text{Energy : } E_\alpha = \int_{-\infty}^{\infty} \left[ 2u_{i\theta;\alpha}^3 - (u_{i\theta;\alpha})_x^2 \right] dx = \frac{2}{5}\alpha^5$$

$$\text{Generic: } I_n = \int_{-\infty}^{\infty} w_{2n-2}(x, t) dx = \frac{2}{2n-1}\alpha^{2n-1}$$

**Reality follows immediately from  $\mathcal{PT}$ -symmetry**

$$E = \int_{-\infty}^{\infty} dx \mathcal{H}[\phi[x]] = - \int_{-\infty}^{-\infty} dx \mathcal{H}[\phi[-x]] = \int_{-\infty}^{\infty} dx \mathcal{H}^\dagger[\phi[x]] = E^*$$

$\mathcal{PT}$ -broken solutions ( $\mu = \kappa + i\theta$ )  $\Rightarrow \mathcal{PT}$ -symmetric  $I_n$ :

# Real charges from one-soliton solution

$$\text{Mass : } m_\alpha = \int_{-\infty}^{\infty} u_{i\theta;\alpha}(x, t) dx = 2\alpha$$

$$\text{Momentum : } p_\alpha = \int_{-\infty}^{\infty} u_{i\theta;\alpha}^2 dx = \frac{2}{3}\alpha^3$$

$$\text{Energy : } E_\alpha = \int_{-\infty}^{\infty} \left[ 2u_{i\theta;\alpha}^3 - (u_{i\theta;\alpha})_x^2 \right] dx = \frac{2}{5}\alpha^5$$

$$\text{Generic: } I_n = \int_{-\infty}^{\infty} w_{2n-2}(x, t) dx = \frac{2}{2n-1}\alpha^{2n-1}$$

**Reality follows immediately from  $\mathcal{PT}$ -symmetry**

$$E = \int_{-\infty}^{\infty} dx \mathcal{H}[\phi[x]] = - \int_{-\infty}^{-\infty} dx \mathcal{H}[\phi[-x]] = \int_{-\infty}^{\infty} dx \mathcal{H}^\dagger[\phi[x]] = E^*$$

$\mathcal{PT}$ -broken solutions ( $\mu = \kappa + i\theta$ )  $\Rightarrow \mathcal{PT}$ -symmetric  $I_n$ :

$u_{\kappa+i\theta;\alpha}(x, t) = u_{i\theta;\alpha}(x + \kappa/\alpha, t)$  then absorb in integral limits

# Real charges from one-soliton solution

$$\text{Mass : } m_\alpha = \int_{-\infty}^{\infty} u_{i\theta;\alpha}(x, t) dx = 2\alpha$$

$$\text{Momentum : } p_\alpha = \int_{-\infty}^{\infty} u_{i\theta;\alpha}^2 dx = \frac{2}{3}\alpha^3$$

$$\text{Energy : } E_\alpha = \int_{-\infty}^{\infty} \left[ 2u_{i\theta;\alpha}^3 - (u_{i\theta;\alpha})_x^2 \right] dx = \frac{2}{5}\alpha^5$$

$$\text{Generic: } I_n = \int_{-\infty}^{\infty} w_{2n-2}(x, t) dx = \frac{2}{2n-1}\alpha^{2n-1}$$

**Reality follows immediately from  $\mathcal{PT}$ -symmetry**

$$E = \int_{-\infty}^{\infty} dx \mathcal{H}[\phi[x]] = - \int_{-\infty}^{-\infty} dx \mathcal{H}[\phi[-x]] = \int_{-\infty}^{\infty} dx \mathcal{H}^\dagger[\phi[x]] = E^*$$

$\mathcal{PT}$ -broken solutions ( $\mu = \kappa + i\theta$ )  $\Rightarrow \mathcal{PT}$ -symmetric  $I_n$ :

$u_{\kappa+i\theta;\alpha}(x, t) = u_{i\theta;\alpha}(x + \kappa/\alpha, t)$  then absorb in integral limits

$u_{\kappa+i\theta;\alpha}(x, t) = u_{i\theta;\alpha}(x, t - \kappa/\alpha^3)$  then use charges are conserved

# Real charges from one-soliton solution

$$\text{Mass : } m_\alpha = \int_{-\infty}^{\infty} u_{i\theta;\alpha}(x, t) dx = 2\alpha$$

$$\text{Momentum : } p_\alpha = \int_{-\infty}^{\infty} u_{i\theta;\alpha}^2 dx = \frac{2}{3}\alpha^3$$

$$\text{Energy : } E_\alpha = \int_{-\infty}^{\infty} \left[ 2u_{i\theta;\alpha}^3 - (u_{i\theta;\alpha})_x^2 \right] dx = \frac{2}{5}\alpha^5$$

$$\text{Generic: } I_n = \int_{-\infty}^{\infty} w_{2n-2}(x, t) dx = \frac{2}{2n-1}\alpha^{2n-1}$$

**Reality follows immediately from  $\mathcal{PT}$ -symmetry**

$$E = \int_{-\infty}^{\infty} dx \mathcal{H}[\phi[x]] = - \int_{-\infty}^{-\infty} dx \mathcal{H}[\phi[-x]] = \int_{-\infty}^{\infty} dx \mathcal{H}^\dagger[\phi[x]] = E^*$$

$\mathcal{PT}$ -broken solutions ( $\mu = \kappa + i\theta$ )  $\Rightarrow \mathcal{PT}$ -symmetric  $I_n$ :

$u_{\kappa+i\theta;\alpha}(x, t) = u_{i\theta;\alpha}(x + \kappa/\alpha, t)$  then absorb in integral limits

$u_{\kappa+i\theta;\alpha}(x, t) = u_{i\theta;\alpha}(x, t - \kappa/\alpha^3)$  then use charges are conserved

This is not possible for N-soliton solutions with  $N > 2$ .

# Reality of complex N-soliton charges

Asymptotically complex N-solitons factor into N one-solitons

Charges based on one-solitons solutions are real by  $\mathcal{PT}$ -symmetry

# Reality of complex N-soliton charges

Asymptotically complex N-solitons factor into N one-solitons

Charges based on one-solitons solutions are real by  $\mathcal{PT}$ -symmetry

Therefore

Reality condition

**$\mathcal{PT}$ -symmetry and integrability ensure the reality of all charges.**

# Nonlocality

Consider higher order nonlinear Schrödinger equation

$$iq_t + \frac{1}{2}q_{xx} + |q|^2 q = 0$$

# Nonlocality

Consider higher order nonlinear Schrödinger equation

$$iq_t + \frac{1}{2}q_{xx} + |q|^2 q + i\varepsilon [\alpha q_{xxx} + \beta |q|^2 q_x + \gamma q |q|_x^2] = 0$$

$\mathcal{PT}$ -symmetry:  $\mathcal{PT} : x \rightarrow -x, t \rightarrow -t, i \rightarrow -i, q \rightarrow q$

# Nonlocality

Consider higher order nonlinear Schrödinger equation

$$iq_t + \frac{1}{2}q_{xx} + |q|^2 q + i\varepsilon [\alpha q_{xxx} + \beta |q|^2 q_x + \gamma q |q|_x^2] = 0$$

$\mathcal{PT}$ -symmetry:  $\mathcal{PT} : x \rightarrow -x, t \rightarrow -t, i \rightarrow -i, q \rightarrow q$

Integrable cases:

$\varepsilon = 0 \equiv$ nonlinear Schrödinger equation (NLSE)

$\alpha : \beta : \gamma = 0 : 1 : 1 \equiv$  derivative NLSE of type I

$\alpha : \beta : \gamma = 0 : 1 : 0 \equiv$  derivative NLSE of type II

$\alpha : \beta : \gamma = 1 : 6 : 3 \equiv$  Sasa-Satsuma equation

# Nonlocality

Consider higher order nonlinear Schrödinger equation

$$iq_t + \frac{1}{2}q_{xx} + |q|^2 q + i\varepsilon [\alpha q_{xxx} + \beta |q|^2 q_x + \gamma q |q|_x^2] = 0$$

$\mathcal{PT}$ -symmetry:  $\mathcal{PT} : x \rightarrow -x, t \rightarrow -t, i \rightarrow -i, q \rightarrow q$

Integrable cases:

$\varepsilon = 0 \equiv$ nonlinear Schrödinger equation (NLSE)

$\alpha : \beta : \gamma = 0 : 1 : 1 \equiv$  derivative NLSE of type I

$\alpha : \beta : \gamma = 0 : 1 : 0 \equiv$  derivative NLSE of type II

$\alpha : \beta : \gamma = 1 : 6 : 3 \equiv$  Sasa-Satsuma equation

$\alpha : \beta : \gamma = 1 : 6 : 0 \equiv$  Hirota equation

$$iq_t + \frac{1}{2}q_{xx} + |q|^2 q + i\varepsilon [q_{xxx} + 6 |q|^2 q_x] = 0$$

# Zero curvature condition

$$\partial_t U - \partial_x V + [U, V] = 0$$

# Zero curvature condition

$$\partial_t U - \partial_x V + [U, V] = 0$$
$$U = \begin{pmatrix} -i\lambda & q(x, t) \\ r(x, t) & i\lambda \end{pmatrix}, \quad V = \begin{pmatrix} A(x, t) & B(x, t) \\ C(x, t) & -A(x, t) \end{pmatrix}$$

# Zero curvature condition

$$\partial_t U - \partial_x V + [U, V] = 0$$

$$U = \begin{pmatrix} -i\lambda & q(x, t) \\ r(x, t) & i\lambda \end{pmatrix}, \quad V = \begin{pmatrix} A(x, t) & B(x, t) \\ C(x, t) & -A(x, t) \end{pmatrix}$$

$$A_x(x, t) = q(x, t)C(x, t) - r(x, t)B(x, t)$$

$$B_x(x, t) = q_t(x, t) - 2q(x, t)A(x, t) - 2i\lambda B(x, t)$$

$$C_x(x, t) = r_t(x, t) + 2r(x, t)A(x, t) + 2i\lambda C(x, t)$$

$$A(x, t) = -i\alpha qr - 2i\alpha\lambda^2 + \beta \left( rq_x - qr_x - 4i\lambda^3 - 2i\lambda qr \right)$$

$$B(x, t) = i\alpha q_x + 2\alpha\lambda q + \beta \left( 2q^2 r - q_{xx} + 2i\lambda q_x + 4\lambda^2 q \right)$$

$$C(x, t) = -i\alpha r_x + 2\alpha\lambda r + \beta \left( 2qr^2 - r_{xx} - 2i\lambda r_x + 4\lambda^2 r \right)$$

# Zero curvature condition

$$\partial_t U - \partial_x V + [U, V] = 0$$

$$U = \begin{pmatrix} -i\lambda & q(x, t) \\ r(x, t) & i\lambda \end{pmatrix}, \quad V = \begin{pmatrix} A(x, t) & B(x, t) \\ C(x, t) & -A(x, t) \end{pmatrix}$$

$$A_x(x, t) = q(x, t)C(x, t) - r(x, t)B(x, t)$$

$$B_x(x, t) = q_t(x, t) - 2q(x, t)A(x, t) - 2i\lambda B(x, t)$$

$$C_x(x, t) = r_t(x, t) + 2r(x, t)A(x, t) + 2i\lambda C(x, t)$$

$$A(x, t) = -i\alpha qr - 2i\alpha\lambda^2 + \beta \left( rq_x - qr_x - 4i\lambda^3 - 2i\lambda qr \right)$$

$$B(x, t) = i\alpha q_x + 2\alpha\lambda q + \beta \left( 2q^2 r - q_{xx} + 2i\lambda q_x + 4\lambda^2 q \right)$$

$$C(x, t) = -i\alpha r_x + 2\alpha\lambda r + \beta \left( 2qr^2 - r_{xx} - 2i\lambda r_x + 4\lambda^2 r \right)$$

$$q_t - i\alpha q_{xx} + 2i\alpha q^2 r + \beta [q_{xxx} - 6qrq_x] = 0$$

$$r_t + i\alpha r_{xx} - 2i\alpha qr^2 + \beta (r_{xxx} - 6qrr_x) = 0$$

# Nonlocality from zero curvature condition

Complex conjugate pair:  $r(x, t) = \kappa q^*(x, t)$  (Hirota equation)

$$\begin{aligned} iq_t &= -\alpha \left( q_{xx} - 2\kappa |q|^2 q \right) - i\beta \left( q_{xxx} - 6\kappa |q|^2 q_x \right) \\ -iq_t^* &= -\alpha \left( q_{xx}^* - 2\kappa |q|^2 q^* \right) + i\beta \left( q_{xxx}^* - 6\kappa |q|^2 q_x^* \right) \end{aligned}$$

# Nonlocality from zero curvature condition

Complex conjugate pair:  $r(x, t) = \kappa q^*(x, t)$  (Hirota equation)

$$\begin{aligned} iq_t &= -\alpha \left( q_{xx} - 2\kappa |q|^2 q \right) - i\beta \left( q_{xxx} - 6\kappa |q|^2 q_x \right) \\ -iq_t^* &= -\alpha \left( q_{xx}^* - 2\kappa |q|^2 q^* \right) + i\beta \left( q_{xxx}^* - 6\kappa |q|^2 q_x^* \right) \end{aligned}$$

$\mathcal{P}$  conjugate pair:  $r(x, t) = \kappa q^*(-x, t)$  (Nonlocal Hirota equ<sup>n</sup>)

$$\begin{aligned} iq_t &= -\alpha \left[ q_{xx} - 2\kappa \tilde{q}^* q^2 \right] + \delta [q_{xxx} - 6\kappa q \tilde{q}^* q_x] \\ -i\tilde{q}_t^* &= -\alpha \left[ \tilde{q}_{xx}^* - 2\kappa q (\tilde{q}^*)^2 \right] - \delta (\tilde{q}_{xxx}^* - 6\kappa \tilde{q}^* q \tilde{q}_x^*) \end{aligned}$$

$\beta = i\delta, \alpha, \delta \in \mathbb{R}, q := q(x, t); \tilde{q} := q(-x, t)$

# Nonlocality from zero curvature condition

Complex conjugate pair:  $r(x, t) = \kappa q^*(x, t)$  (Hirota equation)

$$\begin{aligned} iq_t &= -\alpha \left( q_{xx} - 2\kappa |q|^2 q \right) - i\beta \left( q_{xxx} - 6\kappa |q|^2 q_x \right) \\ -iq_t^* &= -\alpha \left( q_{xx}^* - 2\kappa |q|^2 q^* \right) + i\beta \left( q_{xxx}^* - 6\kappa |q|^2 q_x^* \right) \end{aligned}$$

$\mathcal{P}$  conjugate pair:  $r(x, t) = \kappa q^*(-x, t)$  (Nonlocal Hirota equ<sup>n</sup>)

$$\begin{aligned} iq_t &= -\alpha \left[ q_{xx} - 2\kappa \tilde{q}^* q^2 \right] + \delta [q_{xxx} - 6\kappa q \tilde{q}^* q_x] \\ -i\tilde{q}_t^* &= -\alpha \left[ \tilde{q}_{xx}^* - 2\kappa q (\tilde{q}^*)^2 \right] - \delta (\tilde{q}_{xxx}^* - 6\kappa \tilde{q}^* q \tilde{q}_x^*) \end{aligned}$$

$$\beta = i\delta, \alpha, \delta \in \mathbb{R}, q := q(x, t); \tilde{q} := q(-x, t)$$

$\mathcal{T}$  conjugate pair:  $r(x, t) = \kappa q^*(x, -t)$

$$\begin{aligned} iq_t &= -i\hat{\delta} \left[ q_{xx} - 2\kappa \hat{q}^* q^2 \right] + \delta [q_{xxx} - 6\kappa q \hat{q}^* q_x] \\ i\hat{q}_t^* &= i\hat{\delta} \left[ \hat{q}_{xx}^* - 2\kappa q (\hat{q}^*)^2 \right] + \delta (\hat{q}_{xxx}^* - 6\kappa \hat{q}^* q \hat{q}_x^*) \end{aligned}$$

$\mathcal{PT}$ -conjugate pair:  $r(x, t) = \kappa q^*(-x, -t)$

$$\begin{aligned} q_t &= -\check{\delta} \left[ q_{xx} - 2\kappa \check{q}^* q^2 \right] - \beta [q_{xxx} - 6\kappa q \check{q}^* q_x] \\ -\check{q}_t^* &= -\check{\delta} \left[ \check{q}_{xx}^* - 2\kappa q (\check{q}^*)^2 \right] + \beta (\check{q}_{xxx}^* - 6\kappa \check{q}^* q \check{q}_x^*) \end{aligned}$$

$$\alpha = i\check{\delta}; \check{\delta}, \beta \in \mathbb{R}; \check{q} := q(-x, -t)$$

$\mathcal{PT}$ -conjugate pair:  $r(x, t) = \kappa q^*(-x, -t)$

$$\begin{aligned} q_t &= -\check{\delta} \left[ q_{xx} - 2\kappa \check{q}^* q^2 \right] - \beta [q_{xxx} - 6\kappa q \check{q}^* q_x] \\ -\check{q}_t^* &= -\check{\delta} \left[ \check{q}_{xx}^* - 2\kappa q (\check{q}^*)^2 \right] + \beta (\check{q}_{xxx}^* - 6\kappa \check{q}^* q \check{q}_x^*) \end{aligned}$$

$$\alpha = i\check{\delta}; \check{\delta}, \beta \in \mathbb{R}; \check{q} := q(-x, -t)$$

$\mathcal{P}$  transformed pair:  $r(x, t) = \kappa q(-x, t)$ :

$$\begin{aligned} iq_t &= -\alpha \left[ q_{xx} - 2\kappa \tilde{q} q^2 \right] + \delta [q_{xxx} - 6\kappa q \tilde{q} q_x] \\ -i\tilde{q}_t &= -\alpha \left[ \tilde{q}_{xx} - 2\kappa q \tilde{q}^2 \right] - \delta (\tilde{q}_{xxx} - 6\kappa \tilde{q} q \tilde{q}_x) \end{aligned}$$

$$\beta = i\delta; \alpha, \delta \in \mathbb{R}$$

$\mathcal{PT}$ -conjugate pair:  $r(x, t) = \kappa q^*(-x, -t)$

$$\begin{aligned} q_t &= -\check{\delta} \left[ q_{xx} - 2\kappa \check{q}^* q^2 \right] - \beta [q_{xxx} - 6\kappa q \check{q}^* q_x] \\ -\check{q}_t^* &= -\check{\delta} \left[ \check{q}_{xx}^* - 2\kappa q (\check{q}^*)^2 \right] + \beta (\check{q}_{xxx}^* - 6\kappa \check{q}^* q \check{q}_x^*) \end{aligned}$$

$$\alpha = i\check{\delta}; \check{\delta}, \beta \in \mathbb{R}; \check{q} := q(-x, -t)$$

$\mathcal{P}$  transformed pair:  $r(x, t) = \kappa q(-x, t)$ :

$$\begin{aligned} iq_t &= -\alpha \left[ q_{xx} - 2\kappa \tilde{q} q^2 \right] + \delta [q_{xxx} - 6\kappa q \tilde{q} q_x] \\ -i\tilde{q}_t &= -\alpha \left[ \tilde{q}_{xx} - 2\kappa q \tilde{q}^2 \right] - \delta (\tilde{q}_{xxx} - 6\kappa \tilde{q} q \tilde{q}_x) \end{aligned}$$

$$\beta = i\delta; \alpha, \delta \in \mathbb{R}$$

$\mathcal{T}$  transformed pair:  $r(x, t) = \kappa q(x, -t)$

$$\begin{aligned} iq_t &= -i\hat{\delta} \left[ q_{xx} - 2\kappa \hat{q}^* q^2 \right] + \delta [q_{xxx} - 6\kappa q \hat{q}^* q_x] \\ i\hat{q}_t^* &= i\hat{\delta} \left[ \hat{q}_{xx}^* - 2\kappa q (\hat{q}^*)^2 \right] + \delta (\hat{q}_{xxx}^* - 6\kappa \hat{q}^* q \hat{q}_x^*) \end{aligned}$$

$$\alpha = i\hat{\delta}; \beta = i\delta; \hat{\delta}, \delta \in \mathbb{R}$$

# Nonlocality in Hirota's direct method

Bilinearisation of the local Hirota equation ( $q = g/f$ )

$$\begin{aligned} f^3 & \left[ iq_t + \alpha q_{xx} - 2\kappa\alpha |q|^2 q + i\beta (q_{xxx} - 6\kappa |q|^2 q_x) \right] = \\ & f \left[ iD_t g \cdot f + \alpha D_x^2 g \cdot f + i\beta D_x^3 g \cdot f \right] + \left[ 3i\beta \left( \frac{g}{f} f_x - g_x \right) - \alpha g \right] \\ & \times \left[ D_x^2 f \cdot f + 2\kappa |g|^2 \right] \\ D_x^n f \cdot g &= \sum_{k=0}^n \binom{n}{k} (-1)^k \frac{\partial^{n-k}}{\partial x^{n-k}} f(x) \frac{\partial^k}{\partial x^k} g(x) \end{aligned}$$

# Nonlocality in Hirota's direct method

Bilinearisation of the local Hirota equation ( $q = g/f$ )

$$\begin{aligned} f^3 \left[ iq_t + \alpha q_{xx} - 2\kappa\alpha |q|^2 q + i\beta (q_{xxx} - 6\kappa |q|^2 q_x) \right] = \\ f \left[ iD_t g \cdot f + \alpha D_x^2 g \cdot f + i\beta D_x^3 g \cdot f \right] + \left[ 3i\beta \left( \frac{g}{f} f_x - g_x \right) - \alpha g \right] \\ \times \left[ D_x^2 f \cdot f + 2\kappa |g|^2 \right] \end{aligned}$$

$$D_x^n f \cdot g = \sum_{k=0}^n \binom{n}{k} (-1)^k \frac{\partial^{n-k}}{\partial x^{n-k}} f(x) \frac{\partial^k}{\partial x^k} g(x)$$

$$iD_t g \cdot f + \alpha D_x^2 g \cdot f + i\beta D_x^3 g \cdot f = 0, \quad D_x^2 f \cdot f = -2\kappa |g|^2$$

# Nonlocality in Hirota's direct method

Bilinearisation of the local Hirota equation ( $q = g/f$ )

$$\begin{aligned} f^3 \left[ iq_t + \alpha q_{xx} - 2\kappa\alpha |q|^2 q + i\beta (q_{xxx} - 6\kappa |q|^2 q_x) \right] = \\ f \left[ iD_t g \cdot f + \alpha D_x^2 g \cdot f + i\beta D_x^3 g \cdot f \right] + \left[ 3i\beta \left( \frac{g}{f} f_x - g_x \right) - \alpha g \right] \\ \times \left[ D_x^2 f \cdot f + 2\kappa |g|^2 \right] \end{aligned}$$

$$D_x^n f \cdot g = \sum_{k=0}^n \binom{n}{k} (-1)^k \frac{\partial^{n-k}}{\partial x^{n-k}} f(x) \frac{\partial^k}{\partial x^k} g(x)$$

$$iD_t g \cdot f + \alpha D_x^2 g \cdot f + i\beta D_x^3 g \cdot f = 0, \quad D_x^2 f \cdot f = -2\kappa |g|^2$$

Solve by formal power series that becomes **exact**

$$f(x, t) = \sum_{k=0}^{\infty} \varepsilon^{2k} f_{2k}(x, t), \quad \text{and} \quad g(x, t) = \sum_{k=1}^{\infty} \varepsilon^{2k-1} g_{2k-1}(x, t)$$

## Bilinearisation of the nonlocal Hirota equation

$$\begin{aligned} f^3 \tilde{f}^* & \left[ iq_t + \alpha q_{xx} + 2\alpha \tilde{q}^* q^2 - \delta(q_{xxx} + 6q\tilde{q}^* q_x) \right] = \\ & f\tilde{f}^* \left[ iD_t g \cdot f + \alpha D_x^2 g \cdot f - \delta D_x^3 g \cdot f \right] + \left( \frac{3\delta}{f} D_x g \cdot f - \alpha g \right) \\ & \times \left( \tilde{f}^* D_x^2 f \cdot f - 2fg\tilde{g}^* \right) \end{aligned}$$

not bilinear yet

$$iD_t g \cdot f + \alpha D_x^2 g \cdot f - \delta D_x^3 g \cdot f = 0, \quad \tilde{f}^* D_x^2 f \cdot f = 2fg\tilde{g}^*$$

## Bilinearisation of the nonlocal Hirota equation

$$\begin{aligned} f^3 \tilde{f}^* & \left[ iq_t + \alpha q_{xx} + 2\alpha \tilde{q}^* q^2 - \delta(q_{xxx} + 6q\tilde{q}^* q_x) \right] = \\ & f\tilde{f}^* \left[ iD_t g \cdot f + \alpha D_x^2 g \cdot f - \delta D_x^3 g \cdot f \right] + \left( \frac{3\delta}{f} D_x g \cdot f - \alpha g \right) \\ & \times \left( \tilde{f}^* D_x^2 f \cdot f - 2fg\tilde{g}^* \right) \end{aligned}$$

not bilinear yet

$$iD_t g \cdot f + \alpha D_x^2 g \cdot f - \delta D_x^3 g \cdot f = 0, \quad \tilde{f}^* D_x^2 f \cdot f = 2fg\tilde{g}^*$$

introduce additional auxiliary function

$$D_x^2 f \cdot f = hg, \quad \text{and} \quad 2fg\tilde{g}^* = h\tilde{f}^*$$

Solve again formal power series that becomes **exact**

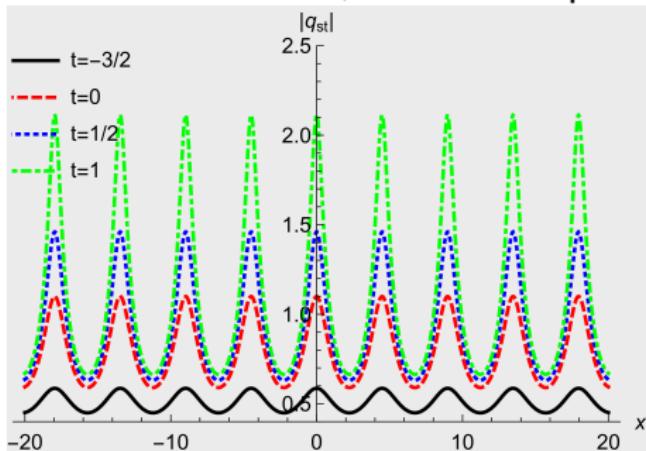
$$h(x, t) = \sum_k \varepsilon^k h_k(x, t).$$

## Two-types of nonlocal solutions (one-soliton)

Truncated expansions:  $f = 1 + \varepsilon^2 f_2$ ,  $g = \varepsilon g_1$ ,  $h = \varepsilon h_1$

$$\begin{aligned} 0 &= \varepsilon [i(g_1)_t + \alpha(g_1)_{xx} - \delta(g_1)_{xxx}] \\ &\quad + \varepsilon^3 [2(f_2)_x(g_1)_x - g_1 [(f_2)_{xx} + i(f_2)_t] + if_2 [(g_1)_t + i(g_1)_{xx}]] \\ 0 &= \varepsilon^2 [2(f_2)_{xx} - g_1 h_1] + \varepsilon^4 [2f_2(f_2)_{xx} - 2(f_2)_x^2] \\ 0 &= \varepsilon [2\tilde{g}_1^* - h_1] + \varepsilon^3 [2f_2\tilde{g}_1^* - \tilde{f}_2^* h_1] \end{aligned}$$

Standard solution, solve six equations independently, then  $\varepsilon \rightarrow 1$



$$q_{st}^{(1)} = \frac{\lambda(\mu - \mu^*)^2 \tau_{\mu,\gamma}}{(\mu - \mu^*)^2 + |\lambda|^2 \tau_{\mu,\gamma} \tilde{\tau}_{\mu,\gamma}^*}$$

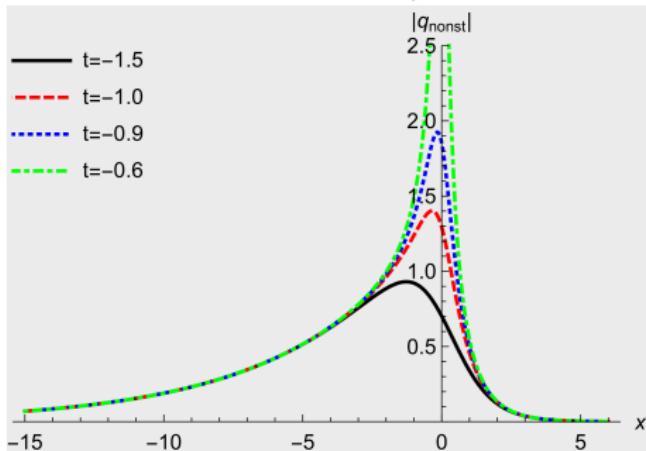
$$\tau_{\mu,\gamma}(x, t) := e^{\mu x + \mu^2(i\alpha - \beta\mu)t + \gamma}$$

## Two-types of nonlocal solutions (one-soliton)

Truncated expansions:  $f = 1 + \varepsilon^2 f_2$ ,  $g = \varepsilon g_1$ ,  $h = \varepsilon h_1$

$$\begin{aligned} 0 &= \varepsilon [i(g_1)_t + \alpha(g_1)_{xx} - \delta(g_1)_{xxx}] \\ &\quad + \varepsilon^3 [2(f_2)_x(g_1)_x - g_1 [(f_2)_{xx} + i(f_2)_t] + if_2 [(g_1)_t + i(g_1)_{xx}]] \\ 0 &= \varepsilon^2 [2(f_2)_{xx} - g_1 h_1] + \varepsilon^4 [2f_2(f_2)_{xx} - 2(f_2)_x^2] \\ 0 &= [2\tilde{g}_1^* - h_1] + [2f_2\tilde{g}_1^* - \tilde{f}_2^* h_1] \end{aligned}$$

Nonstandard solution, solve five equations, last one for  $\varepsilon = 1$



$$q_{\text{nonst}}^{(1)} = \frac{(\mu + \nu)\tau_{\mu, i\gamma}}{1 + \tau_{\mu, i\gamma}\tilde{\tau}_{-\nu, -i\theta}^*}.$$

$$\tau_{\mu, \gamma}(x, t) := e^{\mu x + \mu^2(i\alpha - \beta\mu)t + \gamma}$$

## Two-soliton solution

Truncated expansions:

$$f = 1 + \varepsilon^2 f_2 + \varepsilon^4 f_4, \quad g = \varepsilon g_1 + \varepsilon^3 g_3, \quad h = \varepsilon h_1 + \varepsilon^3 h_3$$

$$g_{\text{nl}}^{(2)}(x, t) = \frac{g_1(x, t) + g_3(x, t)}{1 + f_2(x, t) + f_4(x, t)}$$

$$g_1 = \tau_{\mu, \gamma} + \tau_{\nu, \delta}$$

$$g_3 = \frac{(\mu - \nu)^2}{(\mu - \mu^*)^2 (\nu - \mu^*)^2} \tau_{\mu, \gamma} \tau_{\nu, \delta} \tilde{\tau}_{\mu, \gamma}^* + \frac{(\mu - \nu)^2}{(\mu - \nu^*)^2 (\nu - \nu^*)^2} \tau_{\mu, \gamma} \tau_{\nu, \delta} \tilde{\tau}_{\nu, \delta}^*$$

$$f_2 = \frac{\tau_{\mu, \gamma} \tilde{\tau}_{\mu, \gamma}^*}{(\mu - \mu^*)^2} + \frac{\tau_{\nu, \delta} \tilde{\tau}_{\mu, \gamma}^*}{(\nu - \mu^*)^2} + \frac{\tau_{\mu, \gamma} \tilde{\tau}_{\nu, \delta}^*}{(\mu - \nu^*)^2} + \frac{\tau_{\nu, \delta} \tilde{\tau}_{\nu, \delta}^*}{(\nu - \nu^*)^2}$$

$$f_4 = \frac{(\mu - \nu)^2 (\mu^* - \nu^*)^2}{(\mu - \mu^*)^2 (\nu - \mu^*)^2 (\mu - \nu^*)^2 (\nu - \nu^*)^2} \tau_{\mu, \gamma} \tilde{\tau}_{\mu, \gamma}^* \tau_{\nu, \delta} \tilde{\tau}_{\nu, \delta}^*$$

$$h_1 = 2\tilde{\tau}_{\mu, \gamma}^* + 2\tilde{\tau}_{\nu, \delta}^*$$

$$h_3 = \frac{2(\mu^* - \nu^*)^2}{(\mu - \mu^*)^2 (\nu^* - \mu)^2} \tilde{\tau}_{\mu, \gamma}^* \tilde{\tau}_{\nu, \delta}^* \tau_{\mu, \gamma} + \frac{2(\mu^* - \nu^*)^2}{(\mu^* - \nu)^2 (\nu - \nu^*)^2} \tilde{\tau}_{\mu, \gamma}^* \tilde{\tau}_{\nu, \delta}^* \tau_{\nu, \delta}$$

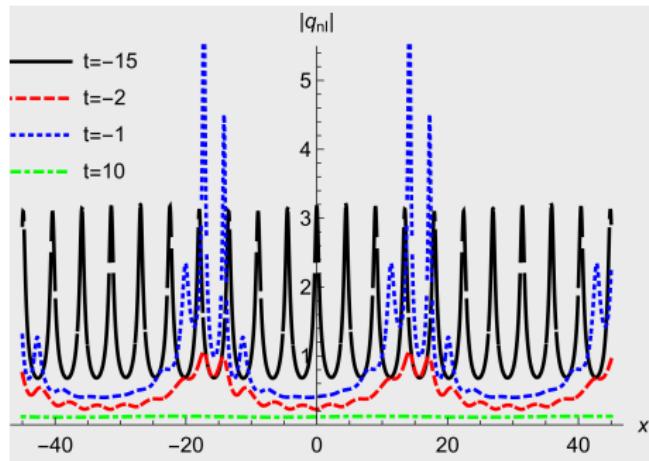
## Two-soliton solution

Truncated expansions:

$$f = 1 + \varepsilon^2 f_2 + \varepsilon^4 f_4, \quad g = \varepsilon g_1 + \varepsilon^3 g_3, \quad h = \varepsilon h_1 + \varepsilon^3 h_3$$

$$q_{\text{nl}}^{(2)}(x, t) = \frac{g_1(x, t) + g_3(x, t)}{1 + f_2(x, t) + f_4(x, t)}$$

Nonlocal regular two-soliton solution



## Stability analysis – generalities

Consider systems of the general form

$$\mathcal{L} = \partial_\mu \varphi \partial^\mu \varphi / 2 - V(\varphi)$$

Euler-Lagrange equation

$$\ddot{\varphi} - \varphi'' + \partial V(\varphi) / \partial \varphi = 0$$

## Stability analysis – generalities

Consider systems of the general form

$$\mathcal{L} = \partial_\mu \varphi \partial^\mu \varphi / 2 - V(\varphi)$$

Euler-Lagrange equation

$$\ddot{\varphi} - \varphi'' + \partial V(\varphi) / \partial \varphi = 0$$

Linearise the Euler-Lagrange equation with  $\varphi \rightarrow \varphi_s + \varepsilon \chi$ ,  $\varepsilon \ll 1$

$$\ddot{\varphi}_s - \varphi_s'' + \left. \frac{\partial V(\varphi)}{\partial \varphi} \right|_{\varphi_s} + \varepsilon \left( \ddot{\chi} - \chi'' + \chi \left. \frac{\partial^2 V(\varphi)}{\partial \varphi^2} \right|_{\varphi_s} \right) + \mathcal{O}(\varepsilon^2) = 0$$

## Stability analysis – generalities

Consider systems of the general form

$$\mathcal{L} = \partial_\mu \varphi \partial^\mu \varphi / 2 - V(\varphi)$$

Euler-Lagrange equation

$$\ddot{\varphi} - \varphi'' + \partial V(\varphi) / \partial \varphi = 0$$

Linearise the Euler-Lagrange equation with  $\varphi \rightarrow \varphi_s + \varepsilon \chi$ ,  $\varepsilon \ll 1$

$$\ddot{\varphi}_s - \varphi_s'' + \left. \frac{\partial V(\varphi)}{\partial \varphi} \right|_{\varphi_s} + \varepsilon \left( \ddot{\chi} - \chi'' + \chi \left. \frac{\partial^2 V(\varphi)}{\partial \varphi^2} \right|_{\varphi_s} \right) + \mathcal{O}(\varepsilon^2) = 0$$

With  $\chi(x, t) = e^{i\lambda t} \Phi(x) \Rightarrow$  Sturm-Liouville eigenvalue problem

$$-\Phi_{xx} + \left. \frac{\partial^2 V(\varphi)}{\partial \varphi^2} \right|_{\varphi_s} \Phi = \lambda^2 \Phi,$$

## Stability analysis – generalities

Consider systems of the general form

$$\mathcal{L} = \partial_\mu \varphi \partial^\mu \varphi / 2 - V(\varphi)$$

Euler-Lagrange equation

$$\ddot{\varphi} - \varphi'' + \partial V(\varphi) / \partial \varphi = 0$$

Linearise the Euler-Lagrange equation with  $\varphi \rightarrow \varphi_s + \varepsilon \chi$ ,  $\varepsilon \ll 1$

$$\ddot{\varphi}_s - \varphi_s'' + \left. \frac{\partial V(\varphi)}{\partial \varphi} \right|_{\varphi_s} + \varepsilon \left( \ddot{\chi} - \chi'' + \chi \left. \frac{\partial^2 V(\varphi)}{\partial \varphi^2} \right|_{\varphi_s} \right) + \mathcal{O}(\varepsilon^2) = 0$$

With  $\chi(x, t) = e^{i\lambda t} \Phi(x) \Rightarrow$  Sturm-Liouville eigenvalue problem

$$-\Phi_{xx} + \left. \frac{\partial^2 V(\varphi)}{\partial \varphi^2} \right|_{\varphi_s} \Phi = \lambda^2 \Phi, \quad \Rightarrow \begin{cases} \lambda \in \mathbb{R} \equiv \text{stable solutions} \\ \lambda \in \mathbb{C} \equiv \text{grows or decay} \end{cases}$$

## Stability analysis – generalities

Consider systems of the general form

$$\mathcal{L} = \partial_\mu \varphi \partial^\mu \varphi / 2 - V(\varphi)$$

Euler-Lagrange equation

$$\ddot{\varphi} - \varphi'' + \partial V(\varphi) / \partial \varphi = 0$$

Linearise the Euler-Lagrange equation with  $\varphi \rightarrow \varphi_s + \varepsilon \chi$ ,  $\varepsilon \ll 1$

$$\ddot{\varphi}_s - \varphi_s'' + \left. \frac{\partial V(\varphi)}{\partial \varphi} \right|_{\varphi_s} + \varepsilon \left( \ddot{\chi} - \chi'' + \chi \left. \frac{\partial^2 V(\varphi)}{\partial \varphi^2} \right|_{\varphi_s} \right) + \mathcal{O}(\varepsilon^2) = 0$$

With  $\chi(x, t) = e^{i\lambda t} \Phi(x) \Rightarrow$  Sturm-Liouville eigenvalue problem

$$-\Phi_{xx} + \left. \frac{\partial^2 V(\varphi)}{\partial \varphi^2} \right|_{\varphi_s} \Phi = \lambda^2 \Phi, \quad \Rightarrow \begin{cases} \lambda \in \mathbb{R} \equiv \text{stable solutions} \\ \lambda \in \mathbb{C} \equiv \text{grows or decay} \end{cases}$$

# Energies

$$E[\varphi] = \int_{-\infty}^{\infty} dx \varepsilon(\varphi), \quad \varepsilon(\varphi) = \left( \frac{1}{2} \dot{\varphi}^2 + \frac{1}{2} (\varphi')^2 + V(\varphi) \right),$$

Perturbed energy:

$$\begin{aligned} E[\varphi_s + \chi] &= E[\varphi_s] + \int_{-\infty}^{\infty} dx \left[ \left( \frac{\partial V(\varphi)}{\partial \varphi} \Big|_{\varphi_s} - \varphi_s'' \right) \chi \right. \\ &\quad \left. + \frac{\chi}{2} \left( \frac{\dot{\chi}^2}{\chi} - \chi'' + \chi \frac{\partial^2 V(\varphi)}{\partial \varphi^2} \Big|_{\varphi_s} \right) \right] \\ &\quad + \chi(\chi' + \varphi_s') \Big|_{-\infty}^{\infty} + \mathcal{O}(\varepsilon^3) \end{aligned}$$

# Energies

$$E[\varphi] = \int_{-\infty}^{\infty} dx \varepsilon(\varphi), \quad \varepsilon(\varphi) = \left( \frac{1}{2} \dot{\varphi}^2 + \frac{1}{2} (\varphi')^2 + V(\varphi) \right),$$

Perturbed energy:

$$\begin{aligned} E[\varphi_s + \chi] &= E[\varphi_s] + \int_{-\infty}^{\infty} dx \left[ \left( \frac{\partial V(\varphi)}{\partial \varphi} \Big|_{\varphi_s} - \varphi_s'' \right) \chi \rightarrow 0 \text{ (E.L. equation)} \right. \\ &\quad \left. + \frac{\chi}{2} \left( \frac{\dot{\chi}^2}{\chi} - \chi'' + \chi \frac{\partial^2 V(\varphi)}{\partial \varphi^2} \Big|_{\varphi_s} \right) \right] \\ &\quad + \chi(\chi' + \varphi_s') \Big|_{-\infty}^{\infty} + \mathcal{O}(\varepsilon^3) \end{aligned}$$

## Energies

$$E[\varphi] = \int_{-\infty}^{\infty} dx \varepsilon(\varphi), \quad \varepsilon(\varphi) = \left( \frac{1}{2} \dot{\varphi}^2 + \frac{1}{2} (\varphi')^2 + V(\varphi) \right),$$

Perturbed energy:

$$\begin{aligned} E[\varphi_s + \chi] &= E[\varphi_s] + \int_{-\infty}^{\infty} dx \left[ \left( \frac{\partial V(\varphi)}{\partial \varphi} \Big|_{\varphi_s} - \varphi_s'' \right) \chi \rightarrow 0 \text{ (E.L. equation)} \right. \\ &\quad \left. + \frac{\chi}{2} \left( \frac{\dot{\chi}^2}{\chi} - \chi'' + \chi \frac{\partial^2 V(\varphi)}{\partial \varphi^2} \Big|_{\varphi_s} \right) \right] \rightarrow 0 \text{ (S.L. equation)} \\ &\quad + \chi(\chi' + \varphi_s') \Big|_{-\infty}^{\infty} + \mathcal{O}(\varepsilon^3) \end{aligned}$$

# Energies

$$E[\varphi] = \int_{-\infty}^{\infty} dx \varepsilon(\varphi), \quad \varepsilon(\varphi) = \left( \frac{1}{2} \dot{\varphi}^2 + \frac{1}{2} (\varphi')^2 + V(\varphi) \right),$$

Perturbed energy:

$$\begin{aligned} E[\varphi_s + \chi] &= E[\varphi_s] + \int_{-\infty}^{\infty} dx \left[ \left( \frac{\partial V(\varphi)}{\partial \varphi} \Big|_{\varphi_s} - \varphi_s'' \right) \chi \rightarrow 0 \text{ (E.L. equation)} \right. \\ &\quad \left. + \frac{\chi}{2} \left( \frac{\dot{\chi}^2}{\chi} - \chi'' + \chi \frac{\partial^2 V(\varphi)}{\partial \varphi^2} \Big|_{\varphi_s} \right) \right] \rightarrow 0 \text{ (S.L. equation)} \\ &\quad + \chi(\chi' + \varphi_s') \Big|_{-\infty}^{\infty} + \mathcal{O}(\varepsilon^3) \rightarrow 0 \text{ for } \lim_{x \rightarrow \pm\infty} \Phi(x) = 0 \end{aligned}$$

# Energies

$$E[\varphi] = \int_{-\infty}^{\infty} dx \varepsilon(\varphi), \quad \varepsilon(\varphi) = \left( \frac{1}{2} \dot{\varphi}^2 + \frac{1}{2} (\varphi')^2 + V(\varphi) \right),$$

Perturbed energy:

$$\begin{aligned} E[\varphi_s + \chi] &= E[\varphi_s] + \int_{-\infty}^{\infty} dx \left[ \left( \frac{\partial V(\varphi)}{\partial \varphi} \Big|_{\varphi_s} - \varphi_s'' \right) \chi \rightarrow 0 \text{ (E.L. equation)} \right. \\ &\quad \left. + \frac{\chi}{2} \left( \frac{\dot{\chi}^2}{\chi} - \chi'' + \chi \frac{\partial^2 V(\varphi)}{\partial \varphi^2} \Big|_{\varphi_s} \right) \right] \rightarrow 0 \text{ (S.L. equation)} \\ &\quad + \chi(\chi' + \varphi_s') \Big|_{-\infty}^{\infty} + \mathcal{O}(\varepsilon^3) \rightarrow 0 \text{ for } \lim_{x \rightarrow \pm\infty} \Phi(x) = 0 \end{aligned}$$

## The Bullough-Dodd model

$$\mathcal{L}_{BD} = \frac{1}{2} \partial_\mu \varphi \partial^\mu \varphi - e^\varphi - \frac{1}{2} e^{-2\varphi} + \frac{3}{2} \quad \text{with } \varphi \in \mathbb{C}$$

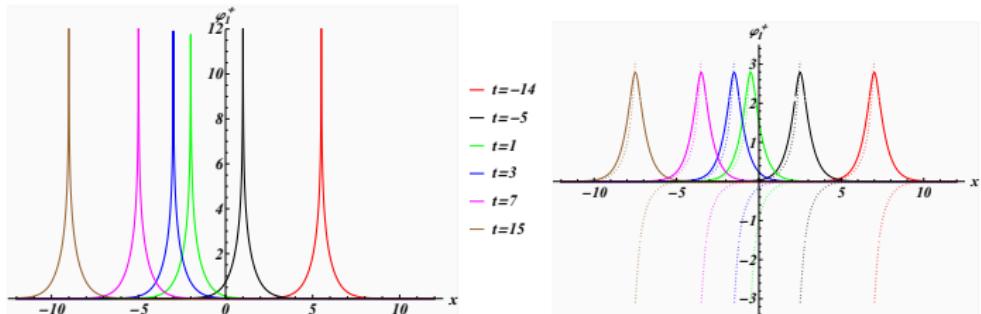
Euler-Lagrange equation:  $\ddot{\varphi} - \varphi'' + e^\varphi - e^{-2\varphi} = 0$

## The Bullough-Dodd model

$$\mathcal{L}_{\text{BD}} = \frac{1}{2} \partial_\mu \varphi \partial^\mu \varphi - e^\varphi - \frac{1}{2} e^{-2\varphi} + \frac{3}{2} \quad \text{with } \varphi \in \mathbb{C}$$

Euler-Lagrange equation:  $\ddot{\varphi} - \varphi'' + e^\varphi - e^{-2\varphi} = 0$

Type I sol.:  $\varphi_I^\pm(x, t) = \ln \left[ \frac{\cosh(\beta + \sqrt{k^2 - 3}t + kx) \pm 2}{\cosh(\beta + \sqrt{k^2 - 3}t + kx) \mp 1} \right], \quad \beta \in \mathbb{C}$



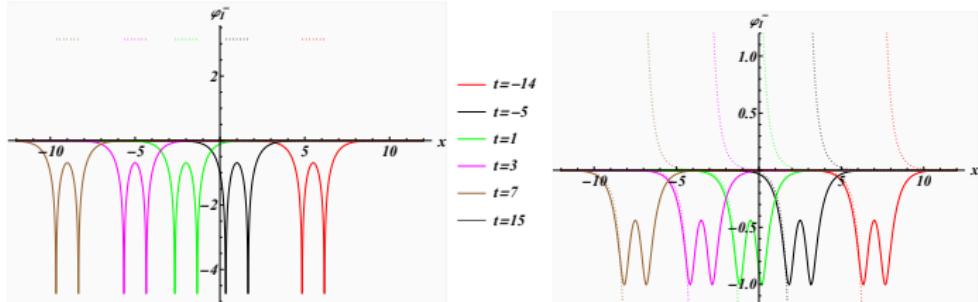
$\varphi_I^+, |k| > \sqrt{3}$ :

## The Bullough-Dodd model

$$\mathcal{L}_{\text{BD}} = \frac{1}{2} \partial_\mu \varphi \partial^\mu \varphi - e^\varphi - \frac{1}{2} e^{-2\varphi} + \frac{3}{2} \quad \text{with } \varphi \in \mathbb{C}$$

Euler-Lagrange equation:  $\ddot{\varphi} - \varphi'' + e^\varphi - e^{-2\varphi} = 0$

Type I sol.:  $\varphi_I^\pm(x, t) = \ln \left[ \frac{\cosh(\beta + \sqrt{k^2 - 3}t + kx) \pm 2}{\cosh(\beta + \sqrt{k^2 - 3}t + kx) \mp 1} \right], \quad \beta \in \mathbb{C}$



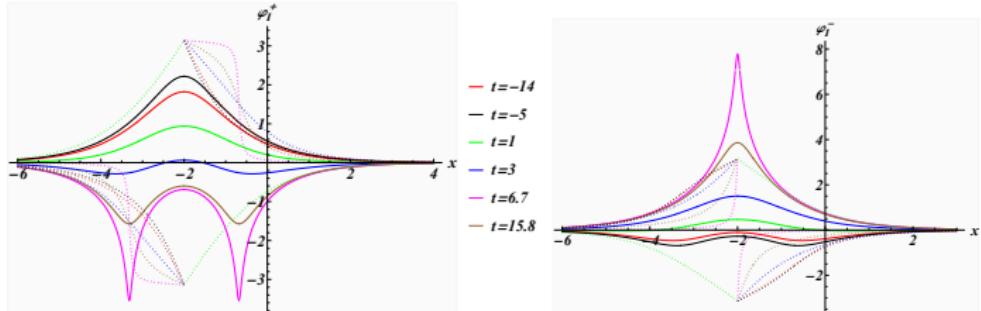
$\varphi_I^-$ ,  $|k| > \sqrt{3}$ :

## The Bullough-Dodd model

$$\mathcal{L}_{\text{BD}} = \frac{1}{2} \partial_\mu \varphi \partial^\mu \varphi - e^\varphi - \frac{1}{2} e^{-2\varphi} + \frac{3}{2} \quad \text{with } \varphi \in \mathbb{C}$$

Euler-Lagrange equation:  $\ddot{\varphi} - \varphi'' + e^\varphi - e^{-2\varphi} = 0$

Type I sol.:  $\varphi_I^\pm(x, t) = \ln \left[ \frac{\cosh(\beta + \sqrt{k^2 - 3}t + kx) \pm 2}{\cosh(\beta + \sqrt{k^2 - 3}t + kx) \mp 1} \right], \quad \beta \in \mathbb{C}$



$\varphi_I^\pm, |k| < \sqrt{3}$ :

## Sturm-Liouville auxiliary problem with potential

$$V_1^+(x, \beta) = 1 - \frac{3}{1 - \cosh(\beta + \sqrt{3}x)} + \frac{8 \sinh^4 \left[ \frac{1}{2} (\beta + \sqrt{3}x) \right]}{\left[ 2 + \cosh(\beta + \sqrt{3}x) \right]^2}$$

$$V_1^-(x, \beta) = V_1^+(x, \beta - i\pi)$$

## Sturm-Liouville auxiliary problem with potential

$$V_1^+(x, \beta) = 1 - \frac{3}{1 - \cosh(\beta + \sqrt{3}x)} + \frac{8 \sinh^4 \left[ \frac{1}{2} (\beta + \sqrt{3}x) \right]}{\left[ 2 + \cosh(\beta + \sqrt{3}x) \right]^2}$$

$$V_1^-(x, \beta) = V_1^+(x, \beta - i\pi)$$

Darboux transformation  $\Rightarrow$  exactly solvable partner potential

$$V_2 = 3 - \frac{3}{2} \operatorname{sech}^2 \left( \frac{\beta}{2} + \frac{\sqrt{3}x}{2} \right).$$

We find one bound state with  $\lambda = 3/2 \Rightarrow$  **the solution is stable.**

## Sturm-Liouville auxiliary problem

with potential

$$V_1^+(x, \beta) = 1 - \frac{3}{1 - \cosh(\beta + \sqrt{3}x)} + \frac{8 \sinh^4 \left[ \frac{1}{2} (\beta + \sqrt{3}x) \right]}{\left[ 2 + \cosh(\beta + \sqrt{3}x) \right]^2}$$

$$V_1^-(x, \beta) = V_1^+(x, \beta - i\pi)$$

Darboux transformation  $\Rightarrow$  exactly solvable partner potential

$$V_2 = 3 - \frac{3}{2} \operatorname{sech}^2 \left( \frac{\beta}{2} + \frac{\sqrt{3}x}{2} \right).$$

We find one bound state with  $\lambda = 3/2 \Rightarrow$  **the solution is stable.**

Similarly for type II solutions

## Sturm-Liouville auxiliary problem

with potential

$$V_1^+(x, \beta) = 1 - \frac{3}{1 - \cosh(\beta + \sqrt{3}x)} + \frac{8 \sinh^4 \left[ \frac{1}{2} (\beta + \sqrt{3}x) \right]}{\left[ 2 + \cosh(\beta + \sqrt{3}x) \right]^2}$$

$$V_1^-(x, \beta) = V_1^+(x, \beta - i\pi)$$

Darboux transformation  $\Rightarrow$  exactly solvable partner potential

$$V_2 = 3 - \frac{3}{2} \operatorname{sech}^2 \left( \frac{\beta}{2} + \frac{\sqrt{3}x}{2} \right).$$

We find one bound state with  $\lambda = 3/2 \Rightarrow$  **the solution is stable.**

Also the nonlocal solutions are found to be stable,  
 see J. Cen, F. Correa, F., A. Fring, T. Taira, *Stability in integrable  
 nonlocal nonlinear equations arXiv:2112.12206 (2021)*

# virtual seminar Pseudo-Hermitian Hamiltonians in Quantum Physics

## XIX<vPHHQP<XX

Welcome to the website supporting the virtual seminar series on Pseudo-Hermitian Hamiltonians in Quantum Physics.

This virtual seminar series is part of the regular real life seminar series on Pseudo-Hermitian Hamiltonians in Quantum Physics that was initiated by Miloslav Znojil in 2003. It is intended to bridge the gap, caused by the COVID-19 pandemic, between the real life XIXth meeting and the upcoming XXth meeting in Santa Fe in 2021. For past events see the [PHHQP website](#). The subject matter of this series is the study of physical aspects of non-Hermitian systems from a theoretical and experimental point of view. Of special interest are systems that possess a  $\mathcal{PT}$ -symmetry (a simultaneous reflection in space and time).

<https://vphhqp.com>

Proceedings: Journal of Physics: Conference Series Volume 2038

Volume 2038

2021

[◀ Previous issue](#)

[Next issue ▶](#)

Virtual seminar series on Pseudo-Hermitian Hamiltonians in Quantum Physics (PTSeminar) 2020 5 March 2021, London, United Kingdom

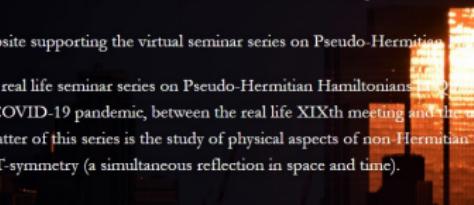
Accepted papers received: 21 September 2021

Published online: 25 October 2021

<https://iopscience.iop.org/issue/1742-6596/2038/1>

## virtual seminar Pseudo-Hermitian Hamiltonians in Quantum Physics

## XIX&lt;vPHHQP&lt;XX



Welcome to the website supporting the virtual seminar series on Pseudo-Hermitian Hamiltonians in Quantum Physics.

This virtual seminar series is part of the regular real life seminar series on Pseudo-Hermitian Hamiltonians in Quantum Physics that was initiated by Miloslav Znojil in 2003. It is intended to bridge the gap, caused by the COVID-19 pandemic, between the real life XIXth meeting and the upcoming XXth meeting in Santa Fe in 2021. For past events see the [PHHQP website](#). The subject matter of this series is the study of physical aspects of non-Hermitian systems from a theoretical and experimental point of view. Of special interest are systems that possess a  $\mathcal{PT}$ -symmetry (a simultaneous reflection in space and time).

<https://vphhqp.com>

Proceedings: Journal of Physics: Conference Series Volume 2038

Volume 2038

2021

[◀ Previous issue](#)

[Next issue ▶](#)

Virtual seminar series on Pseudo-Hermitian Hamiltonians in Quantum Physics (PTSeminar) 2020 5 March 2021, London, United Kingdom

Accepted papers received: 21 September 2021

Published online: 25 October 2021

<https://iopscience.iop.org/issue/1742-6596/2038/1>

Thank you for your attention

