

# **Pseudo-Hermitian Hamiltonians in Quantum Physics VI.**

## **London, July 16-18, 2007**

**Projective Hilbert space structures  
near exceptional points and  
the quantum brachistochrone problem<sup>1</sup>**

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<sup>1</sup>partially based on:

U.G., I. Rotter and B. F. Samsonov, J. Phys. A: Math. Theor. **40**, (2007), 8815-8833, math-ph/0704.1291

## Plan of the talk

- Exceptional points (EPs): mathematical background, Jordan blocks
- Motivation
- The parameter space vicinity of EPs
- Projective Hilbert space structures at EPs
- $\mathcal{PT}$ –symmetric models
- The quantum brachistochrone problem and the Bloch sphere
- EPs as transformation fixed points
- $\mathcal{PT}$ –symmetry, hyperbolic structures and boosted spinors

## Exceptional points (EPs): mathematical background, Jordan blocks

- parameter dependent eigenvalue problems:  
parameter space:  $\mathcal{M} \ni \mathbf{X} = (X_1, \dots, X_m), \quad \mathcal{M} \subset \mathbb{C}^m$   
operator:  $H(\mathbf{X})$   
eigenvalue problem:  $H(\mathbf{X})\Phi(\mathbf{X}) = \lambda(\mathbf{X})\Phi(\mathbf{X})$
- for simplicity demonstration on matrix eigenvalue problem:  
 $H(\mathbf{X}) \in \mathbb{C}^{n \times n}$   
in general  $n$  eigenvalues  $\lambda_1(\mathbf{X}), \dots, \lambda_n(\mathbf{X})$ ,  
i.e.  $n$  spectral branches over  $\mathcal{M} \ni \mathbf{X}$   
with  $n$  eigenvectors  $\Phi_1(\mathbf{X}), \dots, \Phi_n(\mathbf{X})$   
diagonalizable:

$$GHG^{-1} = \text{diag} [\lambda_1, \dots, \lambda_n]$$

classification of degenerate eigenvalues:

1.) semi-simple eigenvalues:

$$GHG^{-1} = \text{diag} [\lambda_0, \dots, \lambda_0, \lambda_{k+1}, \dots, \lambda_n]$$

$k$  eigenvalues coalesce

$$\lambda_1(\mathbf{X}_d) = \dots = \lambda_k(\mathbf{X}_d) =: \lambda_0(\mathbf{X}_d),$$

but  $\Phi_i(\mathbf{X}_d) \neq \Phi_j(\mathbf{X}_d)$ ,  $i, j = 1, \dots, k$

called diabolical points for  $k = 2$

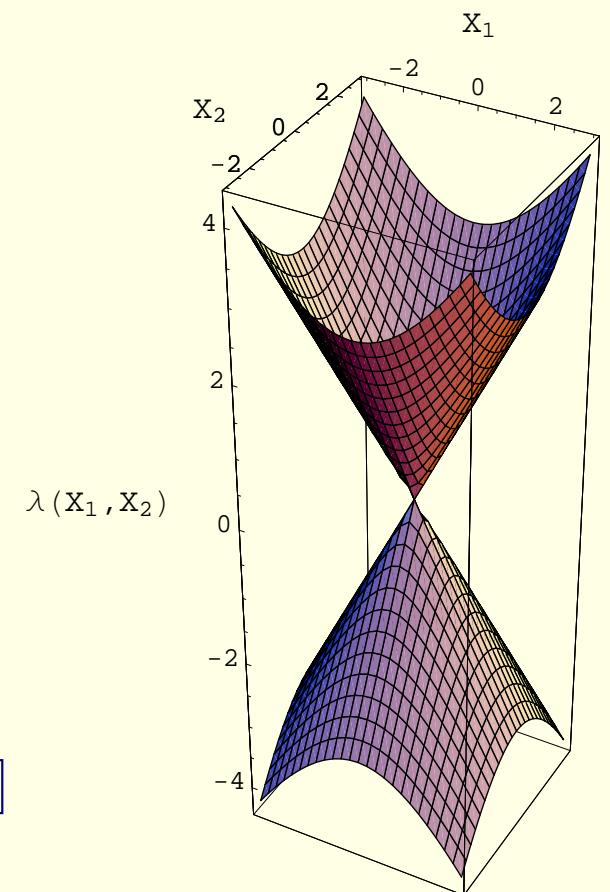
(Michael Berry);

live on hypersurface  $\mathcal{V}_d \ni \mathbf{X}_d$ ,  $\mathcal{V}_d \subset \mathcal{M}$

enhanced symmetry of the system:

$$[A, H(\mathbf{X}_d)] = 0, A \in U(k)$$

rotation in subspace  $\text{span} [\Phi_1(\mathbf{X}_d), \dots, \Phi_k(\mathbf{X}_d)]$



## 2.) exceptional points (EPs):

$$GHG^{-1} = \text{diag} [J_k(\lambda_0), \lambda_{k+1}, \dots, \lambda_n]$$

Jordan block:

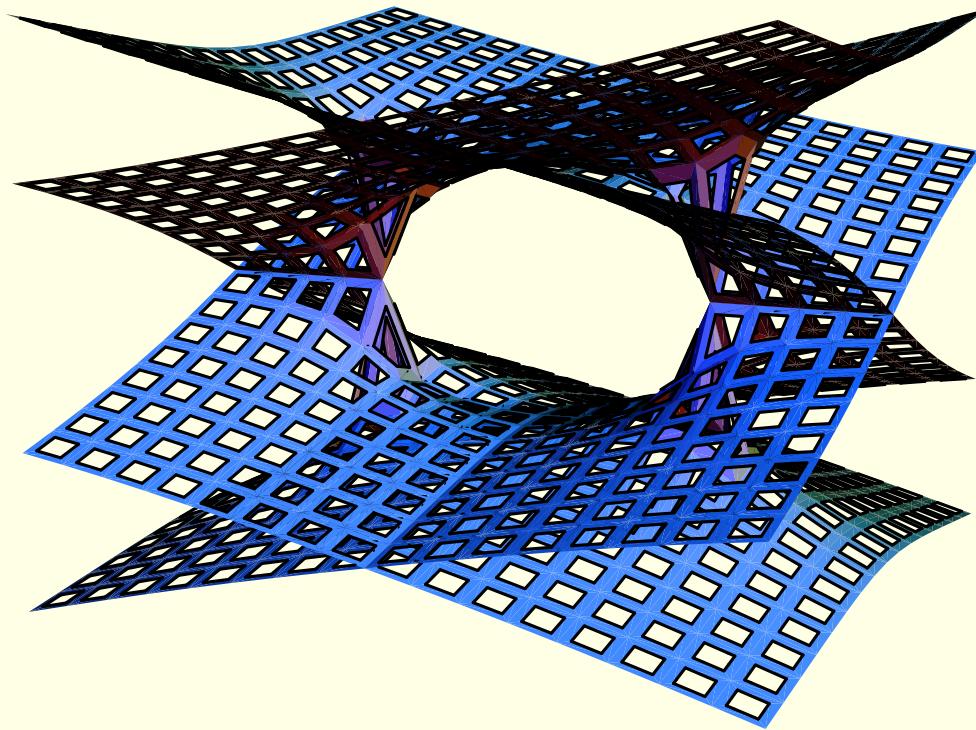
$$J_k(\lambda_0) = \begin{pmatrix} \lambda_0 & 1 & 0 & \cdots & 0 \\ 0 & \lambda_0 & 1 & \cdots & 0 \\ \vdots & \vdots & \ddots & \ddots & \vdots \\ 0 & 0 & \cdots & \lambda_0 & 1 \\ 0 & 0 & \cdots & 0 & \lambda_0 \end{pmatrix} \in \mathbb{C}^{k \times k}$$

coalescing spectral branches:  $\lambda_j(\mathbf{X} \rightarrow \mathbf{X}_c) \rightarrow \lambda_0(\mathbf{X}_c)$ ,  $j=1, \dots, k$

coalescing eigenvectors:  $\Phi_j(\mathbf{X} \rightarrow \mathbf{X}_c) \rightarrow \Theta_0(\mathbf{X}_c)$ ,  $j=1, \dots, k$

$k$ th-order branch point of the spectral Riemann surface

$k$  spectral branches  $\lambda_j(\mathbf{X})$  glued together at the EP



Riemann surface (real component)<sup>2</sup> of  $w(z) = \sqrt[4]{(z+1)(z-1)}$   
 $\exists$  two branch points

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<sup>2</sup>Michael Trott, Mathematica<sup>©</sup> tools for Riemann surfaces, 2000.

- EP-hypersurface  $\mathcal{V}_c \ni \mathbf{X}_c$ ,  $\mathcal{V}_0 \subset \mathcal{M}$
- instead of  $k$  eigenvectors  $\Phi_j(\mathbf{X})$ ,  $\mathbf{X} \notin \mathcal{V}_c$  there exist  $k$  root vectors  $\Theta_0(\mathbf{X}_c), \Theta_1(\mathbf{X}_c), \dots, \Theta_{k-1}(\mathbf{X}_c)$
- root subspace  $\mathfrak{S}_\lambda = \text{span} [\Theta_0(\mathbf{X}_c), \Theta_1(\mathbf{X}_c), \dots, \Theta_{k-1}(\mathbf{X}_c)]$
- (geometric) eigenvector  $\Theta_0(\mathbf{X}_c)$ , algebraic eigenvectors  $\Theta_1(\mathbf{X}_c), \dots, \Theta_{k-1}(\mathbf{X}_c)$
- Jordan chain:

$$[H(\mathbf{X}_c) - \lambda_0 I] \Theta_0 = 0$$

$$[H(\mathbf{X}_c) - \lambda_0 I] \Theta_1 = \Theta_0$$

$$[H(\mathbf{X}_c) - \lambda_0 I] \Theta_2 = \Theta_1$$

...   ...   ...

$$[H(\mathbf{X}_c) - \lambda_0 I] \Theta_{k-1} = \Theta_{k-2}$$

or

$$[H(\mathbf{X}_c) - \lambda_0 I]^j \Theta_{j-1} = 0, \quad j = 1, \dots, k$$

- far analogy:

annihilation operator  $\hat{a} \approx [H(\mathbf{X}_c) - \lambda_0 I]$

vacuum state  $|0\rangle \approx \Theta_0$

$j$ -particle state  $|j\rangle \approx \Theta_j$  with  $\hat{a}^j |j\rangle = |0\rangle$

- invariance of the Jordan chain under transformations

$$\Theta_j \mapsto \tilde{\Theta}_j = \Theta_j + a_j \Theta_{j-1}, \quad a_j \in \mathbb{C}$$

- the structure is similar to cohomology chains of differential forms
- multiple Jordan blocks for the same  $\lambda$
- root subspace:  $\mathfrak{S}_\lambda(H) = \bigcup_{n=0}^{\infty} \text{Ker}((H - \lambda I)^n)$
- geometric multiplicity:  $m_\lambda^g(H) = \dim \text{Ker}(H - \lambda I)$
- algebraic multiplicity:  $m_\lambda^a(H) = \dim \mathfrak{S}_\lambda(H)$

## Motivation

- at EPs:  
self-orthogonality (isotropy)  $\langle \Xi_0 | \Phi_0 \rangle = 0$  of bi-orthogonal basis vectors  
 $\implies$  subtleties in perturbation techniques
- 2 different perturbation schemes:
  - approaching EPs from diagonalizable configurations, e.g. [E. Narevicius, P. Serra and N. Moiseyev, Europhys. Lett., 2003]

$$H\Phi_0 = E_0\Phi_0, \quad [A, H] \neq 0 \quad \implies \quad \left| \frac{\langle \Xi_0 | A | \Phi_0 \rangle}{\langle \Xi_0 | \Phi_0 \rangle} \right| \rightarrow \infty$$

– extending the root vector normalization from EPs to their vicinities

$$\langle \Xi_1 | \Phi_0 \rangle = \langle \Xi_0 | \Phi_1 \rangle = 1, \quad \implies \quad \left| \frac{\langle \Xi_1 | A | \Phi_0 \rangle}{\langle \Xi_1 | \Phi_0 \rangle} \right| < \infty$$

[A. Sokolov, A. Andrianov and F. Cannata, J. Phys. A, 2006]

## $2 \times 2$ -matrix toy model

- Hamiltonian:  $H = \begin{pmatrix} \epsilon_1 & \omega \\ \omega & \epsilon_2 \end{pmatrix}, \quad H = H^T, \quad \omega, \epsilon_{1,2} \in \mathbb{C}$
- convenient parametrization when  $\omega \neq 0$ :

$$H = E_0 \otimes I_2 + \omega \begin{pmatrix} Z & 1 \\ 1 & -Z \end{pmatrix}$$

$$E_0 := \frac{1}{2}(\epsilon_1 + \epsilon_2), \quad Z := \frac{\epsilon_1 - \epsilon_2}{2\omega}$$

$$E_{\pm} = E_0 \pm \omega \sqrt{Z^2 + 1}$$

$$\Phi_{\pm} = \begin{pmatrix} 1 \\ -Z \pm \sqrt{Z^2 + 1} \end{pmatrix} c_{\pm}; \quad c_{\pm} \in \mathbb{C}^* := \mathbb{C} - \{0\}$$

## Dual basis and bi-orthogonality

- standard Hilbert space techniques are useless:

$$\begin{aligned}\langle \Phi_+ | \Phi_- \rangle &\equiv \Phi_+^{*T} \Phi_- \\ &= c_+^* c_- \left[ 1 + |Z|^2 - |Z^2 + 1| + 2\text{Im} \left( Z^* \sqrt{Z^2 + 1} \right) \right] \\ \langle \Phi_+ | \Phi_- \rangle = 0 &\iff \text{Im} Z = 0\end{aligned}$$

- dual (left) basis:

$$(H^+ - E_\pm^*) \Xi_\pm = 0, \quad \langle \Xi_k | \Phi_l \rangle \propto \delta_{kl}, \quad k, l = \pm$$

- complex symmetric matrix  $H = H^T$ :  $\implies \Xi_\pm \propto \Phi_\pm^*$

- most general ansatz:

$$\Phi_{\pm} = c_{\pm}\chi_{\pm}, \quad \Xi_{\pm} = d_{\pm}^*\chi_{\pm}^*, \quad c_{\pm}, d_{\pm} \in \mathbb{C}^*$$

$$\chi_{\pm} := \begin{pmatrix} 1 \\ -Z \pm \sqrt{Z^2 + 1} \end{pmatrix}$$

- bi-orthogonality:  $\langle \Xi_{\pm} | \Phi_{\mp} \rangle = d_{\pm}c_{\mp}\chi_{\pm}^T\chi_{\mp} = 0 \quad \forall Z \in \mathbb{C}$
- possible normalization:  $\langle \Xi_{\pm} | \Phi_{\pm} \rangle = d_{\pm}c_{\pm}\chi_{\pm}^T\chi_{\pm} = 1$

## Projective Hilbert space structures

- Hilbert space:  $\Phi_{\pm}, \Xi_{\pm} \in \mathcal{H} = \mathbb{C}^2 \approx \mathbb{R}^4$
- line structure due to free scale parameters  $c_{\pm}, d_{\pm} \in \mathbb{C}^*$
- projective space structure:  $\mathbb{P}(\mathcal{H}) = \mathcal{H}^*/\mathbb{C}^* = \mathbb{CP}^1 \ni \pi(\Phi_{\pm}), \pi(\Xi_{\pm})$
- homogeneous coordinates:  $\mathbb{CP}^1 \ni (u_0, u_1)$
- topology:  $\mathbb{CP}^1 \approx S^3/S^1 \approx S^2$  Riemann sphere
- affine coordinate charts:

$$U_0 \ni (1, u_1/u_0), \quad u_0 \neq 0, \quad U_1 \ni (u_0/u_1, 1), \quad u_1 \neq 0$$

$$U_0 \ni (1, z), \quad U_1 \ni (w, 1), \quad w = 1/z, \quad w = 0 = 1/\infty$$

- identification:  $\chi^T = (1, -Z \pm \sqrt{Z^2 + 1}) \in U_0 \subset \mathbb{CP}^1$
- natural line bundle:  $L = \{(p, v) \in \mathbb{P}(\mathcal{H}) \times \mathcal{H} \mid v = cp, c \in \mathbb{C}^*\}$
- $\Phi_\pm, \Xi_\pm$  sections of  $L$ :  $\Phi_\pm = \pi(\Phi_\pm) \otimes c_\pm, \quad \Xi_\pm = \pi(\Xi_\pm) \otimes d_\pm^*$
- locally trivial:  $\pi^{-1}(U_0) \approx U_0 \times \mathbb{C}^* \ni \Phi_\pm$

## Jordan structure

- setup:  $E_{\pm} = E_0 \pm \omega \sqrt{Z^2 + 1}$ ,  $\Phi_{\pm} = \begin{pmatrix} 1 \\ -Z \pm \sqrt{Z^2 + 1} \end{pmatrix} c_{\pm}$

- EP-limit:  $E_+ = E_- = E_0$ ,  $Z^2 = -1$ ,  $Z = Z_c := \pm i$

- coalescence of lines:  $\pi(\Phi_+) = \pi(\Phi_-) =: \pi(\Phi_0)$

$$\chi_+ = \chi_- = \chi_0 := \begin{pmatrix} 1 \\ -Z_c \end{pmatrix} = \begin{pmatrix} 1 \\ \mp i \end{pmatrix}$$

- not necessarily coalescence of vectors:  $\Phi_+ \neq \Phi_-$   
 $\Phi_+ = c_+ \chi_0$ ,  $\Phi_- = c_- \chi_0$

- bi-orthogonality  $\longrightarrow$  isotropy:  $\chi_{\pm}^T \chi_{\mp} = 0 \longrightarrow \chi_0^T \chi_0 = 0$

- dual Jordan chains:

$$\begin{aligned}[H(Z_c) - E_0 I] \Phi_0 &= 0, & [H(Z_c) - E_0 I]^+ \Xi_0 &= 0, \\ [H(Z_c) - E_0 I] \Phi_1 &= \Phi_0, & [H(Z_c) - E_0 I]^+ \Xi_1 &= \Xi_0\end{aligned}$$

- bi-orthogonality:

$$\begin{aligned}\langle \Xi_0 | \Phi_0 \rangle &= \langle \Xi_1 | \Phi_1 \rangle = 0 \\ \langle \Xi_0 | \Phi_1 \rangle &= \langle \Xi_1 | \Phi_0 \rangle = d_0 c_0 \neq 0\end{aligned}$$

- explicit representation:

$$\begin{aligned}\Phi_0 &= \sigma q \textcolor{red}{c}_0 \begin{pmatrix} 1 \\ -Z_c \end{pmatrix}, & \Phi_1 &= \sigma q^{-1} \textcolor{red}{c}_0 \begin{pmatrix} -Z_c \\ 1 \end{pmatrix} \\ \Xi_0 &= \sigma q^* \textcolor{red}{d}_0^* \begin{pmatrix} -Z_c \\ 1 \end{pmatrix}, & \Xi_1 &= \sigma q^{*-1} \textcolor{red}{d}_0^* \begin{pmatrix} 1 \\ -Z_c \end{pmatrix} \\ \sigma &:= \frac{e^{i\mu\frac{\pi}{4}}}{\sqrt{2}}, \quad q := \sqrt{2\omega}, & Z_c &= \pm i =: \mu i, \quad c_0, d_0 \in \mathbb{C}^*\end{aligned}$$

- the whole root subspace  $\mathfrak{S}_{E_0}$  scales with the same factor  $c_0$  or  $d_0^*$   
 $\implies$  not line structure, but hyperplane structure (beyond usual projective space; projective flag manifold)
- again possible:  $\Phi_{0,a} \neq \Phi_{0,b}$   $\pi(\Phi_{0,a}) = \pi(\Phi_{0,b}) = \pi(\Phi_0)$

- EP-vicinity:  $Z = Z_c + \varepsilon, \quad |\varepsilon| \ll 1, \quad \varepsilon \in \mathbb{C}$
- instead of Taylor expansion it holds Puiseux expansion:

$$\begin{aligned} E_{\pm} &= E_0 \pm \varepsilon^{1/2} \Delta E + o(\varepsilon^{1/2}), \\ \Delta E &:= \omega \sqrt{2Z_c}, \\ \chi_{\pm} &= \begin{pmatrix} 1 \\ -Z_c \end{pmatrix} \pm \varepsilon^{1/2} \begin{pmatrix} 0 \\ \sqrt{2Z_c} \end{pmatrix} + o(\varepsilon^{1/2}) \end{aligned}$$

- representation:

$$\begin{aligned} \Phi_{\pm} &= \Phi_0 + \varepsilon^{1/2} (b_0 \Phi_0 + b_1 \Phi_1) + o(\varepsilon^{1/2}) \\ \Xi_{\pm}^* &= \Xi_0^* + \varepsilon^{1/2} (b_0 \Xi_0^* + b_1 \Xi_1^*) + o(\varepsilon^{1/2}) \\ b_0 &= \pm \frac{Z_c}{2\omega} \Delta E, \quad b_1 = \pm \Delta E \end{aligned}$$

## Inner product

- fiber (vector) fitting,  $\exists$  two options:

- primary: root vector scales  $c_0, d_0$

- secondary:  $c_+ = c_- = \sigma q c_0, \quad d_+ = d_- = \sigma^* q Z_c d_0$

- intuitive picture: structure at EP extrapolated into its vicinity

- primary: scales  $c_+, c_-, d_+, d_-$

- secondary: root vector scales  $c_{0,\pm} = c_\pm / (\sigma q), \quad d_{0,\pm} = d_\pm / (\sigma^* q Z_c)$

- intuitive picture: structure of EP-vicinity extrapolated to EP-limit

- limiting behavior:

$$\begin{aligned}\langle \Xi_{\pm} | \Phi_{\pm} \rangle &= 2b_1 d_{0,\pm} c_{0,\pm} \varepsilon^{1/2} + o(\varepsilon^{1/2}) \\ &= \frac{2b_1}{\omega Z_c} d_{\pm} c_{\pm} \varepsilon^{1/2} + o(\varepsilon^{1/2})\end{aligned}$$

- two different normalization schemes for  $\varepsilon \rightarrow 0$ :

- root vector normalization:

$$\begin{aligned}\langle \Xi_0 | \Phi_1 \rangle = \langle \Xi_1 | \Phi_0 \rangle &= d_0 c_0 \neq 0, \quad |d_0 c_0| < \infty \\ \implies \langle \Xi_{\pm} | \Phi_{\pm} \rangle &\propto \varepsilon^{1/2} \rightarrow 0 \quad (\text{isotropy limit})\end{aligned}$$

- fixed normalization:  $\langle \Xi_{\pm} | \Phi_{\pm} \rangle = 1$

$$\implies |d_{\pm} c_{\pm}| \propto |\varepsilon|^{-1/2} \rightarrow \infty \quad (\text{scale divergency})$$

- both normalization schemes are regular for  $\varepsilon \neq 0$
- obviously two different charts of a larger unified setup  
 $\mathcal{H} \hookrightarrow \mathbb{CP}^2: \quad \pi(\Phi_{\pm}) \times \mathbb{C}^* \hookrightarrow \pi(\Phi_{\pm}) \times \mathbb{CP}^1$

## Simple special case

- setups with  $\Xi_m = \Phi_m^*$ ,  $c_{\pm} = d_{\pm}$
- two normalization schemes:
  - root vector normalization:  $\langle \Xi_0 | \Phi_1 \rangle = \langle \Xi_1 | \Phi_0 \rangle = d_0 c_0 = 1$
  - “diagonal” normalization:  $\langle \Xi_{\pm} | \Phi_{\pm} \rangle = d_{\pm} c_{\pm} \chi^T \chi = 1$
- root vector normalization:  $\langle \Xi_0 | \Phi_1 \rangle = \langle \Xi_1 | \Phi_0 \rangle = c_0^2 = 1$   
 $\implies c_0 = \pm 1, \quad c_{\pm} = d_{\pm} = c_0 \sigma q$  rigidly fixed  
 $\implies$  no geometric phase

- “diagonal” normalization:

$$\begin{aligned} 1 = \langle \Xi_{\pm} | \Phi_{\pm} \rangle = \Phi_{\pm}^T \Phi_{\pm} &= \left[ 1 + \left( Z \mp \sqrt{Z^2 + 1} \right)^2 \right] c_{\pm}^2 \\ &\approx \mp 2Z_c \sqrt{2Z_c \varepsilon} c_{\pm}^2 \end{aligned}$$

- scale factors:  $c_{\pm}^2 \approx \mp 2^{-3/2} Z_c^{-3/2} \varepsilon^{-1/2} \implies c_{\pm} \sim \varepsilon^{-1/4}$   
4-fold winding; correct geometric phase
- divergent vector norm:  $\|\Phi_{\pm}\|^2 = \langle \Phi_{\pm} | \Phi_{\pm} \rangle \approx 2|c_{\pm}|^2 \approx |2\varepsilon|^{-1/2} \rightarrow \infty$

- projective space resolution of the singularity:

$$\Phi \in \mathcal{H} \approx \mathbb{C}^2 \hookrightarrow \mathbb{CP}^2 \ni \phi = (u_0, u_1, u_2)$$

- embedding trick:

$$\begin{aligned} \Phi^T = c(1, w) &= (z_0, z_1) \hookrightarrow (z_0, z_1, 1) = (\frac{u_0}{u_2}, \frac{u_1}{u_2}, 1) \in U_2 \subset \mathbb{CP}^2 \\ \implies u_2 &= c^{-1} \quad \implies \phi = (1, w, c^{-1}) \in \mathbb{CP}^2 \end{aligned}$$

- resolution of the singularity:  $|c| \rightarrow \infty \implies u_2 \rightarrow 0$  beyond  $U_2$

affine chart  $U_0 \ni (1, \frac{u_1}{u_0}, \frac{u_2}{u_0})$  is most convenient:

$$\Phi \approx (1, w, c^{-1}) = (\chi^T, c^{-1}) \approx (\pi(\Phi), c^{-1})$$

- normalization condition as constraint:

$$\begin{aligned}\Phi^T \Phi - 1 &= 0 \\ \frac{u_0^2}{u_2^2} + \frac{u_1^2}{u_2^2} - 1 &= 0 \\ u_0^2 + u_1^2 - u_2^2 &= 0 \\ \chi^T \chi - c^{-2} &= 0\end{aligned}$$

conic (singular quadric) in homogeneous coordinates

- extends straight forwardly to higher dimensions:  $\mathcal{H} = \mathbb{C}^n \hookrightarrow \mathbb{CP}^n$
- $$\sum_{k=0}^{n-1} u_k^2 - u_n^2 = 0$$

## $\mathcal{PT}$ -symmetric models

- $\mathcal{PT}$ -symmetry:  $[\mathcal{PT}, H] = 0$ ,  $\mathcal{P} = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$

$$H = \begin{pmatrix} re^{i\theta} & s \\ s & re^{-i\theta} \end{pmatrix}, \quad r, s, \theta \in \mathbb{R}$$

- eigenvalues:  $E_{\pm} = r \cos(\theta) \pm \sqrt{s^2 - r^2 \sin^2(\theta)}$
- eigenvectors:

$$|E_+\rangle = \frac{e^{i\alpha/2}}{\sqrt{2 \cos(\alpha)}} \begin{pmatrix} 1 \\ e^{-i\alpha} \end{pmatrix} =: c_+ \chi_+, \quad \sin(\alpha) = \frac{r}{s} \sin(\theta)$$

$$|E_-\rangle = \frac{ie^{-i\alpha/2}}{\sqrt{2 \cos(\alpha)}} \begin{pmatrix} 1 \\ -e^{i\alpha} \end{pmatrix} =: c_- \chi_-$$

- dynamical operator  $\mathcal{C}$ :  $[\mathcal{C}, H] = 0$ ,  $\mathcal{C} = \frac{1}{\cos(\alpha)} \begin{pmatrix} i \sin(\alpha) & 1 \\ 1 & -i \sin(\alpha) \end{pmatrix}$
- inner products:

$$\text{Krein space: } (u, v) = \mathcal{PT}u \cdot v : \quad (E_{\pm}, E_{\pm}) = \pm 1, \quad (E_{\pm}, E_{\mp}) = 0$$

$$\text{Hilbert space: } ((u, v)) = \mathcal{CP}\mathcal{T}u \cdot v : \quad ((E_{\pm}, E_{\pm})) = 1, \quad ((E_{\pm}, E_{\mp})) = 0$$

- EP-related parametrization:  $Z = i \frac{r}{s} \sin(\theta) = i \sin(\alpha)$ ,  
 $\mathcal{C} = \frac{1}{\cos(\alpha)} \begin{pmatrix} Z & 1 \\ 1 & -Z \end{pmatrix}$
- Hamiltonian:  $H = E_0 I_2 + s \cos(\alpha) \mathcal{C}$ ,  $[\mathcal{C}, H] = 0$  trivially fulfilled

- eigenvectors:  $\Phi = c(1, b)^T$
- exact  $\mathcal{PT}$ -symmetry (PTS):  
 $\mathcal{PT}\Phi = c^*(b^*, 1)^T = c^*b^*(1, 1/b^*)^T \propto \Phi = c(1, b)^T \implies |b| = 1$
- compatibility:  $\mathcal{PT}\Phi \propto \Xi^*$ ,  $\mathcal{CPT}\Phi \propto \Xi^*$
- orthogonality:  $\mathcal{CPT}\Phi_k \cdot \Phi_l \propto \mathcal{PT}\Phi_k \cdot \Phi_l \propto \Xi_k^+ \Phi_l$
- energy:

$$\begin{aligned}
E_{\pm} &= r \cos(\theta) \pm s \sqrt{1 - \frac{r^2}{s^2} \sin^2(\theta)} \\
&= r \cos(\theta) \pm s \sqrt{1 - \sin^2(\alpha)}
\end{aligned}$$

- exact PTS:  $\alpha \in \mathbb{R} - \{\pi/2 + \pi\mathbb{Z}\}, \quad Z \in (-i, i), \quad \operatorname{Re} Z = 0$
- Hermitian Hamiltonian:  $\alpha = n\pi, \quad n \in \mathbb{Z}, \quad Z = 0$
- spontaneously broken PTS:  
 $\alpha \in \pi(1/2 + \mathbb{Z}) + i\mathbb{R}, \quad Z \in (-i\infty, -i) \cup (i, i\infty)$
- EPs:  $Z_c = \pm i, \quad \alpha_c = \pi(1/2 + N), \quad N \in \mathbb{Z}$
- line coalescence at EPs:  $\pi(|E_+\rangle) = \pi(|E_-\rangle) \approx \chi_0 = (1, Z_c)^T$
- diverging norm:  $|||E_\pm\rangle||^2 = \langle E_\pm | E_\pm \rangle \approx \frac{1}{|\cos(\alpha)|} \rightarrow \infty$
- Krein-space  $\rightleftarrows$  Hilbert space mapping singularity:

$$\mathcal{C} = \frac{1}{\cos(\alpha)} \begin{pmatrix} Z & 1 \\ 1 & -Z \end{pmatrix}, \quad \cos(\alpha \rightarrow \pi/2) \rightarrow 0$$

- $\mathcal{PT}$ -symmetric projective structures:  
affine coordinate embedding

$$|E_{\pm}\rangle^T = c_{\pm}(1, b_{\pm}) \hookrightarrow (c_{\pm}, c_{\pm}b_{\pm}, 1) \in U_2 \subset \mathbb{CP}^2$$

homogeneous coordinates:  $e_{\pm} = (1, b_{\pm}, c_{\pm}^{-1}) \in \mathbb{CP}^2$

- normalization:  $\mathcal{PT}|E_{\pm}\rangle \cdot |E_{\pm}\rangle = 1$
- generalized conic:  $\mathcal{PT}\chi_{\pm} \cdot \chi_{\pm} - (\mathcal{T}c_{\pm}^{-1}) c_{\pm}^{-1} = 0$   
regular in the EP-limit  $\alpha \rightarrow \alpha_c$

## Brachistochrone problem:

- Hermitian Hamiltonians:  
[D.C. Brody, J. Phys. A 2003]  
[A. Carlini, A. Hosoya, T. Koike, Y. Okudaira, Phys. Rev. Lett. 2006]
- $\mathcal{PT}$ -symmetric Hamiltonians:  
[C.M. Bender, D.C. Brody, H.F. Jones, B.K. Meister, Phys. Rev. Lett., 2007]  
[A. Mostafazadeh, quant-ph/0706.3844]
- general non-Hermitian Hamiltonians:  
[P. E. G. Assis and A. Fring, quant-ph/0703254]

Bloch sphere:

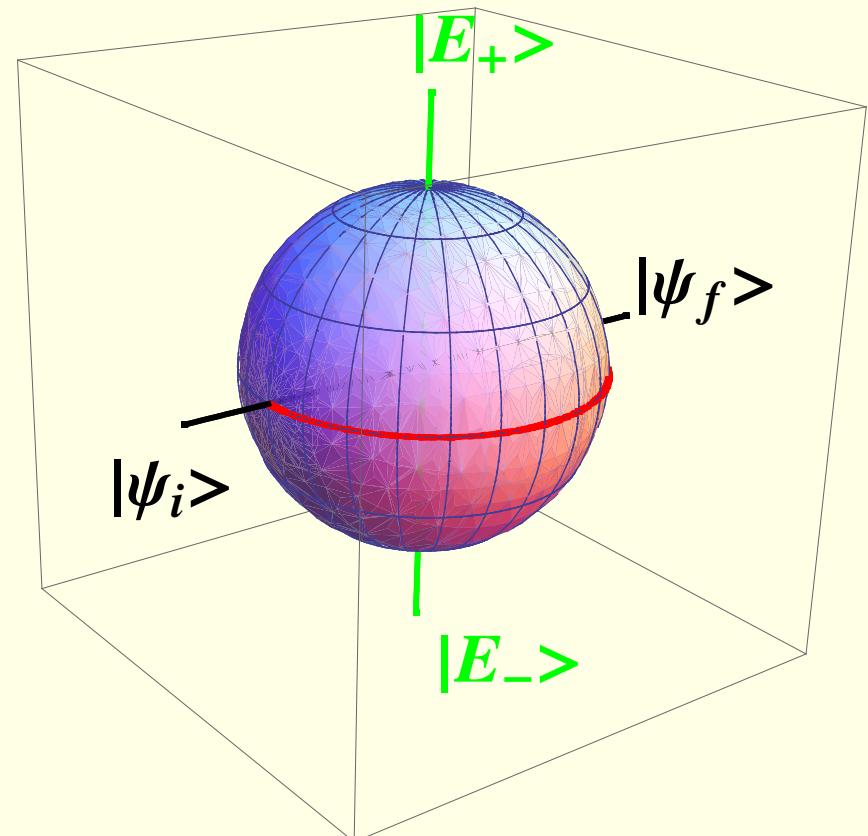
$$|\psi\rangle = \cos(\theta)|0\rangle + e^{i\phi} \sin(\theta)|1\rangle, \quad \theta \in [0, \pi/2), \quad \phi \in [0, 2\pi)$$

$$x = \sin(2\theta) \cos(\phi)$$

$$y = \sin(2\theta) \sin(\phi)$$

$$z = \cos(2\theta)$$

shortest path: geodesic  
distance between antipodal  
(orthogonal) points:  $\Delta = \pi$   
 $e^{-itH}|E_{\pm}\rangle = e^{-itE^{\pm}}|E_{\pm}\rangle$   
 $|E_{\pm}\rangle$  fixed points  
Hermitian system



## Non-Hermitian system:

exact  $\mathcal{PT}$ -symmetry

$$H|E_{\pm}\rangle = E_{\pm}|E_{\pm}\rangle$$

$$\langle E_+ | E_- \rangle \neq 0$$

$$\mathcal{CPT} E_j \cdot E_k = \langle E_j | \eta | E_k \rangle = \delta_{jk}$$

brachistochrone problem:

$$\{H, |\psi_i\rangle, |\psi_f\rangle\}$$

Ali Mostafazadeh:

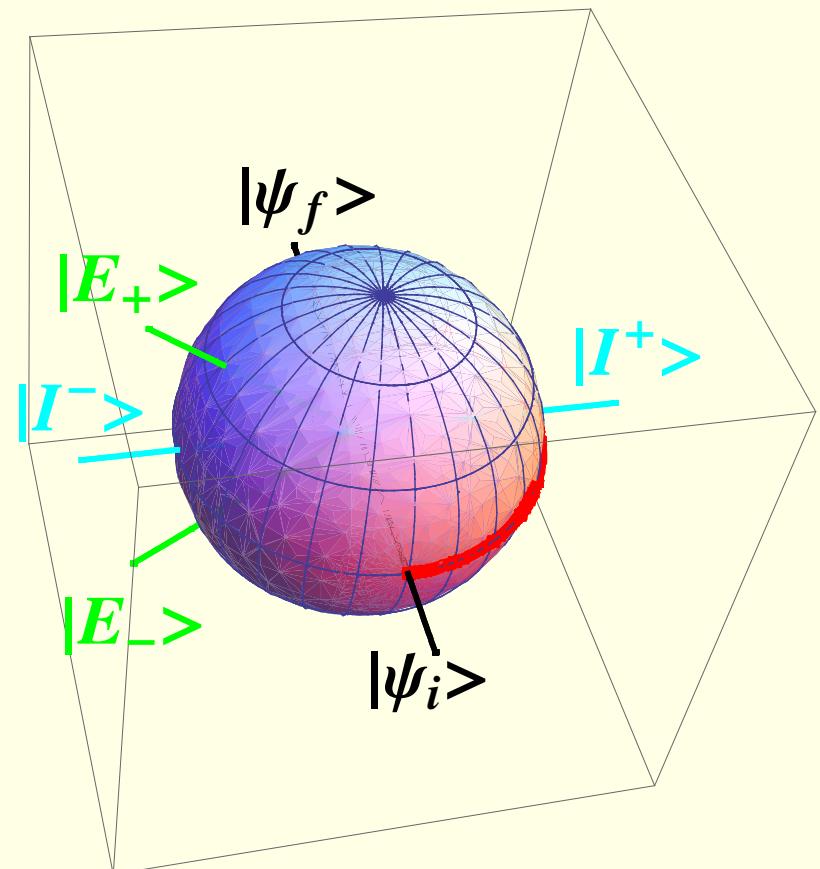
$$\eta = \eta^+ > 0$$

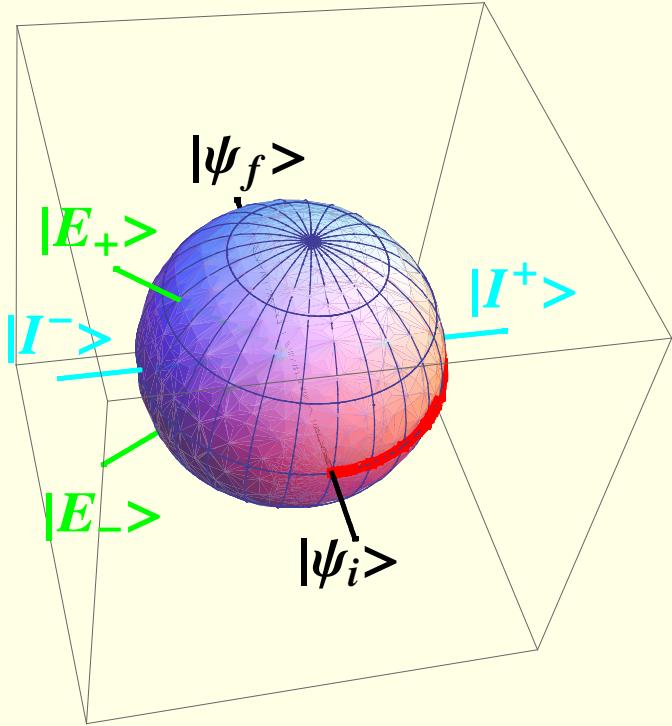
$$\eta^{1/2} : H \mapsto h = \eta^{1/2} H \eta^{-1/2}$$

$$|\psi_i\rangle \mapsto |\phi_i\rangle = \eta^{1/2} |\psi_i\rangle$$

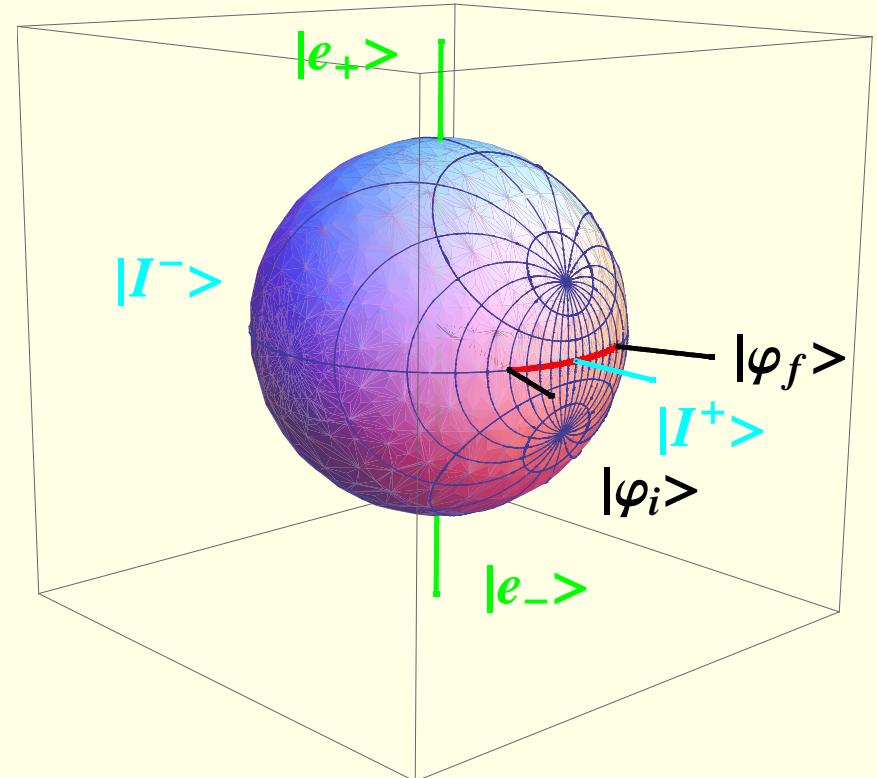
$$|\psi_f\rangle \mapsto |\phi_f\rangle = \eta^{1/2} |\psi_f\rangle$$

Hermitian brachistochrone  
problem  $\{h, |\phi_i\rangle, |\phi_f\rangle\}$

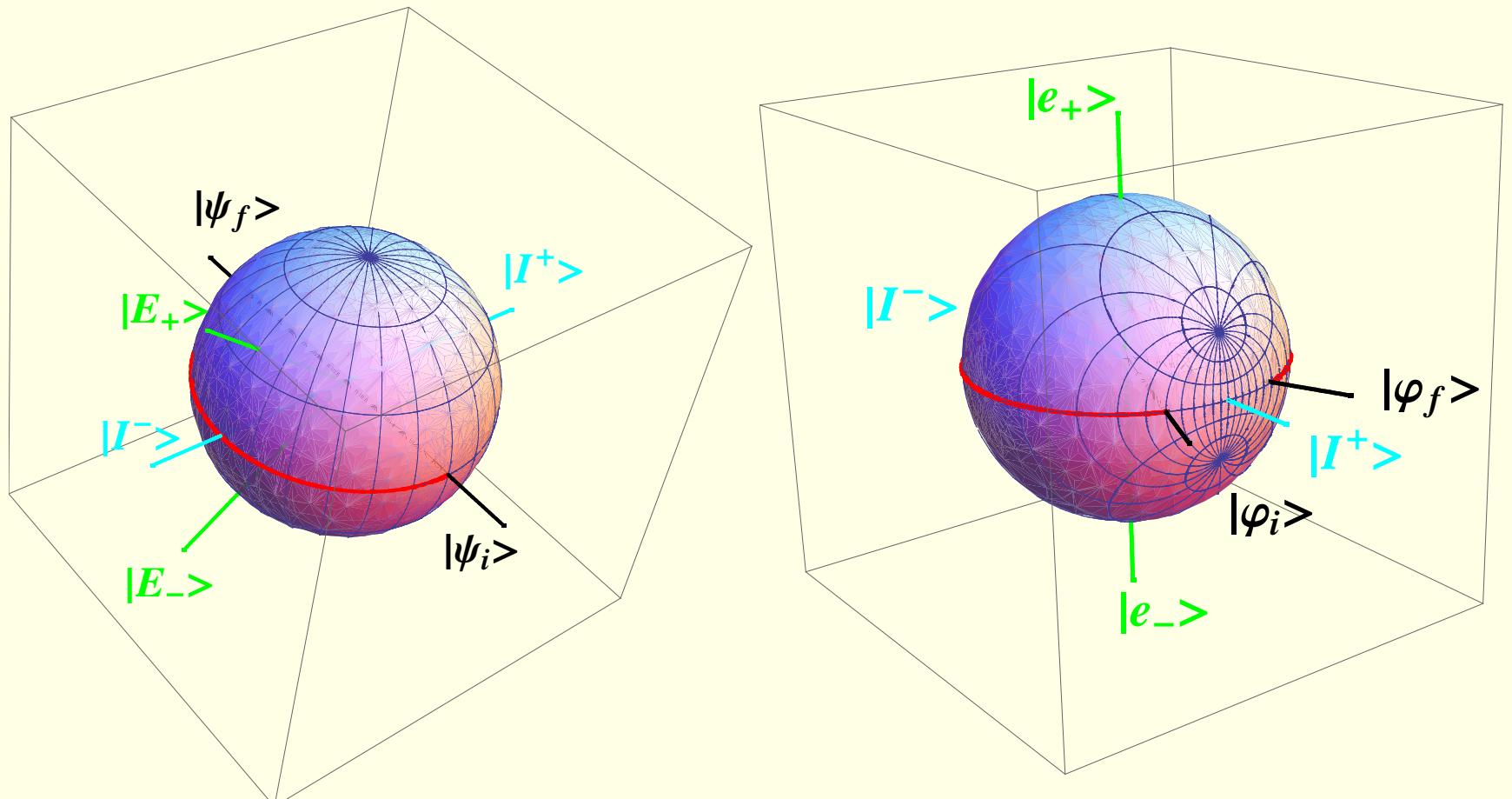




Non-Hermitian problem  
 $\{H, |\psi_i\rangle, |\psi_f\rangle\}$



equivalent Hermitian problem  
 $\{h, |\phi_i\rangle, |\phi_f\rangle\}$   
 evolution path contraction



Non-Hermitian problem  
 $\{H, |\psi_i\rangle, |\psi_f\rangle\}$

equivalent Hermitian problem  
 $\{h, |\phi_i\rangle, |\phi_f\rangle\}$   
 evolution path dilation

## EPs as transformation fixed points

- transformation in  $\mathbb{C}^2$ :  $S := \eta^{1/2} \in SL(2, \mathbb{C})$ :  $|\psi\rangle \mapsto |\phi\rangle = S|\psi\rangle$   
general form:

$$\begin{pmatrix} u \\ v \end{pmatrix} = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \begin{pmatrix} a \\ b \end{pmatrix}$$

- reinterpretation as  $PSL(2, \mathbb{C})$  transformation over  $\mathbb{CP}^1 \cong \mathbb{C} \cup \infty =: \hat{\mathbb{C}}$
- $PSL(2, \mathbb{C})$  over the affine chart:  $(1, z) \mapsto (1, z') = (1, f(z))$
- Möbius transformation:  $z' = f(z) = \frac{Dz+C}{Bz+A}$   
 $f(z) \in \text{Aut}(\hat{\mathbb{C}})$  leads to reparametrization of the Fubini-Study metric on the Bloch sphere

- classification for  $S$  with  $\det(S) = 1$ :
  - $(\text{tr}S)^2 = 4$  parabolic
  - $(\text{tr}S)^2 \in [0, 4)$  elliptic
  - $(\text{tr}S)^2 \in (4, \infty)$  hyperbolic
  - $(\text{tr}S)^2 \in \mathbb{C}, (\text{tr}S)^2 \notin [0, 4]$  loxodromic
- unitary  $SU(2)$  transformations: elliptic
- $S = \eta^{1/2}$ :  $(\text{tr}S)^2 = 2 + \frac{2}{|\cos(\alpha)|}$  hyperbolic
- fixed points:  $f_S(z) = z \implies z = \pm i \quad \forall \alpha \neq 2\pi N$
- $z = \pm i$  correspond to EP-related isotropic eigenvectors
- all non-Hermitian  $2 \times 2$  matrix models with exact  $\mathcal{PT}$ -symmetry have the same two EP-related eigenvectors  $|I^\pm\rangle$  as transformation fixed points

## $\mathcal{PT}$ -symmetry, hyperbolic structures and boosted spinors

- qualified guess: EP-related isotropic eigenvectors correspond to “light-cone configurations”

$$\begin{aligned}\chi_{\pm} &= \begin{pmatrix} 1 \\ -Z \pm \sqrt{1+Z^2} \end{pmatrix} = \begin{pmatrix} 1 \\ -i \sin(\alpha) \pm \sqrt{1-\sin^2(\alpha)} \end{pmatrix} \\ &\stackrel{?}{=} \begin{pmatrix} 1 \\ -i \frac{v}{c} \pm \sqrt{1-\frac{v^2}{c^2}} \end{pmatrix} \xrightarrow{v \rightarrow c} \begin{pmatrix} 1 \\ -i \end{pmatrix} = \chi_0\end{aligned}$$

- parametrization:  $\sin(\alpha) = \tanh(\beta)$

$$\begin{aligned}\eta &= \frac{1}{\cos(\alpha)} \begin{pmatrix} 1 & -i \sin(\alpha) \\ i \sin(\alpha) & 1 \end{pmatrix} = \begin{pmatrix} \cosh(\beta) & -i \sinh(\beta) \\ i \sinh(\beta) & \cosh(\beta) \end{pmatrix} \\ &= e^{\beta \sigma_y} \in SO(2, \mathbb{C}) \subset SL(2, \mathbb{C}), \quad \sigma_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}\end{aligned}$$

- $S = \eta^{1/2} = e^{\beta \sigma_y / 2}$  usual boost acting on spinors

- $\mathcal{PT}$ -symmetric brachistochrone problem with fixed  $\omega = E_+ - E_-$
- constraint  $\omega = \text{const}$  allows for parametrization

$$H = \begin{pmatrix} re^{i\theta} & s \\ s & re^{-i\theta} \end{pmatrix} = r \cos(\theta) I_2 + \frac{\omega}{2} \begin{pmatrix} i \sinh(\beta) & \cosh(\beta) \\ \cosh(\beta) & -i \sinh(\beta) \end{pmatrix}$$

$$h = r \cos(\theta) I_2 + \frac{\omega}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

- “mass shell condition”  $s^2 - r^2 \sin^2(\theta) \equiv \frac{\omega^2}{4} [\cosh^2(\beta) - \sinh^2(\beta)] = \frac{\omega^2}{4}$
- PTSQM brachistochrone:  $\alpha \rightarrow \pi/2$  implies  $\beta \rightarrow \infty$  ultra-relativistic (light-cone) limit
- analogy:
  - $h$  acts as “co-moving” Hamiltonian
  - $H = \eta^{-1/2} h \eta^{1/2}$  describes a process for a rest-frame observer

- problems/obstructions concerning such an interpretation:
  - QM spin system extended to relativistic regimes:  
seems to require interpretation as one component of a two-component (Dirac) spinor (1st system extension)
  - ultra-relativistic limit:  
single particle interpretation of the Dirac spinor is likely to break down due to possible pair-creation processes above threshold energies
  - full QFT approach seems required (2nd system extension):  
the EP-limit might not be reached due to PTSQM system break-down
- other interpretation schemes?